

# Forest Carbon Partnership Facility (FCPF) Carbon Fund

# **ER Monitoring Report (ER-MR)**

ER Program Name and Country:	Emission Reduction Program of Chile
Reporting Period covered in this report:	01-01-2018 to 04-12-2019
Number of FCPF ERs:	-5,353,046
Quantity of ERs allocated to the Uncertainty Buffer:	0
Quantity of ERs to allocated to the Pooled Reversal Buffer:	0
Number of FCPF ERs from enhanced removals through afforestation/ reforestation	0
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#### Acronyms

AFOLU: Agriculture, Forestry and Other Land Use

AGCID: Agency for International Development Cooperation

ASP: Protected Wild Areas BSP: Benefit Sharing Plan

CAPR: Rural Drinking Water Committees CATS: Carbon Assets Tracking System

**CONADI: National Indigenous Development Corporation** 

**CONAF: National Forestry Corporation** 

**CORECC: Regional Climate Change Committees** 

COSOC: CONAF Civil Society Council

MRS: Mechanisms for Complaints and Suggestions

CTICC: Interministerial Technical Committee on Climate Change

CTR-CC: Technical Coordinator of MINAGRI's Regional Technical Committee on Climate Change

**DBOCC: Climate Change and Forest Departments** 

DCCSE: Climate Change and Ecosystem Services Department DMECC: Climate Change and Ecosystem Monitoring Department

**ENCCRV: National Strategy on Climate Change and Vegetation Resources** 

ERPA: Emissions Reduction Program Agreement ERPD: Emissions Reduction Program Document

FAO: Food and Agriculture Organization FCPF: Forest Carbon Partnership Facility

FGRM: Feedback and Grievance Redress Mechanism

FIA: Foundation for Agricultural Innovation

FMT: Fund Management Team

FREL/FRL: Forest Reference Emission Level/ Forest Reference Level

GASP: Protected Wilderness Areas Management GBCC: Forest and Climate Change Management

GCEBX: Forest and Xerophytic Ecosystems Conservation Management

GEF MST: GEF/Sustainable Land Management Project (MST) GEF: Environmental Evaluation and Oversight Management

GEFA: Finance and Administration Management GEPRIF: Forest Fire Protection Management

GCF: Green Climate Fund IFN: National Forest Inventory

INDAP: Institute for Agricultural Development INFOR: National Forest Monitoring System

INGEI: Forest Reference Level and the National Greenhouse Gas Inventory

IPCC: Intergovernmental Panel on Climate Change LEMU: Logging and Extraction Monitoring Unit

MGAS: Environmental and Social Management Framework

MINAGRI: Ministry of Agriculture MINENERGIA: Ministry of Energy MMA: Ministry of the Environment

MOFIM: Mapuche Intercultural Forestry Model

NDC: National Determined Contribution NFMS: National Forest Monitoring System

ODEPA: Office of Agricultural Studies and Policies OIRS: Information, Complaints and Suggestions Office

PAT: Biomass Territorial Supply Program

PMST: Sustainable Land Management Project

PTN: National Technical Proposal PTR: Regional Technical Proposal

SAFF: Forestry Administration and Control System

SAG: Livestock Agricultural Service SAQ: Burn Assistance System SAT: Early Warning System

SEA: Environmental Assessment Service

SEGEGOB: Ministry General Secretariat of Government SEIA: Environmental Impact Assessment System

SEREMI: Ministerial Regional Secretary

SESA: Strategic Environmental and Social Assessment SIAC: Public Environmental Information System

SIDCO: Digital Information System for Operations Control

SIGEFOR: Forestry Promotion and Development Management System

SIGI: Institutional Management Information System

SIS: Safeguards Information System SIT: Territorial Information System

SMM: Monitoring and Measurement System

SNASPE: National System of State-Protected Wilderness Areas SNIChile: Chilean National Greenhouse Gases Inventory System

SPF: Secretariat for Forest Policy SVS: Soil Conservation Service (USDA)

TNC: The Nature Conservancy
UACh: Universidad Austral de Chile
UAIS: Indigenous and Social Affairs Unit

UNCCD: United Nations Convention to Combat Desertification UNFCCC: United Nations Framework Convention on Climate Change

UN-REDD: United Nation Reducing Emissions from Deforestation and forest Degradation

UPCT: Public Participation and Transparency Unit UTRE: Specialized Regional Technical Unit

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# 1 IMPLEMENTATION AND OPERATION OF THE ER PROGRAM DURING THE REPORTING PERIOD

#### 1.1 Implementation status of the ER Program and changes compared to the ER-PD

The ER Program (PRE, acronym in Spanish) of Chile corresponds to the activities developed within the framework of the implementation of the (ENCCRV, acronym in Spanish). The ENCCRV corresponds to a public policy instrument that aims to improve the condition of forest ecosystems and increase their resilience, promoting the reduction and capture of Greenhouse Gases (GEI, acronym in Spanish), along with reducing the vulnerability of human communities that rely on forests. To achieve the goal of <u>directing and facilitating action measures were established through various technical analysis and a participative process at a national scale</u>. Such measures are organized and reinforced through the various regular programs, plans and activities implemented by the National Forestry Corporation (CONAF, acronym in Spanish), and are supplemented in technical and financial terms with other <u>climate initiatives</u> with international support (FCPF, <u>UN-REDD</u>, <u>GEF MST Project</u>, <u>Swiss Development Cooperation</u>, <u>Chile-México Fund</u>). The main developments on the ER Program for the 2018 – 2019 period are presented in this chapter.

The selected Reference Period is from 01-01-2001 to 31-12-2013 and the accounting area is 13,232,401ha.

### Progress on Chile's ER Program actions and interventions

The activities developed by the ER Program in the 2018 – 2019 period encompassed actions associated to CONAF regular activities, conducted with state funding along with funds from multilateral organizations directly managed by the Corporation. For the most part, activities were focused on the implementation of pilot or demonstrative projects, capability improvement actions and environmental education at the territorial level. This follows the ENCCRV implementation approach, which prioritizes the reduction of emissions caused by forest degradation and deforestation, due to its effectiveness at reducing emissions at a lower implementation cost.

#### Activities implemented with a national budget

A summary of the outcomes for the ER Program activities implemented by CONAF in the reporting period is presented hereafter. These activities are associated to ENCCRV action measures and will be detailed in the safeguard's implementation report that CONAF is developing for the World Bank (BM, acronym in Spanish) and the additional background document for the Chile ERPD revision<sup>1</sup>.

- Outcomes of deforestation reduction actions: 1,297 inspections at property level, derived from third party complaints, were conducted in the 6 regions of the accounting area during the reporting period. 438 property inspections and compliance controls were also conducted through satellite images (SAT/Logging and Extraction Monitoring Unit, LEMU). 686 inspections on firewood production sites and roadside checks were achieved in accordance with Title IV of 20,283 (D.S N°93). Finally, 11,878 hectares associated to native forest management, forestry law, extraction, felling, or work plan related to technical studies were also inspected. Actions executed during 2018 and 2019 by the CONAF Inspection Management, as established in Law No. 20,283.
- Outcomes of forest degradation reduction actions: Multiple initiatives considering improvements on firewood productive chains were conducted during the reporting period, focused on reducing market informality and therefore enabling sustainable management. Some of these actions included supporting beneficiaries (owners and farmers) in order to raise financing for the production, processing or commercialization of goods and/or services, associative business development planning, farmer certification and associativity network development (technical tours, work groups). In 2018, 688 firewood

<sup>&</sup>lt;sup>1</sup> Additional background for the Chile ER Program revision, as per recommendations from the Summary at the 15th Carbon Fund Meeting. Chile National Strategy on Climate Change and Plant Resources (2017-2025). 2018

production sites were addressed with a total stock of 451,216 stere cubic meters of dry firewood, while 419 production sites with a total stock of 255,284 stere cubic meters of dry firewood were addressed in 2019. Related to another aspects of degradation, during the period of this report 2018-2019 27 community plans for forest fire prevention were developed within the framework of the Prepared Community program for forest fires, along with 51 municipal protection plans for areas at risk of forest fires. From a more technical perspective, 10 assessments were conducted for large fires during the 2018 – 2019 period. Finally, regarding the extent of capacity building for reducing risks for degradation, work was done with 5,329 beneficiaries of training sessions and inspections within the controlled burning program for forestry and agricultural waste. 295,260 beneficiaries were also trained in preventive forest management.

- Throughout the monitoring period 2018-2019, outcomes of stock enhancement actions: 146 hectares were implemented within the framework of the participative afforestation program, while the tree planting program delivered 345,000 and 65,000 native plants to beneficiaries and indigenous communities/associations, respectively. Also 1,330 native forest sustainable management plans were subsidized under Law 20,283 of Native Forest Recovery and Forest Promotion, which entails implementing actions across a total of 2,863 hectares.
- Throughout the monitoring period 2018-2019, outcomes of conservation actions: 14 concession audits were conducted in the National System of State-Protected Wilderness Areas (SNASPE, acronym in Spanish), including 4 National Parks located in 3 regions within the Accounting Area (AC, acronym in Spanish). 5 management plans under the SNASPE enhanced planning method -including actions on climate changewere also developed or updated, and a Wilderness Protected Area planning manual was developed. 40 strategies for threat management in 13 National Parks, 19 National Reserves and 4 Natural Monuments were implemented during the reporting period, along with creation, expansion and re categorization proposals for 5 Protected Wilderness Areas (ASP, acronym in Spanish) being also generated.
- Throughout the monitoring period 2018-2019, outcomes of cross cutting actions: 425 teachers from rural schools and the forest urban interface were trained in systematic environmental education issues. 42 education plans for ASP conservation were also developed, and 1,617 beneficiaries were trained in urban woodland and environmental management. In a more technical aspect, 12 large scale training activities were conducted regarding the benefits of Law 20,283, its promotion instruments, procedures (deadlines, requirements, amounts), topics regarding native forest silviculture, planning (management plans) along with commercialization and productive chains.

# Activities funded by international agencies

- Throughout the monitoring period 2018-2019, FPCF II/Implementation of <u>Specialized Technical Regional Units (UTRE, acronym in Spanish)</u>: these units were established in each region of the ER Program with the aim of providing expert advice regarding the implementation of natural resource best management practices within the framework of ENCCRV action measures. UTREs were implemented in the regions within the ER Program to enhance the technical capabilities of the institution and local beneficiaries (Mainly medium and small-scale owners).
- Seven activities were established to achieve the goals defined by UTREs, namely:
- Design and management of an intervention Model Program promoting the sustainable management of native forests (with emphasis on degradation) considering productive chains and business models associated to this resource, focusing on the increase of products and/or services supply from sustainable sources.
- 2. Contribute to the improvement of the Forest Extension Program implemented by CONAF in the context of climate change, desertification, land degradation and drought.

- Activities 1 and 2 are related to the development of two ENCCRV action measures: MT.4 Reforestation and Revegetation Program in prioritized areas/municipalities, and US.1 Institutional Forest Management Program focused in public and private plots.
- 3. Develop funding procurement technical proposals aimed towards the regional implementation of action measures in national, regional and/or municipal, public, or private instances. This activity has a cross cutting relationship with the ENCCRV direct action measures.
- 4. Support the technical advice provided by CONAF to foresters and farmers to promote forest fire preventive actions. Such activities are related to three ENCCRV action measures: IF.4 Reinforcing the Prepared Communities against forest fires program; IF.3 Preventive Silviculture program focused on the rural urban interface and IF.5 Inclusion of post fires preventive management and restoration elements in Law No. 20,283 and its provisions.
- 5. Design and prospect a territorial supply program (PAT, acronym in Spanish) of Biomass that guarantees the supply of standardized biomass from sustainable sources. This activity is related to action measure US.3 Reinforcement of the Wood Fuel Program and energy matrix of Chile.
- 6. Enhance the oversight capabilities of the institution through training in the use of early detection and monitoring systems developed under ENCCRV, with emphasis on municipalities at greater risk of Deforestation and Degradation. This activity is related to two action measures: MT.7 Reinforcement of environmental and forest oversight programs, and MT.6 Environmental education and dissemination program.
- 7. Review and application of social and environmental safeguards to the aforementioned activities. This activity is cross cutting in nature, as it encompasses all ENCCRV elements and entails the use of safeguard instruments developed within the Social and Environmental Management Framework.
- GEF/<u>Sustainable Land Management Project</u> (PMST, acronym in Spanish): this project implements activities
  in the La Araucanía region of the ER Program. 44 activities were implemented during the 2018 2019 period
  in this region, mainly associated to the ENCCRV MT.6 action measure, specifically regarding environmental
  training and education.
- Throughout the monitoring period 2018-2019, UN-REDD National Program/Support Program for the
   <u>Chilean National Strategy on Climate Change and Vegetation Resources (ENCCRV)</u>: Assessment,
   implementation and gathering of lessons learned from operative action measures looking to establish new
   sustainable, replicable and scalable forest management models, including project implementation for
   Environmental Services based payment projects (PSA, acronym in Spanish).
  - Specifically: Continuous implementation of restoration and climate change adaptation actions in the Andean pre mountain range. By this time, progress has been made on the restoration of 182 hectares, including a total of 25,670 individuals belonging to native tree species, along with planting 250,000 Araucaria pine nut seeds in a restoration strategy under a river basin protection approach. A 4.7-kilometer-long protective fence has been built in a complementary manner. Development of a project focusing on the recovery of pine nut ancestral gathering (sustainable) practices to improve adaptation and mitigation actions in the Quinquén indigenous community. Implementation of two PSA projects together with Rural Drinking Water Committees (CAPR, acronym in Spanish), in the Mashue and Liquiñe communities, based on the reinforcement and implementation of a compensation model for the water supply ecosystem service. Also, 27 hectares were reforested under a water stream dynamics protection and recovery approach. In parallel, 12 hectares were closed off through the construction of 3,783 meters of protective fences against herbivores.
- Advances in Carbon Accounting: Methodology and territorial scope improvements have been developed in the Forest Emissions Reference Level/Forest Reference Level (FREL/FRL), along with improvements in the

National Forest Monitoring System (NFMS) and the Safeguard Information System (SIS). Details of this progress are included in Annex 4 of this report.

• Throughout the monitoring period 2018-2019, Swiss Agency for Development and Cooperation (SDC)/Second contribution phase for ENCCRV development: a pilot project of ecological restoration was implemented in the La Araucanía region during 2019 during this second collaboration phase. The purpose of this initiative was the environmental restoration of the Purén Alto River, to improve water availability and reduce erosion in small rural properties as a climate change adaptation action. The main activities conducted in the territory were the placement of erosion control modules, reforestation with native species, and innovation activities associated to rainfall accumulation, collection, and consumption.

#### Other technical advancements

- Advances in Safeguards: Developments have taken place during the reporting period related to the technical design for the subsequent implementation of the <u>Safeguard Information System (SIS)</u>. This system is developed with a multiscale local, operational, administrative, national approach to determine compliance with REDD+ safeguards within the ENCCRV implementation. On the other hand, the World Bank announced changes from the Social and Environmental Management Framework (MGAS, acronym in Spanish) to the Environmental and Social Framework which offers broad, systematic coverage of environmental and social risks with developments in transparency, non-discrimination, public participation, and accountability. Coordination with CONAF's Information, Suggestions and Complaints Office (OIRS, acronym in Spanish) has been reinforced for the inclusion and improvement of the procedure associated to the ER Program Mechanisms for Complaints and Suggestions (MRS, acronym in Spanish). Finally, DCCSE safeguard specialists have developed and delivered a National ENCCRV Safeguards Approach Proposal, system that will address the commitments made within the ENCCRV framework. Further details regarding such developments are presented in Annex 1 of this document.
- UN REDD/Environmental Services Payment Program: Two pilot projects were developed together with Rural Drinking Water Committees (CAPR) in the Mashue, Niebla-Los Molinos and Liquiñe communities in the Los Ríos region based on the reinforcement and implementation of a compensation model for water supply ecosystemic services. Also, a project focused on the restoration of pine nut ancestral gathering practices to improve adaptation and climate change mitigation actions in the Quinquen, La Araucanía Region, indigenous community was implemented. The purpose of this project is to support bringing back ancestral pine nut sustainable management by means of planting a percentage of the seeds in nurseries, along with their subsequent use for replanting in high priority sites.

# Strategy Update to mitigate/and or minimize potential displacements

The ERPD 2016 document from Chile proposed that the most important way for ENCCRV to avoid displacements would be to implement action measures at the national level. Under this approach, the likelihood of displacements becomes significantly lower. This way remains the most relevant in the ER Program reporting period, as ENCCRV actions are effectively being implemented across all regions of Chile and not just those in the AC. Nevertheless, the ERPD mentioned possible local displacements could occur inside the AC, for which measures associated to some of the main drivers identified were proposed.

In 2018, the <u>document</u> "Additional background for the update of the Chilean ER Program, according to recommendations by the Chair's Summary in the 15<sup>th</sup> Meeting of the Carbon Fund", does not refer to any changes in the strategy for mitigating and/or minimizing these possible displacements. If that is so, the strategy used to mitigate displacements inside the AC remains as such, i.e., through measures designed for some of the main causes or drivers associated to forest degradation and deforestation, which have been updated as such for the period of this report:

DD	ERPD proposed strategy to reduce displacement risks	Strategy update for report period
cause/driver	(2016)	(2018-2019)
Unsustainable use of vegetation resources.	With the forestry management actions proposed by the ENCCRV to address this driver, a risk of emission displacement is generated due to the reduction of the potential extraction volume by forest owners under planning criteria. The strategy proposed to reduce or	Multiple support activities for productive chains, oversight and planning in sustainable management have been carried out within the ER Program, so this strategy remains current and active. The only component of the strategy
	avoid such displacements consists in increasing sale prices under a higher valuation for sustainably sources wood. In this manner, owners can extract less wood without impacting their revenue. This is achieved through improved productive chains, moving forward in the sustainable firewood and wood certification process for obtaining better prices. On the other hand, the ENCCRV will seek to lower production costs for owners through tax reductions and improved monitoring to promote sustainable forest management.	without developments has been the tax reduction for sustainable producers.
Forest fires	With the fire prevention actions proposed by ENCCRV to address this driver, such as preventive silviculture, there is a risk of emissions displacement by promoting forest biomass extraction actions (Fire and fuel gaps). The strategy proposed to avoid and reduce such emissions entails, on one hand, detailed planning of each activity to identify the best way to remove a minimum amount of biomass with the greatest impact on fire risk reduction, and on the other, using the extracted biomass in wood – based products that fix carbon in longer terms.	Multiple training activities in fire prevention silviculture and productive linkage for owners and producers have been conducted within the framework of the ER Program and ENCCRV. Pilot experiences have also been developed, making it possible to advance in the technical validation of fire prevention silviculture and include the costs of such projects in the value table of Law No. 20,283. Given this, it can be said that this strategy is current and active for this risk.
Forest being used for livestock farming	Establishing buffer zones for livestock farming in forest areas is one of the measures proposed by the ENCCRV for addressing this driver. There is a risk of this measure generating emissions displacement, as cattle will have to be moved to other grazing areas and cause degradation. The strategy for reducing such risk is based on supporting the development of global livestock management plans, leading to a more efficient use of plains, forest grazing systems and summer grazing management.	Property planning activities have been conducted in the framework of the ER Program and ENCCRV integrating various land uses and productive activities (Livestock and forestry). UTREs have also developed such property plans for various pilot properties. On the other hand, the GEF MST project, which includes one of the AC regions, has generated pilot experiences and capabilities in management at a property scale, maximizing productive efficiency and the structured use of State promotion instruments. Given this, it may be stated that this strategy is current and active for this displacement risk, which is considered to be medium. Reinforcing such actions through training instances in other regions will be evaluated.
Agriculture and livestock expansion	In order to mitigate the potential of deforestation emissions due to this driver to transition towards forest degradation, the proposal is to promote the conservation of native forests with financial support through the incorporation of forest conservation variables in Law 18,450 on irrigation promotion and Law 20,412 on sustainability of agricultural lands. Then, farmers and livestock owners will have another sustainable source of revenue to compensate for the potential loss of their previous livelihood if changes to forest conversion promotion by means of Laws No. 18,450 and 20,412 were to deter them from expanding their agriculture lands over natural vegetation.	There have been no developments in the incorporation of forest conservation variables to Laws No. 18,450 and 20,412; therefore, this strategy is neither current nor active. The viability of achieving such regulatory adjustments during the period of the Emission Reduction Payment Agreement (ERPA) is on an evaluation process and failing this, a new strategy will be explored for this risk of displacement as it is considered low.

DD ERPD proposed strategy to reduce displacement risks cause/driver (2016)		Strategy update for report period (2018-2019)
Expansion of	This situation could spread to regions in the north of the	
monoculture	ER Program Area due to strong economic returns from	As part of the measures related to planning at
forests	plantations in there. Maps from the Land Registry indicate that this is a relatively common transition in these regions, particularly the substitution of tree-filled scrubland, which is now considered a native forest. However, national mitigation measures, such as improved auditing of the CONAF, environmental awareness and the recognition of native forests, should avoid this type of displacement. Focusing on mitigation in terms of planning and territorial legislation with a view to prohibiting such substitution in certain areas could cause displacement to other areas within the ER Program Area. However, planning also involves designating optimal areas for establishing new exotic plantations where substitution would cause less environmental damage, thus diminishing the potential for displacement.	the territorial level, it is expected that priority areas for exotic plantations will be delimited through the Regional Territorial Planning Plans. The above, considering that in 2018 Law No. 21,074 was enacted regarding the strengthening of the regionalization of the country and which modifies Law No. 19,175. The objective of this law is to regulate the preparation and approval of Regional Territorial Planning Plans in coherence with the regional development strategy, the national territorial planning policy, the long-term climate strategy and the regional climate change action plan.  The plans are characterized by being instruments that guide the use of the region's territory for sustainable development, define strategic guidelines and macrozoning of the territory, establish binding conditions for the location of waste, infrastructure and productive activities and recognize areas under State protection.

### Effectiveness of organizational arrangements and involvement of partner agencies

CONAF possesses a governance structure at the national and regional level that has allowed it to effectively implement the ER Program and ENCCRV actions. The organizational structure of CONAF is based on a clear chain of command with well-defined instances and roles, also including agile information systems. It has allowed for the generation of governance instances along with internal functional arrangements to institutionalize and operationalize ENCCRV actions in the regions of the ER Program. As an institution spread across various territories, CONAF has a central office led by an Executive Directorate, Management, Advisory Units and Department which all contribute to the implementation of the strategy at the regional level. The Forest and Climate Change Management (GBCC, acronym in Spanish) is responsible for directing and leading the ENCCRV.

The units and departments associated with the ER Program in the reporting period are, from the central level, the Climate Change and Ecosystem Services Department (DCCSE, previously UCCSA acronym in Spanish) as the leading technical entity, the Indigenous and Social Affairs Unit (UAIS, acronym in Spanish) and the Environmental Assessment Department. Also, the Interagency Committee on Climate Change of CONAF, permanent instance with the function of coordinating all institutional actions associated to the ENCCRV, has ensured the institutionalization of both the technical activities and safeguards treatment. This structure has allowed for the development of the Strategy to be systematic, efficient and be progressively inserted in the institutional work for the scope of the proposed goals and objectives. DCCSE has also been a key instance at the central level for enabling the ENCCRV result – based payment phase. Acting as a Focal Point for REDD+, it has coordinated international cooperation associated with REDD+, also being in charge of the administrative, financial and technical aspects of projects, including the approach towards consideration and compliance with safeguards.

In addition, the <u>CONAF Civil Society Council (COSOC, acronym in Spanish)</u> has also participated, being a citizen participation mechanism that is advisory in nature (non - binding), whose actions are reported to and its recommendations incorporated to each of the areas of technical work, including developments in the implementation of ENCCRV. In Meeting #2 of 2019, besides from applications to the <u>Green Climate Fund (GFC)</u> and the FCPF Carbon Fund being informed, treatment of safeguards for project implementation in the ER Program area was also presented.

At the local level, and as a way of ensuring the effectiveness of institutional management in the implementation of ENCCRV at the regional level, there are DCCSE representation offices in all regions that are part of the ER Program. This role is fulfilled by a Coordinator of Climate Change and Ecosystem Services, who reports administratively to the Climate Change and Forest Departments (DBOCC, acronym in Spanish). These are professionals with a long-standing institutional track record, with experience in project management and community relationships. In those regions where ENCCRV pilots and/or projects have been developed, these coordinators actions have been reinforced by support professionals, who contributes towards management of projects in general and safeguard follow up, monitoring and reporting, as is the case for Regional Managers for Indigenous and Social Affairs.

Regarding the efficiency of institutional arrangements for the generation, reporting and integration of information with other State agencies, it is worth mentioning that the sources of basic information for the implementation of the ER Program Monitoring Plan, based on the National Forest Monitoring System, have been, i) Cadastre of Vegetation Resources and statistics on forest fires developed by CONAF, ii) the Continuous Forestry Inventory developed by the National Forestry Institute (INFOR, acronym in Spanish), both institutions part of the Chilean Ministry of Agriculture (MINAGRI, acronym in Spanish). Statistics on areas affected by forest fires have been permanently updated through the Digital Information System for Operations Control (SIDCO, acronym in Spanish) and reported in a yearly basis for each season in the period. Besides the aforementioned basic information, there is a set of second order information, which has to be generated within the ER Program Monitoring Plan framework, such as density plot or stock charts for estimating degradation in all AC forest types, which is being worked on through a specific agreement between CONAF and INFOR.

Regarding consistency between the Forest Reference Level and the National Greenhouse Gas Inventory (INGEI, acronym in Spanish) developed by the Ministry of the Environment (MMA, acronym in Spanish), the CONAF and INFOR technical teams have been the technical entities in charge of building the greenhouse gases inventory for the Silviculture and Other Land Uses sectors along with ensuring the alignment between the methods applied, with the purpose of improving and maintaining the consistency of both instances. The necessary institutional arrangements to formalize the joint work between services and ministries have been made, such as the Intra – Ministry Technical Committee on Climate Change (CTICC, acronym in Spanish), instance coordinated by the Office of Agricultural Studies and Policies (ODEPA, acronym in Spanish) and composed of all MINAGRI services acting as reviewers for the ER Program and all ENCCRV initiatives, strategic and technical orientation, decision reinforcement and progress report review.

Finally, as international cooperation from different sources has continued and intensified (Section 1.1.1), CONAF has generated and enhanced an internal governance structure to manage international cooperation and strategic partnerships with implementing agencies that provide administrative and technical support, principal among them being the relationship with the Food and Agriculture Organization of the United Nations (FAO).

# **Financial Plan Updates**

It was proposed in the ERPD Chilean document of 2016 that the ENCCRV implementation would require an estimated budget of \$174 million dollars for 9 years, 30% of which would be unconditional and the remaining 70% (\$121 million dollars) would be conditional on the management and obtention of external resources by CONAF. Then, in the document titled "Additional background for the update of the Chilean ER Program, according to recommendations by the Chair's Summary in the 15<sup>th</sup> Meeting of the Carbon Fund" an update is proposed for the Initial Plan.

This update has defined the budget for a nine-year period, considering available experiences and better information about the costs of action measures, variations between region and forest types, among other aspects. Therefore, to finance all ENCCRV action measures, the new financial estimate including conditional and currently available factors amounts to \$357 million dollars. This includes international bilateral and multilateral contributions, donations, and results – based payments, along with national private and government contributions. Out of all this, 23% come from international sources while the remaining 77% is national. In addition, 40% is unconditional and the remaining 60% is conditional. From the already mentioned financial sources, to meet the goals of the ENCCRV it will be critical to establish a public – private partnership work, aside from promoting regional initiatives of local funds, on which

progress has been made due to the reinforcement of capabilities installed in the various regions of Chile through preparation funds.

It is important to consider that the ENCCRV non — conditional budget corresponds to state resources allocated to CONAF through the Budget Law, and that such funds have and will continue to reinforce the compliance of ENCCRV goals. Some of the actions financed through this government source during the ER program report period in order to reinforce activities associated to reducing deforestation and forest degradation have been: i) reinforcement of the firewood energy promoters program; ii) the interoperability of the platforms of the Forestry Promotion and Development Management System (SIGEFOR, acronym in Spanish) with other CONAF platforms to enhance operability; iii) the capability of on-site teams; iv) reinforcement of the Early Warning System (SAT, acronym in Spanish) for the detection of unauthorized forest felling using satellite images and drones, v) the development of a mobile app for the detection of unauthorized forest felling, and vi) a traceability system for native forest primary products. Also, the "Communities Prepared against Forest Fires" program has been strengthened, doubling its impact on 2018 along with the development of manuals and programs for the technical implementation of 7 ENCCRV direct action measures.

As part of the new financial plan, CONAF has been working with the Agency of Sustainability and Climate Change to establish similar public – private synergies and guide actions to leverage resources for actions aligned with the ENCCRV and the ER Program. These actions correspond to fire prevention, forest planning and restoration of areas affected by forest fires through compensation mechanisms that must be applied by private companies, mainly from the real estate and mining sectors. In line with this, <u>financial instruments</u> are being formulated together with CORFO, with such instruments being used to attract private investments for native forest management mainly for wood and energy purposes. From the business sector, an agreement has been made by forestry companies, with technical support by CONAF, to direct efforts towards restoring native forests affected by massive forest fires in the 2017 season, encompassing two of the five AC regions. Also, financial resources provided by the Undersecretary of Agriculture have been added, along with a redirection of funds managed by the Foundation for Agricultural Innovation (FIA, acronym in Spanish) and the MMA through its <u>Environmental Protection Fund (FPA, acronym in Spanish)</u>. In this context, companies have invested nearly \$80 million dollars, which implied a 60% increase in relation to the average historical expenditure on such issues.

This new financial plan, in line with the Benefit – Sharing Plan (BSP) (See Annex 2), specifically mentions that 20% of the total founds obtained under ER Program payments (\$5.2 million dollars) will be used during the implementation period of the Program, with the implementation possibly being extended beyond 2025. These resources will be used to cover and develop those actions that either provide sustainability to the system or correspond to ENCCRV facilitating action measures such as inspection, regularization of property titles of ownership, environmental education, aspects related to the communication, dissemination, and reinforcement of CONAF institutional programs, among others.

Finally, the ER Program considers that 80% (\$20.8 million dollars) out of the total resources will be directed towards the population as non – monetary benefits, through technical assistance by strengthening Forestry Extension and forestry management actions where the main focus will be on lands belonging to small and medium scale forest owners, along with public lands. This dynamic applies to all payments perceived by Chile from emission reductions as established in the BSP.

#### 1.2 Update on major drivers and lessons learned

Update on major drivers of deforestation, degradation and non – increase of forestry sinks in the Accounting Area.

The Chilean ERPD document of 2016 detailed the process of the identification, prioritization and characterization of the main drivers or causes of forest degradation, deforestation and non – increase of causal sinks for the PRE. This information was used as a basis for the proposal of the strategic actions which formed the ENCCRV action measures. For the 2018 – 2019 reporting period, no significant changes were identified in the drivers that affect native vegetation resources in the AC. Actually, the document titled "Additional background for the update of the Chilean

ER Program, according to recommendations by the Chair's Summary in the 15<sup>th</sup> Meeting of the Carbon Fund" from October 2018, does not mention any aspects regarding changes or updates on this issue.

The drivers analysis presented in the Chilean ERPD was conducted with a methodology that included the collection and systematization of information about participative processes and specialized consulting. These analyses have not been conducted again in the reporting period, therefore, the update on the status of the drivers presented below corresponds to a proposal based on updated statistical data, technical analysis of professional teams, and sectorial trends in the reporting period.

Causes or Drivers of forest degradation, deforestation and non – increase of sinks	Relevance defined in ERPD 2016	Relevance updated in the 2018-2019 reporting period	Observations/sources of information		
DIRECT CAUSES <sup>2</sup>	DIRECT CAUSES <sup>2</sup>				
Forest fires	Very high	Very high	Remains the most important cause of degradation and deforestation in the AC, according to statistics from CONAF.		
Unsustainable use of vegetation resources for production	Very high	Very high	Remains a cause of very high relevance, as there is still a significant amount of informality and unsustainable exploitation of native forests (Above 80%), mainly for firewood extraction, as its use is above 9 million cubic meters per year in the AC, according to firewood consumption data for 2018 and 2019 by INFOR.		
Unsustainable use of vegetation resources for livestock	High	High	Remains a driver of high relevance, as grazing still persists in native forests for all ER Program regions in the AC. There are no systematized statistics, but it is a widely recognized reality.		
Forest monoculture expansion	High	Low	The degree of relevance of this driver has decreased, as annual surfaces where native forests are replaced with forest monocultures have decreased since 2014, according to statistics on changes in land use in the CONAF Cadastre and the analysis of emissions caused by the degradation from the transformation of native forests into forest plantation, as in the REDD+ Annex for Chile in 2018.		
Agriculture and livestock activity expansion	Medium	Low	The degree of relevance of this driver is decreased, as the annual surface of areas with native forests where agriculture is allowed has decreased since 2014, according to statistics on changes in land use in the CONAF Cadastre and the analysis of emissions caused by deforestation from the REDD+ Annex for Chile in 2018.		
Urban and industrial activity expansion	Medium	High	The degree of relevance of this driver has increased, as even though statistics of deforestation and changes in land use have declined in general for the AC, there is an important regional trend towards the increase of property subdivisions for plots and property divisions with the aim of developing real estate projects in forest areas. There are no systematized statistics, but it is a widely recognized reality.		
Industrial activity expansion	Low	Low	The degree of relevance of this driver has remained low, since the statistics of deforestation and changes in land use due to the expansion of the industry continue		

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<sup>&</sup>lt;sup>2</sup> The names of the causes have been updated as per the official ENCCRV document from 2016.

Causes or Drivers of forest degradation, deforestation and non – increase of sinks	Relevance defined in ERPD 2016	Relevance updated in the 2018-2019 reporting period	Observations/sources of information
			to be in insignificant areas of the AC. according to statistics on changes in land use in the CONAF Cadastre (REDD+ Annex for Chile in 2018).
Effects of climate change, desertification, land degradation and drought	Medium	High	The degree of relevance of this driver has increased, as it has become clear that the impacts of the Megadrought affecting a large part of Chile have intensified. Such effects have been stronger in the native forests of the regions to the north of the ER Program and associated to a Mediterranean climate (Miranda et al., 2020; Garreaud et al., 2017). Also, risk scenarios projected in the Climate Change Risk Atlas (ARCLIM, acronym in Spanish), indicate that this scenario will be permanent in nature due to climate change, even moving towards southernmost territories. These projections, together with the raise in temperature and heat waves, will increase the likelihood of forest fires (Gonzalez et al., 2018). CONAF is planning to conduct relevant studies to determine the magnitude and repercussions of this phenomenon in the ER Program.
Plagues and diseases	Low	Medium	The degree of relevance of this driver has increased, as the risks of new pests entering Chile or existing ones propagating due to recurring climate phenomena are increasing (SAG, 2019).
Effects of contamination	Low	Low	These drivers were not described in detail in the ERPD, since they were not prioritized according to the
Overexploitation of water	Low	Low	analysis carried out by the ENCCRV and there was a significant uncertainty from the sources of information to characterize them. It is assumed that they maintain
Soil erosion	Low	Low	their low relevance.
INDIRECT CAUSES			
Public policy deficiencies for regulation	Very high	Very high	The level of relevance of this driveris still very high, as even though efforts have been made to advance in the improvement and promotion of regulatory instruments for the forestry sector (Strengthening skills in the application evaluation process. Preparation of various instructions and procedures that allow improving the evaluation of requests related to Law Decree No. 701, Law No. 20 283 and Supreme Decree No. 490, of 1976, of the Ministry of Agriculture, at this point a focused instruction stands out in the evaluation of native forest cutting management plans for recovery for agricultural purposes. Training for the use of the Forest Extraction and Harvest Monitoring System (LEMU). Training for the use of the Planet platform, a technological tool that allows interaction with geographic information for data integration within the framework of the Integrated Forest Ecosystem Monitoring System project), a large gap still persists, and the existing instruments are

Causes or Drivers of forest degradation, deforestation and non – increase of sinks	Relevance defined in ERPD 2016	Relevance updated in the 2018-2019 reporting period	Observations/sources of information	
			neither sufficient nor adequate for meeting goals and achieving sectorial climate challenges.	
Deficiency in public policies due to promotion or enforcement	Medium	Medium	The level of relevance of this driver remains medium, as even though efforts have been made to advance in the improvement and promotion of regulatory instruments for the forestry sector, a large gap still persists, and the existing instruments are neither sufficient nor adequate for meeting goals and achieving sectorial climate challenges.	
Poor knowledge and cultural valuation of vegetation Resources	Very high	Very high	The level of relevance of this driver remains very high relevance driver, as even though many actions focused on environmental education, awareness, and training under the ER Program and the ENCCRV have been implemented, there is an important gap represented by bad practices and unsustainable actions still occuring in native forests.	
Informality in firewood markets	High	High	The level of relevance of this driver remains high, as a significant amount of informality and unsustainable exploitation of native forests for firewood extraction persists (over 80%), since its use is above 9 million cubic meters per year in the AC regions, according to firewood consumption data for 2018 and 2019 provided by INFOR.	
Rural poverty, lack of opportunities	High	High	The level of relevance of this driver remains high, as rural poverty and vulnerability still persist in AC regions.	
Deficiency in public policies due to limited oversight capabilities	Medium	Medium	The level of relevance of this driver remains medium, as even though many actions focused on training, improvement of technical and technological capabilities and awareness have been present under the influence of the ER Program and the ENCCRV, there is an important gap that needs to be addressed, and CONAF oversight capabilities are limited in scope.	
Low profitability, opportunity costs	Medium	Medium	The level of relevance of these drivers remains medium, since there have been no significant changes in financial	
Economic Model Deficiency for the Use of the Native Forest (NF)	Medium	Medium	returns and opportunities associated with the management of native forests.	
Disputes or problems due to fragmentation of property	Medium	High	The degree of relevance of this driver has increased, since there is a significant trend in the AC regions towards an increase in property plot subdivisions and divisions with the aim of developing real estate projects in wooded areas. There are no systematized statistics, but it is a widely recognized reality.	
Disputes or problems due to property tenure	Low	Medium	Land tenure problems have been and continue to be a relevant issue in the AC regions, and its impact as a driver has increased, considering that most of the forest promotion programs and implementation projects within the framework of the Climate action, require forest owners to have their land tenure situation regularized. This situation leaves many potential beneficiaries out of these programs.	

Causes or Drivers of forest degradation, deforestation and non – increase of sinks	Relevance defined in ERPD 2016	Relevance updated in the 2018-2019 reporting period	Observations/sources of information
Deficiency of the forest institutional framework	Low	Low	It continues to be a driver of low relevance since although there are still important gaps to improve and consolidate forestry and environmental institutions in Chile, these gaps should not have a significant impact on the processes of degradation, deforestation, and the non-increase of sinks.
Lack of association for farmers	Low	Low	It continues to be a driver of low relevance since although there is still low productive associativity among forest owners, this gap should not have a significant impact on the processes of degradation, deforestation, and the non-increase of sinks.
Stigmatization of forest plantations	Low	Low	It continues to be a driver of low relevance since although the stigmatization of forest plantations has increased, this situation should not have a significant impact on the non-increase of sinks, even more so considering that the ENCCRV has restricted afforestation actions in the exclusive use of native species.
Management plans do not ensure sustainable use	Low	Low	It is assumed that the level of relevance of this driver remains low since the area managed under these plans is still small, and there has also been progress in the capacities to support and monitor the correct application of these plans. There are no systematized statistics, but it is a widely recognized reality.

# 2 SYSTEM FOR MEASUREMENT, MONITORING AND REPORTING EMISSIONS AND REMOVALS OCCURRING WITHIN THE MONITORING PERIOD

### 2.1 Forest Monitoring System

Organizational structure, responsibilities, and competencies, linking these to the diagram shown in the next section

The National Forest Monitoring System (NFMS) of Chile has been established for monitoring forests in the country and operates on existing systems supported by various supporting institutions which underpin and maintain it, which produces a functional link among its multiple building elements.

The NFMS in Chile is coordinated by CONAF, institution serving as REDD+ Focal Point to the UNFCCC (CMNUCC in Spanish) in accordance with Decision 10/CP.19. CONAF operating under the MINAGRI who gives the REDD+ Focal Point to the Climate Change and Ecosystem Services Department (DCCSE) of the Forest and Xerophytic Ecosystem Conservation Management (GCEBX, acronym in Spanish). As the REDD+ focal point, DCCSE has the responsibility of being the organization in charge of coordinating the generation and reporting of elements linked to REDD+, which includes the responsibility of coordinating the NFMS, reporting and generation for FREL/FRL and REDD+ result reports.

Inside CONAF, there are other units with the main responsibility of generating activity data. Among them, the Climate Change and Ecosystems Monitoring Department (DMECC, acronym in Spanish) of the Environmental Evaluation and Oversight Management (GEF, acronym in Spanish), has a primary role for the generation of base information for the NFMS. DMECC is responsible for implementing the mandate established in Article 4<sup>th</sup> of Law No. 20,283 of 2008 on the Restoration of Native Forest and Forest Promotion, which establishes that CONAF "Will maintain a Forest Cadastre, which is to identify and establish, at least cartographically, the forest types that exist in each region of Chile".

The Forest Cadastre, called "Cadastre of Native Forests and Vegetation Resources", is the main source of information for the development of Land – Use Change Maps, also developed by DMECC for the continuous monitoring of vegetation cover in Chile.

As the next figure shows (Figure 1), CONAF also includes the participation of the Forest Fire Protection Management (GEPRIF, acronym in Spanish) through the provision of information by means of the Operation Control Digital System (SIDCO, acronym in Spanish) and GEF using the Forestry Administration and Control System (SAFF, acronym in Spanish).

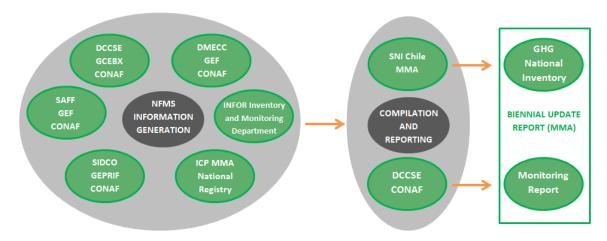


Figure 1 NFMS Organizational Structure of Chile.

Within GEPRIF, The Protection against Forest Fires Department and its Operation Control Digital Information System (SIDCO), provides annualized statistical information on the occurrence of forest fires in the entire country. On the other hand, SAFF provides information about the implementation of forestry management plans. Finally, also within CONAF, The Protected Wilderness Areas Management is responsible for providing information on conservation areas in the National System of State-Protected Wilderness Areas SNASPE.

Together with the CONAF units, activity data for the NFMS is provided by the Forestry Institute INFOR and the Ministry of the Environment (MMA, acronym in Spanish). INFOR is established as a private law corporation, part of MINAGRI, with such institution providing public funding for the design, technological development, implementation, and execution of the National Forestry Inventory.

Information about emission factors for forest monitoring comes from the National Forestry Inventory or IFN, administered by INFOR which is also used by the Chilean National Greenhouse Gases Inventory System (<u>SNIChile</u>), administered by the Climate Change Office, which arises as a response to the need to inform the citizens about GHG emissions and removals in the country.

Emission factors are monitored by INFOR through inventory plots and reported annually through IFN national reports. Emission factors are applied to the NFMS by forest type and/or region, depending on the REDD+ activity evaluated.

The Ministry of the Environment is the State organ in charge of working with information provision on private conservation initiatives, for their incorporation to the accounting of areas subject to conservation. The National Registry of Protected Areas was established within the MMA, which operates as an information platform where the 9 categories are considered protected areas (Marine Park, Pristine Regions Reserve, National Park, Natural Monument, Forest Reserve, National Reserve, Marine Reserve and Multiple Use Coastal Marine Areas), aside from Private Protected Areas and Community / Private Conservation initiatives, encompassing the entire national territory.

# The selection and management of GHG related data and information

The information and data selected to be incorporated to the NFMS, have been defined by Chile in the ERPD on which the subnational FREL/FRL was established. Selected information and data remain for this monitoring report, being managed by DCCSE for the monitoring and reporting.

#### Activity data: Land use and land use changes maps<sup>3</sup>

Land use data selected for the FREL/FRL were those coming from existing Native Forest Cadastres in regions of the Accounting Area. The information provided by the Cadastre is regularly updated by the Climate Change and Ecosystems Monitoring Department (DMECC) of CONAF, describing 9 Land uses and 20 Sub – uses, along with other breakdowns by altitude, cover and structure.

Nevertheless, as indicated in Annex 4, the reference level was corrected by incorporating spatially explicit use change data estimated based on reference maps for evaluation instances 2001 and 2013. Such maps are developed through the implementation of a semi – automated methodology for change detection that operates on Landsat images analyzed in Google Earth Engine by applying the land use definitions defined by Chile in the Cadastre of Native Forests.

In order to be consistent with IPCC recommendations on good practices, cadastre land uses are standardized to IPCC uses as follows:

<sup>&</sup>lt;sup>3</sup> Land Use Change maps are available in <a href="https://plataforma.enccrv.cl/static/erpa/mr1/mapas/CUT-MR1.zip">https://plataforma.enccrv.cl/static/erpa/mr1/mapas/CUT-MR1.zip</a>

NATIVE FOREST CADASTRE LAND SUB - USES (CONAF)	IPCC LAND USE	IPCC SUB LAND USES
City-Town-Industrial Area; Industrial Mining	Settlement	Settlement
Agricultural Land; Pasture – Crop Rotation	Croplands	Croplands
Highland Steppe; North Andean Steppe; Annual Pasture;		Grassland
Perennial Pasture; Central Andean Steppe; Patagonian Steppe		Grassiana
Scrub Pasture; Scrub; Scrub with Succulents; Succulents	Grasslands	Shrublands
Formation; Bush Plantation	Grassianas	5.11 05101103
Arborescent Schrub		Arborescent
Alborescent Schlab		Schrublands
Adult Plantation; Young or Just harvested plantation; Plantation		Plantation
with Wild Exotic Species		Tarreation
Adult Native Forest; Secondary Native Forest; Secondary – Adult	Forest Lands	Native Forest
Native Forest; Stocky Native Forest; Mixed Forest; Native Forest -		
Plantation; Native Forest with Wild Exotic Species		
Herbaceous Vegetation in Riverbanks; Herbaceous Marshland;		
Herbaceous and Bushy Ñadi; Peat; Wetland; Floodplain; Other	\A/a+lamala	Wetlands
Wet Areas	Wetlands	
Sea; River; Lake-Lagoon-Reservoir-Dam		Waterbodies
Beach and Dune; Rocky Outcrop; Land above Vegetation Altitude		Areas deprived of
Limit; Stream of Lava and Slag Heap; Landslide without	Other land uses	Areas deprived of
vegetation; Salt Flats; Others without vegetation; River Box	Other land uses	Vegetation
Snow; Glacier; Ice Cap		Snow and Glaciers

Table 1 Cadastre Land uses standardized to IPCC categories.

#### REDD+ activities and sub – activities that occur in forest remaining forests<sup>4</sup>

The data selected for the estimation of emissions and captures from activities that occur in forest remaining forests are those indicated in the ERPD and refer to data coming from plots in the Continuous Inventory of Forest Ecosystems or National Forest Inventory by INFOR, combined with spectral information from the Landsat series. This information integrates forest state variables on the number of trees per hectare and basal area registered in the IFN plot monitoring, with Landsat spectral data in order to estimate carbon stocks in a spatially explicit manner.

The inventory collects information on trees with Diameter at Breast Height (DBH) equal to or greater than 25 cm in the  $500 \text{ m}^2$  plot, trees with DBH equal to or greater than 8 cm in  $122 \text{ m}^2$  plots and trees with DBH equal to or greater than 4 cm in  $12.6 \text{ m}^2$  plots. At the individual level, the species, DBH, bark thickness, treetop diameter and health status. More detailed information on the total height, treetop start height, stump height, etc. is obtained for a subsample on each plot.

The estimate of the variation in carbon content on forests that remain as such for FREL/FRL and monitoring report for Degradation, Restoration of Forest remaining forests and Forestry Conservation activities is estimated based on information coming from the Continuous Inventory of Forest Ecosystems and the application of remote sensing techniques on LANDSAT satellite images.

The LANDSAT earth observation program has obtained images of the terrestrial cover since 1972, through LANDSAT-1, until now, through LANDSAT – 8, being a very interesting tool for the study of temporary phenomena, as proven in a large number of publications.

Images from the various LANDSAT missions are freely and publicly available on different platforms. For the case of NFMS, access is through Google Earth Engine (GEE), from which multi – pixel mosaics are developed.

<sup>&</sup>lt;sup>4</sup> CO2 maps are available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/mapas/CO2-MR1.zip">https://plataforma.enccrv.cl/static/erpa/mr1/mapas/CO2-MR1.zip</a>

#### Satellite Information

The generation of activity data in land use change activities and change detection is developed based on spectral information from the Landsat data. To obtain satellite images that are representative of the beginning and end of each period, NFMS has been corrected through work with multi – pixel mosaics that require a time range for the search for cloud free images and the selection of the pixels that comprise such images. The multi – pixel mosaic is an image comprised of pixels from various images, extracted from the definition of a time range or window. The selection of each pixel seeks to define the best available information for a given area, with the priority being pixels free of clouds and shadows of such clouds.

Given the large number of clouds in the south of Chile, this time window will correspond to a range of  $\pm$  3 months for the starting date of the period and the end date of the analysis period respectively, as it corresponds to the dry season period in Chile. As an example, for the 2018 – 2019 where the starting date is January 1, 2018, the time range or window will cover between October 1, 2017, to March 31, 2018. For the end of the period until December 31, 2019, the time range for multi – pixel mosaic estimation will address from October 1, 2019, until March 31, 2020.

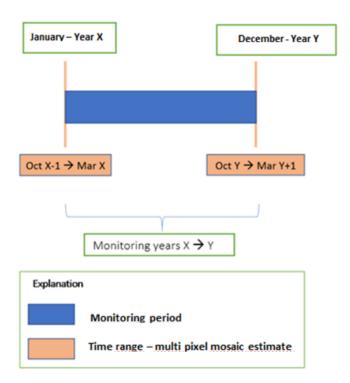


Figure 2 Example diagram for multi pixel mosaic time ranges in a monitoring period.

For pixel selection, a code is applied in GEE where median NDVI values are selected for pixels corrected to land surface. NDVI medians are selected with the purpose of not incorporating phenological states of vegetation with high photosynthetic activity or vigor, but rather selecting values that do not alter the outcome of the method application.

#### Information administration and Management

The management of the information that comprises the NFMS is led by the Climate Change and Ecosystem Services Department (DCCSE) of CONAF, by means of a cloud-based infrastructure. For the development of FREL/FRL, the associated information was managed through spreadsheets stored in desktop computers, backed up in external storage devices.

Currently, data management is done through cloud storage using Gmail and OneDrive platforms. A series of folders have been organized in order to favor versioned and organized information storage, to which access is granted

through various permissions to the people who participate in the estimation process. The folders are divided into base information, documents, tools, reports, and work for each of the NFMS reporting elements.

The base information provided by the DMECC and INFOR, along with the auxiliary information which feeds the monitoring, are stored in different folders that can be accessed by the working teams that generate such data. Each folder may contain different versions of the data, which is documented through files that account for the changes between versions. The versioning description is the joint responsibility of the team and the information generating team.

The data that comprise the NFMS and allow for the generation of reports are public in nature and made available to the community through links to downloadable files in the reports published by Chile. CONAF is responsible for the information as the REDD+ focal point that generates estimates and develops the reports, therefore being the owner of their intellectual property.

#### Processes for collecting, processing, consolidating, and reporting GHG data and information

The main processes for collecting, processing, consolidating, and reporting GHG emissions in NFMS are described below.

- Activity data generation processes

Activity data generation is also divided into land use change activities, obtained from land use change maps, and activities on forest remaining forests, these being associated to the development of carbon flow and stock maps for forest degradation.

These two elements are generated through two base official inputs, respectively: Land use type surfaces and land use change surfaces from maps of changes in land use based on the Native Forest cadastre and Carbon content and variation in forest carbon content from the Forest Inventory. Both processes begin with the development of satellite mosaics.

a) Land Use and Land use change map development

The development process for use and land use change maps has been a joint development by DCCSE and DMECC, with the purpose of defining forest related land use changes for determining REDD+ activities and sub activities.

For the 2018 – 2019 monitoring period, the process considers the development of one Land Use change Map per region based on satellite mosaics and the MIICA<sup>5</sup> change detection method. To achieve this objective, it was necessary to establish change thresholds that ensure the spectral values register empirical changes. Change categories to be used are those mentioned in the CONAF "Methodological protocol for the development of land use and land use change maps in Native Forests as of 2016".

The application of the MIICA methodology used multi pixel mosaics from Landsat 7 for the reference period and Landsat 8 for the monitoring period and was applied through a series of codes in programming language (Javascript, R) complemented by Google Earth Engine cloud processing, SIG programs and R software.

The following land use or land use change categories are established and represented in the land use and land use change maps, according to the minimum NFMS necessary requirements:

- 01. Permanent Native Forest by forest type: Corresponds to the surface reported as Native Forest in the "Map of land use and land use changes to" which remains in the same t1 category.
- 02. Other permanent uses: Corresponds to the surface reported as land uses in the "Map of land use and land use changes to" which remains in the same t1 category.
- 03. Deforestation: Corresponds to the Native Forest surface transformed into other land uses different from forest uses between t0 and t1 maps, specifying the final land use.

<sup>&</sup>lt;sup>5</sup> The MIICA methodology is based on the combination of 2 spectral indices (dNBR, dNDVI) which, through integration rules, provide coverage of land use change, indicating the magnitude and directionality of the change.

- 04. Degradation: Corresponds to the Native Forest surface transformed into Forest Plantation between t0 and t1 maps, specifying the final land use.
- 05. Afforestation/Reforestation: Corresponds to the surface of other land uses different from forest uses transformed into Native Forest between t0 and t1 maps, specifying the initial land use.
- 06. Restoration: Corresponds to the forest plantation surface transformed into Native Forest between t0 and t1 maps.

Each process implemented for a specific period generates a land use change map per region which is integrated into existing land use change map layers. Such layers are called *"Trazabilidad"*, as they allow for the time monitoring of use changes for all polygons inside the layer.

#### Land Use Change Map Validation

The land use change map development process ends with the validation of the land use and land use change maps, with the goal of validating the results obtained from higher resolution satellite images. This validation, both for the Land Use Maps and land use change maps is implemented by comparing the results achieved in maps to reference observations obtained through open-source platforms available at Open Foris: SEPAL, Open Foris Collect and its Google Earth interface, Collect Earth.

The validation process starts with the implementation of a pre – sampling to estimate the accuracy of each IPCC land sub use. Since the distribution of the population the sample is taken from is not normal, it is necessary to conduct a pre sampling with a sample size n=30, allowing to approximate the distribution to a normal one. In this way, 30 points will be randomly distributed per IPCC sub land use in every region, which will result in a total of 330 pre sampling points per region.

The polygons from the use map which are overlapped are first selected from the previously obtained pre sampling points and then entered into Google Earth in .kml format so reference data (ground truth) can be collected with support from Collect Earth surveys. Both data collection and user accuracy estimation are conducted in the same way as the sampling, through confusion matrixes.

Reference data are selected based on the information obtained in the pre sampling, through a random sampling design stratified for each IPCC land sub use in each region. This sampling type also allows to report the accuracy and surface evaluated for each category in the results, aside from adjusting a sample size for each that ensures global reliability in the evaluated areas (Olofsson et al., 2014).

Surface per IPCC land sub use information in vectorial format is necessary for the sampling design, reducing map input and processing times in the server. User accuracy parameters for each land sub use are obtained from the information obtained in the pre sampling stage, which are then entered into the platform as expected user accuracy values, considering a 0.01 standard accuracy error (Olofsson et al., 2014). The surface estimator will use these inputs to calculate the total sample size, which will then be segregated among the different classes using the following equation (Cochran, 1977):

$$n = \frac{(\sum W_i S_i)^2}{\left[S(\hat{O})\right] + (\frac{1}{N})\sum W_i S_i^2} \approx \left(\frac{\sum W_i S_i}{S(\hat{O})}\right)^2$$

Where:

n: Sample size

N: Number of units in regions of interest (ROI)  $S(\hat{O})$ : Standard error in the desired estimated accuracy

 $W_i$ : Mapped percentage of a class i area

 $S_i$ : i strata standard deviation

$$S_i : \sqrt{U_i(1-U_i)}$$

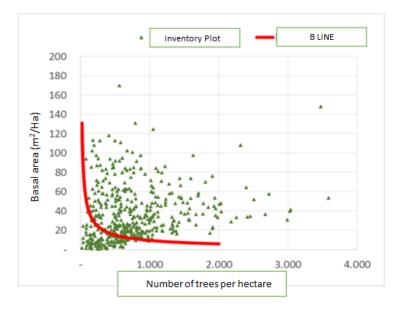
In this way, once the total sample size is obtained, files are spatialized to be used in Google Earth. Also, the polygons in the land use map to be validated are selected and overlapped with sampling points, to then be entered into Google Earth in .kml format so reference data (ground truth) can be collected with support from Google Earth surveys.

#### Activities and sub activities related to forest remaining forests

The process is based on the methodology detailed in Bahamondez et al. (2009), which considers the number of trees per hectare and basal area monitored by the inventory as input variables. It refers to spatially explicit information which location is known, by applying an interpolation process for carbon flux and stock in the analyzed periods.

Forest Inventory plots are placed in a density plot or stock chart, based on the number of trees and basal area per hectare. The density plot considers various lines or thresholds which determine, for various forest types, their status at the time of measuring. This information identifies the state of plots, distinguishing between degraded and non-degraded plots (Bahamondez, 2009)

In the case of the methodology applied in the NFMS, the B line or threshold will allow the degradation in forest remaining forests and restoration in degraded forests to be identified. Line B represents the limit at which trees can develop large treetops and completely occupy the site capacity without excessive competition (Gingrinch, 1967). The limit for this threshold was established through field work by experts and is specific for each forest type (INFOR, 2012). Line B is considered the natural resilience threshold of a forest. Plots located below the B line or threshold are not recommended for productive work.



**Figure 3** Density plot and B line. Based on data generated by field measurements from the National Forestry Inventory (INFOR) used in the NFMS.

The density plot is a tool which allows the description of the state of a forest in a static moment. Nevertheless, activities and sub activities to be included in emission estimates are processes developed over time. The graph records data gathered from field work which may generate estimates, but do not contain spatially explicit information encompassing the entire area of study, as they refer to specific points in inventory plots.

The movement in the population graph caused by variations in the basal area and number of trees per hectare between two measurements is analyzed to determine which plots are subjected to degradation or restoration processes.

- Plots that move towards the starting axis of the graph, going above or staying below line B, are considered degradation plots.
- Plots that move away from the starting axis of the graph, going above or staying below line B, are considered degraded forest restoration plots.
- Plots that move above line B are not considered in emissions estimates regardless of their direction, as variations are considered as natural effects and plots are within the natural resilience threshold.

Change of position in Stock Chart	Flux of CO <sub>2</sub>	Corresponding activity
Above B in t0 and below B in t1	Emission	Degradation
Below B in t0 and below B in t1 (stock loss)	Emission	Degradation
Below B in t0 and below B in t1 (stock gain)	Absorption	Stock Enhancement
Below B in t0 and above B in t1	Absorption	Stock Enhancement
Above B in t0 and above B in t1	N/A	Not accounted

**Table 2** Possible density plot changes between two periods of time.

REDD+ activities such changes are accounted in Forest types with density diagrams available and incorporated to the degradation estimation methodology are the following: Roble – Raulí – Coihue (RoRaCo in Spanish), Siempreverde, Canelo, Lenga – Hualo (RoHua), Coihue – Raulí – Tepa (CoRaTe), Coihue de Magallanes, Spinal subtype Esclerófilo and Esclerófilo.

#### GHG data and information consolidation and integration

The previously described inputs are integrated into geospatial relational databases associated to spreadsheets, which pick up and systematize information about activity data and emission factors for the inputs generated following a structure in accordance with the necessities of REDD+ activities.

DCCSE is responsible for the consolidation of information, integration, emissions and captures estimation for REDD activities, with such consolidation being implemented by the MRV Monitoring, Reporting and Verification team. The use of semi-automated software tools has the main goal of minimizing human errors, increasing result consistency and transparency through the association between these spreadsheets and a PostgreSQL database. This process also adds improvements concerning result check and update times.

The protocol for estimate integration and execution, aside from the spreadsheets summarizing estimate results divided by REDD+ activities integrating results for the updated monitoring period and FREL/FRL can be found as an annex to this document.

The data integration process operates on the PostgreSQL database server, to which the main and auxiliary NFMS information, land use change maps, carbon content map and estimate parameters are loaded. Change map vectorial coverages are used in .gdb formats, while rasters are integrated from map associated .dbf files.

Information entry to the database for estimate execution is supported by a series of geographic and topologic validation rules, data attributes and content, in order to avoid subsequent errors during estimations or errors and inconsistencies in the results. Data is repaired, corrected, and prepared for integration during these validations. Among all the processes being run, one of the most important tones is the estimation of surfaces per REDD+ activities, equivalent to activity data. As an example, the following table displays database tables for running estimations of activity surfaces:

Activity	Sub activity	Table name
	Forest surface enhancement	increase_cut
Enhancement	Forest surface enhancement	loss_increase
Elliancement	Forest remaining forest enhancement	g_mov_pre_2001_2010
	Forest remaining forest emiancement	g_mov_pre_2018_2019
Deforestation		deforestation_cut
	Substitution	substitution_cut
Degradation	Fires	Fire_stat
Degradation	Degradation in forest remaining forest	g_mov_pre_2001_2010
	Degradation in forest remaining forest	g_mov_pre_2018_2019
Conservation		g_mov_pre_2001_2010
Conservation		g_mov_pre_2018_2019

**Table 3** Databases for running activity surface estimations.

Each of these tables contains an attribute called sup\_ha, which is fed by the field of the same name sub\_ha from land use change map tables according to a set of conditions. For example, the SQL query for deforestation activities is as follows:

UPDATE deforestation\_cut SET ( $sup\_ha$ )= (SELECT  $sum(mh\_maule\_0113161719\_v011\_p01\_20210519\_mrv.shape\_area/10000)$  AS  $area\_ha$  FROM  $mh\_maule\_0113161719\_v011\_p01\_20210519\_mrv$  WHERE  $id\_uso\_01 = '04'$  AND  $id\_sub\_01='02'$  AND  $id\_tifo\_01='01'$  AND  $id\_est\_01='01'$  AND  $id\_use\_13='01'$ ) WHERE  $id\_region=7$  AND  $id\_tifo\_01='01'$  AND  $id\_period=1$ ;

This query can be translated as "Update field sup\_ha of the deforestation\_cut table with the value of the sum of the shape\_area column divided by 10,000 of the mh\_maule\_0113161719\_v011\_p01\_20210519\_mrv table where as of 2001 land use was forest, the sub use was native forest, forest type was Larch, structure was adult, and that use changed to urban and industrial areas in 2013. Add the sum of the entire surface with the conditions described to the deforestation\_cut table where region is Maule and id 1 (First tuple) and the period 2001 – 2013.

The process is similar for the other activity/sub activity tables, that is, the value of the surface field for the activity/sub activity tables corresponding to each tuple is updated through a set of SQL conditions on the MCUT tables.

Finally, the data uploaded to postgreSQL interacts with Excel <sup>6</sup>in order to run the estimation process. This connection takes place through the ODBC Open Database Connectivity connector. The data is then entered into the excel spreadsheets where result estimation is run.

#### Systems and processes that ensure the accuracy of the data and information

The NFMS has a series of processes established to ensure the accuracy of information, which also contribute to improving the accuracy, transparency, integrity, consistency and comparability of estimations conducted. Some of these processes have been documented, but others are still in the process of elaboration.

### Land use change maps quality control

The quality control process for land use change maps has the main objective of identifying discrepancies and inconsistencies in the results obtained. Considering a semi-automated methodology where some stages are executed through programming code is applied, it is necessary to perform checks on the end product.

Quality control has been developed by DCCSE to be applied to the products delivered by the DMECC about change maps and is mainly focused on detailed map database and attribute reviews, along with layer geometry. Once

<sup>&</sup>lt;sup>6</sup> Instructions are available in <a href="https://plataforma.enccrv.cl/static/erpa/mr1/db/ConectarExcelConPostgreSQL\_v3.pdf">https://plataforma.enccrv.cl/static/erpa/mr1/db/ConectarExcelConPostgreSQL\_v3.pdf</a>

discrepancies have been identified, they are submitted to the team responsible for their resolution, and then it must be verified if such discrepancies still remain. It is also necessary to document all methodological steps applied, in such a way that a record of continuous improvement is established.

### Methodological protocol for the development of land use maps and land use change maps in Native Forests

The objective of this protocol is to describe all procedures, inputs, data sets and methodological steps needed to generate thematic cartography and statistical reports at the technical level on land use extension, distribution and coverage changes from the digital processing of satellite images, to allow for their replication and reconstruction. Some of its specific objectives are:

- Establish a methodology for the evaluation of land use and land use changes in Native Forests with biennial frequency.
- Generate use and change (directionality) coverages for Native Forest land use in the Maule, Biobío, Araucanía, Los Ríos and Los Lagos regions.

#### Protocol for the development of carbon stock, flux and degradation maps in forest remaining forest

The methodology for estimating forest degradation, applied by INFOR, is based on the integration of satellite information provided by CONAF and the use of information from national forest inventory plots. The use of satellite images must guarantee necessary adjustments for the application of the degradation algorithm. This process is semi-automated by using multi pixel mosaics downloaded from Google Earth Engine. The protocol establishes the requirements of spatial information, dasometric information and data processing needed for calculations.

The application of this process results in thematic maps that combine the information of parcels which information is known, then spatially intersected with state variable and spectral information data.

#### **Uncertainty Estimation System for Change Maps**

The main ways to estimate the accuracy of land use and land use change maps correspond to the comparison between the result of the sorting conducted on reference maps and observations corresponding to a sample. The factors that influence such estimation are sampling design and the size of the map accuracy and precision assessment sample. Errors related to changes in land uses and sub – uses according to Cadastre characterization are calculated by following the Guides on Good Practices for the estimation of use change accuracy described in Olofsson et al (2013). In order to use this approach, use change classes were validated using the FAO tool Collect Earth, through which the categories of a total 1,868 polygons representing a total surface of 1,832,483 hectares were validated.

Chile has a semi-automated software tool that allows to compare land use change map results to high resolution images through Collect Earth. Some of its functions and use steps are:

- 1. Generate a pre-sampling by change class.
- 2. From the results of the previously generated pre-sampling, the tool generates one sampling per class that meets the guidelines described in the Map Accuracy Reports (Maximum global error) and as in pre-sampling, this tool can be used in the Collect Earth tool where a group of expert interpreters who assess the initial and final uses of the period according to IPCC classes modified for Chile.
- 3. The software uses the sampling results to calculate uncertainty at the general and use change/region class level, along with details of emission and commission errors for each map.

# Geographic data validation for calculating results

Results estimation is implemented from geographic data of carbon and use change maps. In order to validate such data, a process with the aim of ensuring the data meets basic conditions for spatial analysis by means of topological conditions has been developed.

Data geometry is validated in others, as it is fundamental for spatial analysis since errors detected by validation codes must be fixed before integration and calculation.

Aside from this and to reduce processing time for millions of records, an index structure for improving database performance has been developed. These indexes are applied to non-spatial values from the land use change map.

#### Applying a checklist to activity data

NFMS has a base process for ensuring information quality and consistency, which consists in applying checklists to the results of activity data obtained from the final integration and calculation tools. The process is applied by DCCSE, with the aim of having informed surfaces be completely consistent according to each REDD+ activity and region informed.

The verification seeks to ensure consistency between the surface reported for each activity and the official surface of each region, through two revisions:

- Total sum of REDD+ activity surfaces per region
- Consistency between the surfaces reported between two periods
- The process is applied during the integration of final calculation results and in case of finding inconsistencies, integration must be stopped, and input data reviewed.

#### Design and maintenance of the Forest Monitoring System

The NFMS has been designed and structured on the institutions related to Chilean forestry resources and the processes conducted by each institution, responsible for use change maps, degradation maps and forest inventory plots, and the GHG National Inventory of Chile.

Its design has a gradual approach, starting with a reference level at subnational scale and therefore its construction has been on a step-by-step basis, with the goal of advancing towards the national scale monitoring of forestry regions.

Regarding NFMS maintenance, the main activities by each institution that allow continuing the development of necessary inputs and methodologies for guaranteeing reporting on forest status in a climate change context considering continuous improvement elements are described below:

- CONAF: as part of its mandate, CONAF is responsible for implementing native forest registries through the DMEF. Also, based on international commitments on climate change, it has assumed the responsibility to develop biennial land use change national maps that contribute to ensure reporting on climate change matters and results based payment projects. On the other hand, CONAF has made progress in the development of software tools for the development of MRV estimates and reports.
- INFOR, due to being responsible for the National Forestry Inventory, is responsible for continuing the measurements supported by the Chilean GHG reports, both from REDD+ and the GHG National Inventory. On the other hand, as a member of the Agriculture, Forestry and Other Land Use (AFOLU) like CONAF, INFOR remains close to the ERPA associated INGEI and REDD+ climate change commitments of Chile and maintains the commitment of executing the necessary processes for estimating degradation of the two next monitoring milestones, in accordance with the agreement.

Systems and processes that support the Forest Monitoring System, including Standard Operating Procedures and QA/QC procedures

The processes that support the FNMS are described in the next SOPS:

- SOP01 Satellite mosaic elaboration (includes satellite image selection)<sup>7</sup>

<sup>&</sup>lt;sup>7</sup> SOP\_01 Available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_01\_MR1.zip">https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_01\_MR1.zip</a>

This document is a guideline to select and download multi pixel satellite mosaics to monitoring land use and land use change and to evaluate forest degradation in NFMS. The document indicates the searching windows of images and pixels with the minimum required quality to be included in the mosaic elaboration.

#### - SOP02 LULUCF Maps elaboration8

The SOP 2 indicates the technical procedure to detect gain and losses in forest land, for the land use change monitoring, through the Multi Index Integrated Change Detection MIICA method application.

#### - SOP03 Standardization and quality control protocol for land use change maps 9

Standards or guidelines are established and used as compliance rules to standardize formats regarding historical and use change map, which contain information and traceability by region of land use, sub – use, structure, forest type, forest subtype, change and type of change for each evaluated period.

Also, a series of methodological steps consisting in a quality control for identifying discrepancies between the various versions of the historical and use change maps is described.

# - SOP04 Uncertainty assessment on land use change maps<sup>10</sup>

Correspond to standard operating procedures in writing containing detailed protocols to be followed in order to correctly attribute land uses, training procedures for interpreters/evaluators and develop a re-photointerpretation process for a series of sample units to guarantee that standard operating procedures are correctly implemented and identify areas of improvements through the use of a Platform of Uncertainty.

Visual Interpretation Classification Manual:

Written manual created as a practical, step by step tutorial meant for interpreters/evaluators that participate in uncertainty estimation processes.

#### - SOP05 Forest carbon flux estimation assessment<sup>11</sup>

Develops the methodological protocol for estimating carbon fluxes in forest lands that remain as such, by integrating satellite mosaics with data series from forest inventory plots. These data are combined to determine the degradation or increase of carbon stock in permanent forest.

#### SOP06 Field operation manual<sup>12</sup>

This manual details the procedures and methods to be used in the field data collection for the inventory of the resources comprised in the native forest ecosystems of the country. It includes the chapters that deal with the data and information referring to the field brigades and the conglomerates, the plots and the trees, including the variables that characterize the development environment from an ecosystem perspective. As such, it aims to rescue data and information from the different components of forest ecosystems.

#### - SOP07 Forest fire polygons<sup>13</sup>

This document establishes the procedure for the elaboration of forest fire polygons, operational, post and final fire, as an input for the evaluation of the damage caused by these at the level of plant formations, populated centers and strategic infrastructure.

<sup>&</sup>lt;sup>8</sup> SOP\_02 Available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_02\_MR1.zip">https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_02\_MR1.zip</a>

<sup>&</sup>lt;sup>9</sup> SOP\_03 Available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_03\_MR1.zip">https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_03\_MR1.zip</a>

<sup>&</sup>lt;sup>10</sup> SOP\_04 Available in: https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP\_04\_MR1.zip

<sup>&</sup>lt;sup>11</sup> SOP 05 Available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP">https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP</a> 05 MR1.zip

<sup>&</sup>lt;sup>12</sup> SOP 06 Available in: https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP 06 MR1.zip

<sup>&</sup>lt;sup>13</sup> SOP 07 Available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP">https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP</a> 07 MR1.zip

#### Role of communities in the forest monitoring system

The role of communities in the NFMS is connected to a series of activities previously presented during the 2016 report, which has extended until today in this regard. In such a context, communities actively participate in the system in terms of complaints, first of all, on fire related issues, where territorial committees led by GEPRIF, and its Forest Fire Prevention Department have been established. In this point, the previous report mentioned that the use of fire as a tool in agriculture and silviculture activities is regulated by Decree No. 276 of MINAGRI, enacted in 1980. This decree regulates and establishes norms on technical and administrative procedures for fire use, mainly for agriculture or silviculture harvest residues disposal. When this decree was issued, general context was that close to 45% of all forest fires in Chile were generated using fire for disposing of forestry and agriculture residues without adequate planning or control measures. Nevertheless, the current situation reveals that only 6% of fires are caused due to agricultural and/or forest burning.

It is worth mentioning, regarding the application of Decree No.276, that it is conducted under the following procedures in case a member of the community needs to use fire for a specific agricultural, silviculture or forest activity as detailed below:

- 1) go to CONAF or the police and give advance notice of the date and place where fire will be used, describing planning and control measures established to prevent or fight against any possible fires that may happen.
- 2) proceed in accordance with a burning schedule pre-established by CONAF and broken down by counties, with a fire use timeline under suitable conditions for keeping fires under control.
- 3) adopt guidelines on the adequate use of fire as a tool for developing agricultural and forest lands, including topics such as controlled burning techniques and environmental considerations for keeping fire under control.

CONAF's online platform, the Burn Assistance System (<u>SAQ</u>, acronym in Spanish) certainly facilitates procedures and processes when members of the community need to use fire, where the user conducting the controlled burning can obtain a voucher for his burn notification without having to visit a CONAF office in person. In this regard, CONAF has provided capacity building and trained communities so their users can use the system effectively and independently through the national territory.

On the other hand, and on this same point about controlled burning notifications, members of the community can also actively participate in complaint procedures that lead to fire use oversight, a situation made possible by the close cooperation between CONAF and the national police (Carabineros de Chile). In this context, initiatives such as these encourage the communities to actively participate in protecting their lands by establishing the already mentioned forest oversight committees, which for example have been developed in Maule, Biobío and La Araucanía regions.

In parallel, illegal logging is another aspect related to the role of communities in NFMS. For this purpose, CONAF also has a mechanism for receiving citizen complaints via website through its third party complaint form for alleged violations of Forestry Law of Chile available in the next link https://oficinavirtual.conaf.cl/denunciaTerceros/index.php?x=1, when there is information of any acts where a violation of the Forest Law of Chile has taken place.

Among the main complaints that can be made are: i) Unauthorized cutting of forest, ii) Cutting, elimination or stripping of Xerophytic formations without a Work Plan, iii) Non-compliance with technical studies and iv) Transportation or collection of primary products from the native forest.

For this, CONAF is in charge of verifying the truthfulness of the information being provided through the complaint, following a site inspection process as final verification and also setting in motion the various legal actions that are required to be filed against the alleged offender. Afterwards, CONAF sends a document to the complainant's address to notify him/her about the outcome of the complaint and the law enforcement conducted by CONAF, informing the complainant if there was a breach of the existing forest legislation and any legal measures adopted by CONAF if required.

# Use of and consistency with standard technical procedures in the country and the National Forest Monitoring System.

The approach for the measuring, monitoring, and reporting established for emission and capture accounting is completely consistent with the procedures established by Chile for the National Forest Monitoring System, which has been developed and implemented in accordance with the technical requirements established by the UNFCCC and the Carbon Fund. It has also been subject to a technical evaluation by the Green Climate Fund panel of experts during 2019, for the results – based payment phase. The NFMS is part of the ENCCRV SMM, which besides forest monitoring is also designed to monitor neutral land degradation elements, safeguards and co – benefits.

The SMM of the ENCCRV has the information collection processes and systems that act as a basis for the NFMS. These correspond to land use change maps based on a Native Forest Cadastre for land use change activity data, the National Forest Inventory for the estimation of forest degradation, the National Wood Fuel and Carbon Inventory and the Forestry Administration and Control System (SAFF) and the Territorial Information System (SIT). These systems allow the gathering, visualization, query, and maintenance of information related to land use in Chile.

The measuring, reporting and verification approach for the ER Program is formed by the integration and interoperability of the previously mentioned existing systems as explained in previous chapters, therefore the relationship between them is direct and consistency assured. Also, the implemented technical corrections that were detailed at the start of Annex 4 are cross — cutting for the processes that comprise the SMM and NFMS, being useful for their implementation in existing systems as part of their improvements.

#### 2.2 Updates to the monitoring approach

N/A

# 2.3 Measurement, monitoring and reporting approach

The approach applied by the country is based on the preparation of land use / land use change maps, from which the stable and changing forest areas are defined for both the reference period and the period of follow-up. The first step in the process is the preparation of the land use change map and the second is the preparation of the carbon stock map, for monitoring degradation. These are the most important points in the process. Then the information on forest fires is included, to end with the monitoring of emission factors.

#### Line Diagram

A line diagram outlining the important monitoring points, parameters monitored and the integration process according to the two areas of result estimation is shown below. In addition, specific diagrams according to REDD +

activity are presented in the text. The next figure shows in a summarized way, the sources of information for the generation of emissions and absorption estimations.

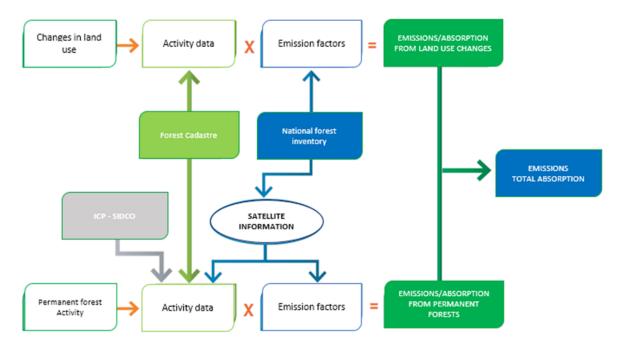


Figure 4 Sources of information for the generation of forest carbon emissions and absorption estimations.

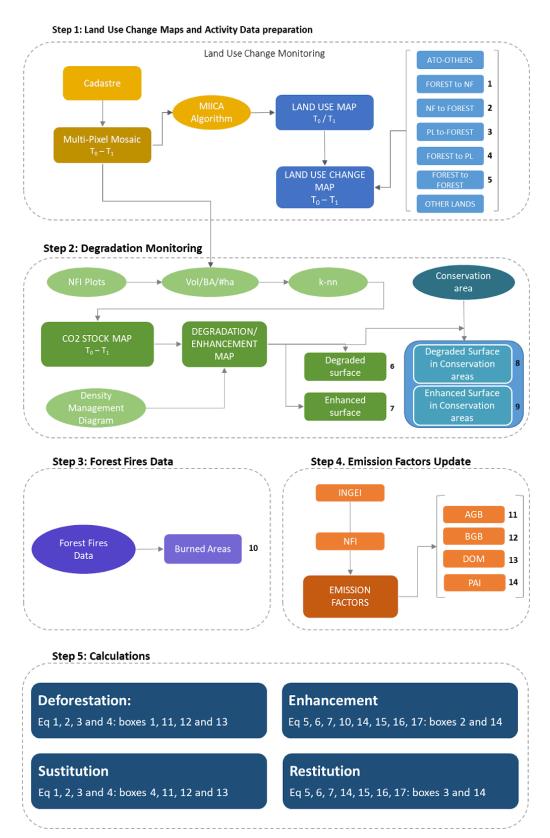


Figure 5 Methodological diagram of the Measurement and Monitoring process.

#### Calculation

Captures and emissions are estimated in the NFMS by applying the IPCC 2006 equations, in accordance with the methodology applied by INGEI. The equations applied are the same ones presented in the ERPD, both for the reference period and the result monitoring. They are detailed below, by REDD+ activity:

#### Deforestation<sup>14</sup>

The methodology for calculating deforestation is based on the IPCC 2006 equations for forest lands converted into other lands, which are also used in the INGEI for calculating emissions from forests converted into other land uses. Above ground biomass, below ground biomass and DOM reservoirs are included.

#### Equation 1. Estimation of Deforestation [Adapted from Eq. 2.15 of IPCC (2006)]

$$FREL_{Def} = \frac{\sum_{t}^{n} \Delta C_{B_{t,Def}}}{p} * \frac{44}{12}$$

#### Where:

- Def = annual average carbon stock losses in forest lands converted into non forest during the reference and monitoring period, in tonnes CO<sub>2</sub>e year<sup>1</sup>.
- CBt, Def = carbon stock change in forest lands converted into non forest in year t of the reference and monitoring period, in tonnes C.
- p = years of the reference and monitoring period.
- 44/12 = factor for converting carbon into equivalent carbon dioxide, tonnes CO<sub>2</sub>e ton C<sup>1</sup>.

Tier 3 of the IPCC methodology is used in estimations of emissions from deforestation, as carbon stocks in land uses before and after conversion are specific to Chile, with conversion areas being broken down by original land cover type (Sidman et al., 2015).

As recommended in IPCC (2006), Equation 2.15 is used to calculate annual carbon stock changes in wooded lands converted into other land use categories (in the case of deforestation, any forest area converted into non – forest)

# Equation 2 [Eq. 2.15 of IPCC (2006)]

$$\Delta C_{B_{t,Def}} = \Delta C_{G_t} + \Delta C_{CONVERSION_t} - \Delta C_{L_t}$$

#### Where:

- $\Delta C_{B_{t,Def}}$  = annual carbon stock change in forest lands converted into non forest in year t under deforestation activity (Def), in tonnes C.
- $\Delta C_{G_t}$  = annual increase in carbon stocks due to growth in forest lands converted into non forest in year t, tonnes C.
- $\Delta C_{CONVERSION_t}$  = initial change in biomass carbon stocks in forest lands converted into non forest in year t, in tonnes C.
- $\Delta C_{L_t}$  = annual loss of biomass carbon stocks due to wood harvesting, firewood extraction and disturbances in forest lands converted into non forest in year t, in tonnes C.

In this equation, changes in carbon stocks from gains and losses due to any activity other than conversion ( $\Delta C_G$  and  $\Delta C_L$ ) to net gains and losses directly due to conversion ( $\Delta C_{CONVERSION}$ ; in case of deforestation, as it generally results in a negative value due to the loss in forest carbon stocks) to calculate total changes in carbon stocks.

<sup>&</sup>lt;sup>14</sup> Excel spreadsheet available in

The NFMS of Chile includes  $\Delta C_G$ , which represents carbon captures for non – forest uses after conversion (agricultural, urban, others). This variable will be given a value of zero, as it does not impact the deforestation loss analysis.

# Equation 3 [Eq. 2.16 of IPCC (2006)]

$$\Delta C_{CONVERSION_t} = \sum_{i} \left\{ \left( B_{AFTER_i} - B_{BEFORE_i} \right) * \Delta A_{TO_{OTHERS_{i,t}}} \right\} * CF$$

#### Where:

- $\Delta C_{CONVERSION}$  = initial change in biomass carbon stocks in forest lands converted into non forest, in tonnes C year<sup>-1</sup>.
- B<sub>AFTER i</sub> = existence of biomass in non forest land use type i after conversion, in dry biomass tonnes per hectare.
- $B_{BEFORE_i}$  = existence of biomass in forest type before conversion, in dry biomass tonnes per hectare.
- $\Delta A_{TO_{OTHERS}it}$  = forest type *i* area converted into non forest in year *t*, in ha.
- CF = carbon fraction of dry biomass, in tonnes of carbon by tons of dry biomass. 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

In the case of deforestation, these two equations can be represented with two essential inputs ( $\Delta A_{TO\_OTHERSi}$ ), frequently called activity data (AD) and the amount of carbon stocks emitted due to conversion ( $B_{AFTERi}$  -  $B_{BEFOREi}$ ), frequently called emission factors (EF). Parameters  $B_{AFTERi}$  and  $B_{BEFOREi}$  only include above and below ground biomass, so DOM is included by adding parameter  $\Delta C_{DOM}$  calculated according to the following equation:

# Equation 4 [Eq. 2.23 of IPCC (2006)]

$$\Delta C_{DOM_t} = \frac{(C_n - C_o) * A_{on_t}}{T_{on}}$$

# Where:

- $\Delta C_{DOM_t}$  = DOM carbon stock change in year t, tonnes C.
- $C_n$  = dead wood and DOM carbon stocks in non forest land use after conversion, ton C year<sup>-1</sup>.
- $C_o$  = dead wood and DOM carbon stocks in forest before conversion into non forest, ton C year<sup>-1</sup>.
- $A_{on_t}$  = area converted from forest into non forest in year t, hectares.
- $T_{on}$  = time period for the forest into non forest transition.

In this equation  $A_{on}$  corresponds to activity data, or  $A_{TO_{OTHERS_i}}$ , according to the parameter of previously described in Equation 3. In order to simplify accounting, DOM emissions will be counted in the year of conversion (meaning  $T_{on}$  is supposed to have a value of 1).

The process for calculating emissions from deforestation is summarized in the following diagram:

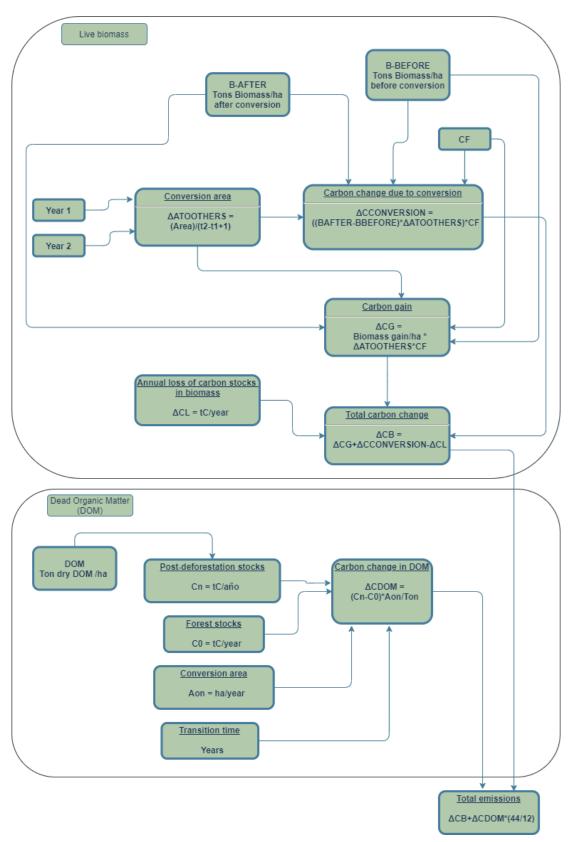


Figure 6 Step by step diagram for estimating emissions from deforestation.

## Degradation from substitution<sup>15</sup>

The equation used for estimating deforestation is applied to estimate degradation in native forests converted into plantations, as it is assumed that for a plantation to be established, all carbon content in the preceding native forest must be reduced to zero. Equation 1 is used to calculate the reference and monitoring period in  $CO_2e$ . Step-by-step diagram summarizing the process is presented below:

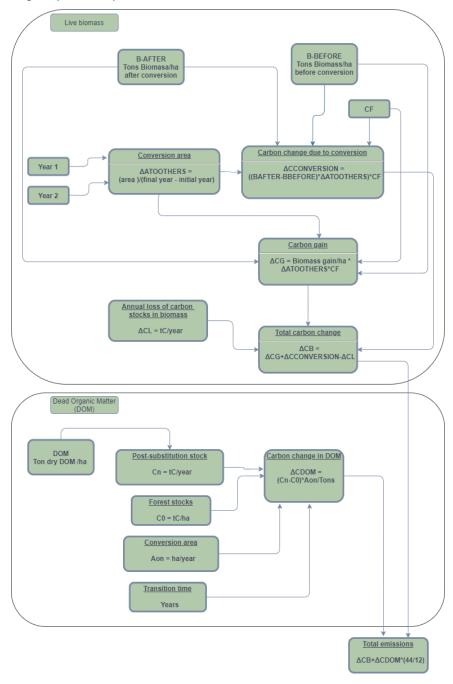


Figure 7 Step by step diagram for estimating emissions from Substitution.

https://plataforma.enccrv.cl/static/erpa/mr1/sustitucion/Herramienta Sustitucion NR2 MR1 v007.xlsx

<sup>&</sup>lt;sup>15</sup> Excel spreadsheet available in

## Forest area Restitution and carbon stock Enhancement<sup>16</sup>

As in the other calculated activities, the methodology for enhancements in other lands converted into forests is consistent with the methodology used in INGEI which is based on equations 2.9, 2.10 and 2.15 of IPCC (2006).

The general equation corresponding to Tiers 2 and 3 of IPCC (2006) is 2.15, used for calculating annual changes in carbon stocks in above and below ground biomass (the only reservoirs included in enhancement estimations) and lands converted into other land uses (In this case, non – forest into forest):

#### Equation 5 [Eq. 2.15 of IPCC (2006)]

$$\Delta C_{B_{t,ANFF}} = \Delta C_{G_t} + \Delta C_{CONVERSION_t} - \Delta C_{L_t}$$

#### Where:

- $\Delta C_{B_{t,ANFF}}$  = carbon stock enhancements in year t, from non forest lands converted into forests during the reference period, under the stock enhancement activity (A), in tonnes C.
- $\Delta C_{G_t}$  = carbon stock enhancement due to growth in non forest lands converted into forest year t, in tonnes
- $\Delta C_{CONVERSION_t}$  = initial carbon stock change in non forest lands converted into forests in year t, in ton C.
- $\Delta C_{L_t}$  = annual carbon stock decrease due to wood harvesting, firewood extraction and disturbances in non forest lands converted into forest in year t, in ton C.

The estimations for enhancements assume  $\Delta CL$  to be zero, due to the lack of sufficient data to quantify losses in non – forest areas converted into forest. Equation 2.16 of IPCC (2006) is used for the parameter  $\Delta C_{CONVERSION_t}$ :

#### Equation 6 [Eq. 2.16 of IPCC (2006)]

$$\Delta C_{CONVERSION_t} = \sum_{i} \left\{ \left( B_{AFTER_i} - B_{BEFORE_i} \right) * \Delta A_{\text{TO}_{\text{OTHERS}_{i,t}}} \right\} * CF$$

### Where:

- $\Delta C_{CONVERSION_t}$  = initial carbon change in non forest lands converted into forest in year t, ton C.
- $B_{AFTER_i}$  = biomass stocks in forest type i immediately after conversion, ton m.s. ha<sup>-1</sup>.
- $B_{BEFORE_i}$  = biomass stocks in land type i before conversion, ton d.m. ha<sup>-1</sup>.
- $\Delta A_{TO_{OTHERS}}$  = non forest land use surface converted into forest in year t, ha.
- CF = carbon fraction in dry matter, ton C (ton m.s.)<sup>-1</sup> 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

For parameter  $\Delta$ CG (enhancement due to forest growth), INGEI uses IPCC 2006 Equation 2.9 for a Tier 2 - 3 calculation. Nevertheless, INGEI only uses it for lands converted into forest in the year of conversion.

Equation 2.9 of IPCC (2006) calculates annual carbon enhancements. But Equation 6 [(Eq. 2.16 of IPCC (2006)] does not consider captures converted in previous years that keep accumulating in strata "i". So it is necessary to modify equation 2.9 of IPCC (2006) as follows to achieve a correct accounting:

<sup>&</sup>lt;sup>16</sup> Excel spreadsheet available in

#### Equation 7 [adapted from Eq. 2.9 of IPCC (2006)]

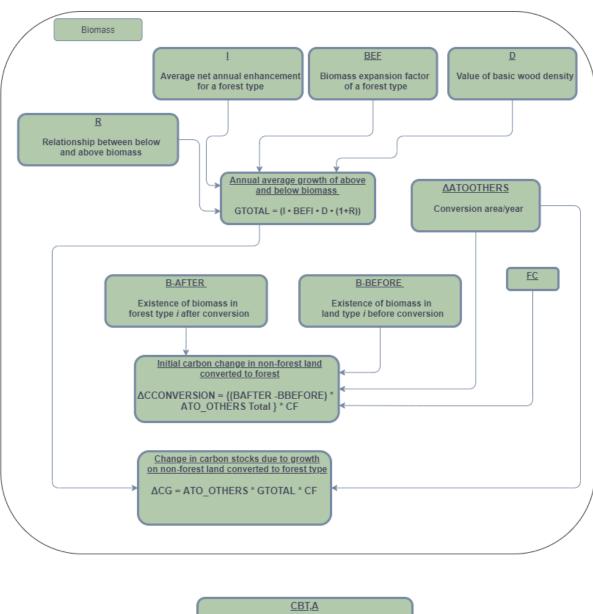
$$\Delta C_{G_t} = \sum_{i} \sum_{x} (A_{i,x} * G_{TOTAL_i} * CF)$$

#### Where:

- $\Delta C_{G_t}$  = carbon stock enhancement in year t, due to growth in non forest lands converted into forest type i during the reference period, in ton C.
- $A_{i,x}$ = Area converted into forest *i* in year *x* of the reference period, in ha.
- $G_{TOTAL_i}$ = annual average biomass growth in non forest lands converted into forest type i, ton d. m. ha<sup>-1</sup> vear<sup>-1</sup>.
- CF = dry matter carbon fraction, ton C (ton d.m.)<sup>-1</sup> · 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

Equation 7 considers that for calculating  $\Delta C_{G_t}$  in year t, it is necessary to add captures from areas converted in each year x before year t in the reference and monitoring period, to captures from areas converted in year t. In case a forest reaches adulthood and stops capturing  $\mathrm{CO}_2$  from the atmosphere, it should be removed from enhancement accounting. Nevertheless, this is not supposed to happen during the reference and monitoring period.

The following diagram represents step by step the calculation of removals due to increases from non-forest to forest and restitution (plantation to native forest). In the lower part, the number of years considered in the reference period is represented (13 in total), indicating that forests grow cumulatively from year 1 to year 13.



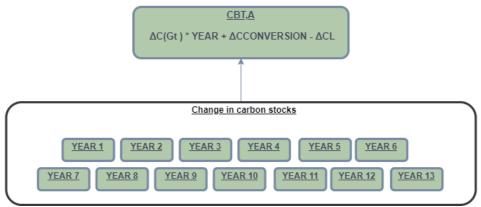


Figure 8 Step by step diagram for estimating removal from Enhancement.

#### **Forest Remaining Forest**

# Degradation 17

Equation 2.8(a) of IPCC (2006) is used to estimate changes in carbon stocks in forest lands that remain as such due to degradation:

## Equation 8 [Eq. 2.8 of IPCC (2006)]

$$\Delta C_{B_{t,DegFF}} = \frac{(C_{t_2} - C_{t_1})}{(t_2 - t_1)}$$

#### Where:

- $\Delta C_{B_{t,Deg}}$  = annual carbon stock change in forest lands that remain as such considering total area under degradation activity (*DegFF*), ton C.
- $C_{t_2}$ = total forest carbon in year  $t_2$ , ton C.
- $C_{t_1}$ = total forest carbon in year  $t_1$ , ton C.

The equation is applied for the reference level accounting described in Bahamondez *et al.* (2009)<sup>18</sup>. This methodology accounts for carbon stocks at different points in time, where the difference in carbon stocks in forest lands is considered degradation in case of losses. On the other hand, INGEI uses a loss – gain method, Equation 2.7 of IPCC (2006) instead of the stock difference method found in IPCC 2006 equation 2.8, where tabular data from INFOR is integrated to estimate volume extracted through selective logging, INFOR and MINENERGIA firewood statistics, and CONAF tabular data for the surface of fires in native forest and forest plantations. According to national experts, firewood extraction data are not very robust or representative of degradation in a comprehensive manner. The methodology used in NFMS allows to achieve Approach 3 results, spatially explicit data, and is based on robust and independent sources of information.

IPCC equation 2.8(b) is used to calculate carbon stocks in the initial and final moments of the reference period. The country applies a summarized version of IPCC eq 2.8, where the term EF considers (V \* BCEF \* (1+R)), portion of the equation that is previously calculated in the thematic map, the elements of Volume, Conversion Factor and expansion of biomass and relationship stem root): ( $C_1$  and  $C_2$  in Equation 8):

#### Equation 9 [Adapted from Eq. 2.8 of IPCC (2006)]

$$C_t = A_{Dea} * EF * CF$$

- $C_{t,i}$  = total forest carbon in year t, ton C.
- $A_{Dea}$  = degradation area in forest that remains as such, ha.
- *EF* = carbon stocks in forest that remains as such, ton biomass ha<sup>-1</sup>. Consider the product of Volume, BCEF y R Factor.
- *CF* = carbon fraction, *t* carbon *t* biomass<sup>-1</sup>. 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

<sup>&</sup>lt;sup>17</sup> Excel spreadsheet available in <a href="https://plataforma.enccrv.cl/static/erpa/mr1/bosque-permanente/Herramienta\_BP\_No\_Conservacion\_NR2\_MR1\_v09.xlsx">https://plataforma.enccrv.cl/static/erpa/mr1/bosque-permanente/Herramienta\_BP\_No\_Conservacion\_NR2\_MR1\_v09.xlsx</a>

<sup>&</sup>lt;sup>18</sup> Bahamóndez, C., Martin, M., Muller-Using, S., Rojas, Y., Vergara, G., 2009. Case Studies in Measuring and Assessing Forest Degradation: An Operational Approach to Forest Degradation. (Forest Resources Assessment Working Paper). Forestry Department, Food and Agriculture Organization of the United Nations

# Stock Enhancement in Forest remaining forest 19

IPCC (2006) equation 2.8 was used to calculate annual stock enhancements:

# Equation 10 [Eq. 2.8 of IPCC (2006)]

$$\Delta C_{B_{t,AFF}} = \frac{(C_{t_2} - C_{t_1})}{(t_2 - t_1)}$$

#### Where:

- $\Delta C_{B_{t,AFF}}$  = annual carbon stock change in forest lands that remain as such, considering total area under stock enhancement activity (*DegFF*), ton C year<sup>-1</sup>.
- $C_{t_2}$  = total forest carbon in year  $t_2$ , ton C.
- $C_{t_1}$  = total forest carbon in year  $t_1$ , ton C.

Carbon contents in year  $t_1$  (2001) and  $t_2$  (2010) were obtained from the results of applying the methodology that allows to identify areas that were below threshold or line B at the start of the reference and monitoring period.

## Forest Conservation<sup>20</sup>

As explained in previous sections, emissions and removals for Forest Conservation is estimated by adding emissions from forest degradation in forest remaining forest and absorptions from the restoration of degraded forests in forest areas under formal conservation processes. The country applies a summarized version of IPCC eq 2.8, where the term EF considers (V \* BCEF \* (1+R)), portion of the equation that is previously calculated in the thematic map, the elements of Volume, Conversion Factor and expansion of biomass and relationship stem root):

# Equation 11 [Adapted from Eq. 2.8(a) of IPCC (2006)]

$$\Delta C_{B_{t,ConFF}} = \Delta C_{B_{t,AFF}} - \Delta C_{B_{t,DeaFF}}$$

#### Where:

- $\Delta C_{B_{t,C}}$  = carbon stock annual change in forest lands subject to formal conservation processes in year t, in ton C.
- $\Delta C_{B_{t,AFF}}$ = annual changes in carbon stocks due to recovery of degraded forests in areas subject to formal conservation processes, in ton C year<sup>-1</sup>.
- $\Delta C_{B_{t,DegFF}}$  = annual changes in carbon stocks due to forest degradation in forest lands subject to formal conservation processes, in ton C year<sup>-1</sup>.

In the following diagram the summary of steps for estimating emissions and captures in forest remaining forest is presented, both for increases, degradation and conservation. As can be seen, the conservation areas correspond to a part of the forest remaining forest that is under protection. It is defined by the geographic limit that delimits the area.

<sup>&</sup>lt;sup>19</sup> Spreadsheet available in https://plataforma.enccrv.cl/static/erpa/mr1/bosque-permanente/Herramienta\_BP\_No\_Conservacion\_NR2\_MR1\_v09.xlsx

<sup>&</sup>lt;sup>20</sup> Spreadsheet available in https://plataforma.enccrv.cl/static/erpa/mr1/bosque-permanente/Herramienta BP Conservacion NR2 MR1 v09.xlsx

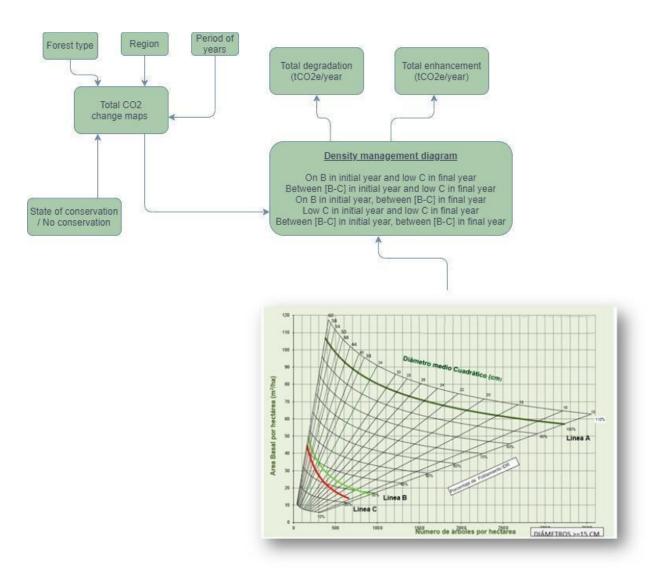


Figure 9 Step by step diagram for estimating emissions and removals from forest remaining forest.

## Non -CO<sub>2</sub> emissions from Forest Fires <sup>21</sup>

The methodology by Bahamondez et al. (2009) estimates  $CO_2$  emissions in forest remaining forests. Therefore, Equation 2.27 of IPCC (2006) is used to calculate non –  $CO_2$  emissions from forest fires:

## Equation 12 [Eq. 2.27 of IPCC (2006)]

$$L_{fire} = A * M_B * C_f * G_{ef} * 10^{-3}$$

## Where:

- ullet  $L_{fire}$  = amount of greenhouse gas emissions caused by fire, ton of each GHG gas year<sup>-1</sup>
- A = burned surface, ha year<sup>-1</sup>

https://plataforma.enccrv.cl/static/erpa/mr1/incendios/Herramienta Incendios NR2 MR1 v014.xlsx

<sup>&</sup>lt;sup>21</sup> Spreadsheet available in:

- $M_B$ = fuel mass available for combustion, ton ha<sup>-1</sup>.
- $C_f$ = combustion factor, no dimension. The value applied is 0.45 according to IPCC 2006.
- $G_{ef}$  = emission factor, g kg<sup>-1</sup> of burned dry matter. Emission factors used for the equation are 4.7 for CH<sub>4</sub> and 0.26 for N<sub>2</sub>O.

Equation 13 is used to convert  $L_{fire}$  into  ${\rm CO_2e}$ , for Equation 12:

#### **Equation 13**

$$GEI_{fire} = L_{fire} * CF$$

#### Where:

• CF = conversion factor of non CO<sub>2</sub> gas into CO<sub>2</sub>e, ton gas no-CO<sub>2</sub> ton CO<sub>2</sub>e<sup>-1</sup>. CF value is 25 for CH<sub>4</sub> and 298 for N<sub>2</sub>O, according to IPCC 2006.

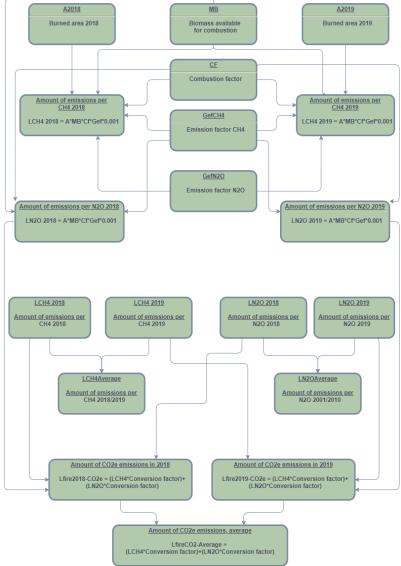


Figure 10 Step by step diagram for estimating emissions from forest fires.

#### **Emission Factors**

#### Deforestation

#### Carbon stocks before deforestation (BBEFORE)

Forest carbon stocks before deforestation were obtained from the information base of the INGEI of Chile. These numbers are derived from the national forest inventory in order to reach a Tier 3 living Above ground biomass estimation. Estimations are stratified by forest type to obtain carbon contents before deforestation. Information of changes in land use was updated to include forest type data.

Above and below ground biomasses (BBEFORE in Equation 3 and 6) along with DOM (Co in Equation 4) are obtained from the GHG national inventory. Under deforestation accounting, harvested wood products (HWP) carbon stocks are supposed to be zero, due to the lack of reliable sources of data for distinguishing between HWP due to deforestation and HWP due to degradation.

#### Carbon stocks after deforestation (BAFTERi)

INGEI uses IPCC (2006) default values for  $B_{AFTERi}$ , but these values are supposed to be the non – forest land use growth that really corresponds to  $\Delta CG$ . For FREL estimations, carbon stocks directly after deforestation in deforested lands will be assumed to be zero.

#### Changes in carbon stocks other than deforestation events (ΔCG and ΔCL)

Post – deforestation carbon stocks ( $\triangle$ CG) are determined in one of two ways:

- Values taken from a literature review of non forest carbon stocks, ideally studies conducted in Chile (such
  as Gayoso 2006). If these studies are not available, data from other regional studies (Temperate South
  America under similar management regimes) can be used. This is the preferred method, representing a Tier
  2 approach.
- When these values are not available, IPCC (2006) default values can be used. This is the method being currently used by the INGEI but represents a Tier 1 method.

Losses due to wood harvesting, firewood extraction and disturbances ( $\Delta$ CL) are supposed to be zero in deforestation areas, using the same assumption as INGEI

#### **Degradation from Substitution**

Carbon stock estimations derived from National Inventory plots and other carbon stock studies in other land uses are utilized for the emission factors in changes from native forest into plantation. Biomass stock estimations in plantations are assumed to be zero (0), as stocks in the native forest are supposed to have been reduced to zero before the establishment of the plantation.

#### **Forest Surface Enhancement and Restitution**

The value of  $B_{AFTER_i}$  in Equation 7 is supposed to be zero for agricultural lands and urban – industrial areas, as carbon stocks in non – forest land use converted into forest have been removed before forests are established. For natural land uses, mainly grasslands and scrubs,  $B_{AFTER_i}$  is supposed to be equal to  $B_{BEFORE_i}$ , as no clearing or cleaning processes are supposed to take place in those lands before the forest is established, but rather are naturally converted into forest without losing initial carbon stocks. Carbon stocks in  $B_{BEFORE_i}$  are equivalent to carbon stocks in non – forest land use. National or regional scientific reports such as Gayoso (2006) which have estimated carbon stocks in non – forest land uses are used for these stocks.

In Equation 7,  $G_{TOTAL_i}$ , average annual biomass growth per hectare for each forest type is calculated through Equation 14 (modified from Equation 2.10 in IPCC 2006).

#### Equation 14 [adapted from Eq. 2.10 of IPCC (2006)]

$$G_{TOTAL} = \Sigma_i (I_{Vi} \cdot BCEF_i \cdot (1+R_i))$$

#### Where:

- G<sub>TOTAL</sub> = Average annual above and below ground biomass growth, ton d. m. ha<sup>-1</sup> year<sup>-1</sup>.
- I = Annual average net increase for one forest type, m³ ha⁻¹ year⁻¹.
- BCEF<sub>1</sub> = Biomass expansion and conversion factor for the conversion of annual net volume increase into Above ground biomass growth for one forest type, tons of aerial growth (m<sup>3</sup> of annual average increase)<sup>-1</sup>.
- R = Relation between above and below ground biomass for one forest type in ton m.s of below ground biomass (ton m.s. of Above ground biomass)<sup>1</sup>.

Annual average net increase values, I, are gathered in the INGEI data set, based on data from national forest inventory, which estimates values for the following forest types: Alerce, Ciprés de las Guaitecas, Araucaria, Ciprés de la Cordillera, Lenga, Coihue de Magallanes, Roble Hualo, Roble-Raulí-Coihue, Coihue-Raulí-Tepa, Esclerófilo and Siempreverde. Equation 15 is used for calculating BCEF:

#### Equation 15 [From IPCC 2006 Volume 4, page 2.13]

$$BCEF_i = BEF_i \cdot D_i$$

#### Where:

- BEF<sub>I</sub>= Biomass expansion factor for one forest type. This factor expands total above ground biomass value to offset the non marketable components of the increase, no dimension
- $D = \text{Basic wood density value, ton m}^{-3}$ . The value applied for D is 0.496166.

The biomass expansion factor, BEF<sub>I</sub>, and wood basic density value, D, come from the INGEI data set, having a BEF<sub>I</sub> value for native forests, not classified by forest type, by Gayoso et al (2002). Likewise, there is only one wood density value for native species, without INGEI defining the original source, which is used for ensuring consistency with the INGEI.

The relation between above and below ground biomass in native forests, R, was estimated by Gayoso et al (2002) and is found in the INGEI data set. The value applied for R is 0.2869.

#### Forest remaining forest

Emission factors for degradation in forests remaining forests, carbon content enhancement from restoration of degraded forests, and forest conservation all use the same methodology.

The emission factors come from the national forest inventory, which is the basis for the methodology. The methodology determines a basal area for each forest hectare in  $t_1$  and  $t_2$ . The total volume of each hectare is calculated based on this data:

#### **Equation 16**

$$Vol = KAB^{\beta}$$

- *Vol*= Volume of trees in forest, cubic meters ha<sup>-1</sup>.
- AB= Basal area square meters ha<sup>-1</sup>.

- K= Constant, value of 2.9141.
- $\beta$  = Constant, value of 1.2478.

To convert volume into CO<sub>2</sub> for its use in equation:

#### **Equation 17**

$$EF = Vol * D * BEF$$

Where:

- EF = carbon stocks in forests that remain as such, ton biomass ha<sup>-1</sup>.
- *Vol* = Volume of trees in forest, cubic meters ha<sup>-1</sup>.
- $D = \text{average forest density, tons meters}^{-3}$ .
- BEF = biomass expansion and conversion factor for the conversion of annual net volume increase (bark included) into above ground biomass growth for one forest type, above ground biomass growth in tons (m<sup>3</sup> of average annual increase)<sup>-10</sup>.

#### CALCULATION OF ANNUAL EMISSION HISTORICAL AVERAGE DURING THE REFERENCE PERIOD

#### **Forest Degradation**

There are two sub activities under the degradation activity, according to the definitions:

- 1. Degradation in forest remaining forest.
- 2. Degradation from Substitution.

Various methodologies are used for each sub – activity type as previously described and justified, to calculate FREL, adding different methodologies and reference periods in ton CO<sub>2e</sub>, using the following equation:

#### **Equation 18**

$$FREL_{Deg} = \frac{(\sum_{t}^{n} \Delta C_{B_{t,DegFF}} + \sum_{t}^{n} \Delta C_{B_{t,DegFNF}}) * \frac{44}{12} + \sum_{t}^{n} GEI_{fire}}{p}$$

- $FREL_{Deg}$  = carbon stock annual average losses due to forest degradation during the reference period, in ton C year<sup>-1</sup>.
- $\Delta C_{B_{t,DegFF}}$  = carbon stock change in forest lands that remain as such in year t of the reference period, in ton C.
- $\Delta C_{B_{t,DegFNF}}$  = carbon stock change in forest lands converted into arborescent scrub or plantations in year t of the reference period, in ton C.
- $GEI_{fire}$  = Amount of non-CO<sub>2</sub> gas emissions from forest fires, ton CO<sub>2</sub>e.
- p = years of the reference period.
- $\frac{44}{12}$  = factor for converting carbon into equivalent carbon dioxide, ton CO<sub>2</sub>e ton C<sup>-1</sup>.

#### **Forest Carbon Stock Enhancement**

Captures associated to areas that change from non – forest into forest, along with captures from forest areas that remain as such are accounted under the stock enhancement category.

- 1. Forest surface restitution and enhancement.
- 2. Restoration of degraded forests

Likewise, FREL activity data regarding forest carbon stock enhancements is estimated using differentiated methodologies for forests that remain as such and the identification of non – forest areas converted into forests, just as in the degradation FREL.

# **Equation 19**

$$FRL_A = \frac{\left(\sum_{t}^{n} \Delta C_{B_{t,ANFF}} + \sum_{t}^{n} \Delta C_{B_{t,AFF}}\right) * \frac{44}{12}}{p}$$

- $FRL_A$  = annual average carbon stock increase during the reference period, in ton CO<sub>2</sub>e year<sup>-1</sup>.
- $\Delta C_{B_{t,ANFF}}$  = carbon stock change in year t, from non forest lands converted into forest during the reference period, under the stock enhancement activity (A), in ton C.
- $\Delta C_{B_{t,AFF}}$  = annual carbon stock change in forest areas that remain as forest, considering total area, in ton C year<sup>-1</sup>.
- p = years of the reference period.

# **3 DATA AND PARAMETERS**

The parameters applied to the estimates of emissions and removals in the NFMS are summarized below:

Parameter	Acronym	Units	Value	Source	Sub activity
Biomass expansion factor	BEF	No units	1.75	INGEI, 2020	Deforestation Substitution Stock Enhancement
Wood base density value	D	t d.m. m <sup>-3</sup>	0.496166	INGEI, 2020	Deforestation Substitution Stock Enhancement
Relationship between above and below ground biomass	R	No units	0.2869	INGEI, 2020	Deforestation Substitution Stock Enhancement
Yearly decrease in carbon contents due to wood harvesting, firewood extraction and disruption in nonforest lands converted into forest	ΔCL Aum	t C year <sup>-1</sup>	0	Assumption	Deforestation Substitution Stock Enhancement
Post – conversion biomass	BAFTER	t biomass ha <sup>-1</sup>	0	Assumption	Deforestation Substitution Stock Enhancement
Post – deforestation stocks	Cn	t C ha <sup>-1</sup>	0	Assumption	Deforestation Substitution Stock Enhancement
Yearly loss of carbon stocks in biomass due to disruptions in forest lands converted to non-forests in year -t.	ΔCL	t C year⁻¹	0	Assumption	Deforestation Substitution Stock Enhancement

 Table 4 Parameters applied to emissions and removals estimations in the NFMS.

The parameters used for the calculations of emissions and reversals, segregated by land use and sub-use, according to the REDD+ activities are summarized in the following table:

Land use	Sub-use	t dry AGB/ha REDD+ A Deforestation, Substi	Enhancement	Source
Settlements	-	2	0	INGEI, 2020
Cropland	-	10	2	INGEI, 2020
	Grassland	4.73	8.13	Gayoso et al. (2006)
	Scrub - Grassland	9.04	14.99	Gayoso et al. (2006)
	Scrub	9.04	14.99	Gayoso et al. (2006)
Grassland and Shrubland	Arborescent Scrub	21.78	35.25	Gayoso et al. (2006)
	Scrub with Succulent plants	9.04	14.99	Gayoso et al. (2006)
	Succulent Plant Formation	4.73	8.13	Assumption, equal to grassland
	Shrubs Plantation	9.04	14.99	Gayoso et al. (2006)
Forest land	Plantation	0	0	National panel of experts
	Managed bodies (reservoirs)	0	0	Assumption
Wetlands	Unmanaged bodies (natural rivers, lakes)	0	0	Assumption
	Areas without vegetation (bare soil, rock)	0	0	Assumption
Other land	Perennial snow and glacier areas	0	0	Assumption
	Unrecognized Areas	N/U	N/U	-

**Table 5** Parameters by REDD activity, land use, and land sub – use.

# 3.1 Fixed Data and Parameters

Parameter:	Biomass expansion factor (BEF) for the native forest
Description:	This factor expands the total volume of above ground biomass to compensate for non-marketable aspects of the enhancement.
Data unit:	Dimensionless parameter
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	The Biomass expansion factor comes from information collected in the country from the study of Gayoso et al. (2002) and used in INGEI (2020). This value is for native species and has a national spatial level.
Value applied:	1.75
QA/QC procedures applied	These are reference national values obtained from Gayoso et al. (2002) and INGEI (2020) as was mentioned before.
Uncertainty associated with this parameter:	Error calculation based on statistical data from the Biomass Inventory and Carbon Accounting of the Universidad Austral de Chile (UACh).  Error: 18%
Any comment:	

Parameter:	Basic density value of the wood (D)
Description:	Calculated using basic density data collected from native species growing in Chile.
Data unit:	t d.m. m <sup>-3</sup>
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	A bibliographic review of basic densities of the forest species in Chile was carried out and there were no modifications for the value exposed from Gayoso et al. (2002) and INGEI (2020).
Value applied:	0.496166
QA/QC procedures applied	These are reference national values obtained from Gayoso et al. (2002) and INGEI (2020) as was mentioned before.
Uncertainty associated with this parameter:	Calculation was performed using basic density data collected from native species growing in Chile.  Error: 5.6%
Any comment:	

Parameter:	Root-to-shoot ratios of native forest (R factor)
Description:	Relationship between below ground and above ground biomass
Data unit:	t d.m. m <sup>-3</sup>
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	R factor comes from information collected in the country (Gayoso et al., 2002; INGEI, 2020). This value is within the range of values indicated in the 2006 IPCC Guidelines for temperate forests (between 0.20 and 0.46, according to Table 4.4; Chapter 4; Volume 4) and within the values available worldwide, which provide R factors that range between 0.09 and 0.33. This value is for native species and has a national spatial level.
Value applied:	0.2869
QA/QC procedures applied	These are reference national values obtained from Gayoso et al. (2002) and INGEI (2020) as was mentioned before.
	QA / QC applied in documentation process "Estimación de valores de fracción de carbono, relación tallo raíz" ("Estimation of carbon fraction values, stem-root relation").
Uncertainty associated with this parameter:	Error calculation based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chile (UACh).  Error: 9.4%
Any comment:	

Parameter:	Above and below ground biomass of other uses		
Description:	Above and below ground biomass of Urban and Industrial Areas, agricultural land, grassland, scrub, arborescent scrub, shrub planting, succulent scrub, succulent formations, plantations, wetlands, areas deprived of vegetation, eternal snows and glaciers, waterbodies and unrecognized areas		
Data unit:	Tons of dry biomass ha <sup>-1</sup> (t d.m. ha <sup>-1</sup> )		
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	<ul> <li>Urban and Industrial Areas (INGEI, 2020)</li> <li>Agricultural land (INGEI, 2020)</li> <li>Grassland (Gayoso et al., 2006)</li> <li>Grassland - Scrub (Gayoso et al., 2006)</li> <li>Scrub (Gayoso et al., 2006)</li> <li>Arborescent scrub (Gayoso et al., 2006)</li> <li>Scrub with succulent (Gayoso et al., 2006)</li> <li>Succulent formations (assumption of grassland values (Gayoso et al., 2006))</li> <li>Shrub planting (Gayoso et al., 2006)</li> <li>Plantation (Expert national panel)</li> <li>Wetlands (assumption)</li> <li>Areas deprived of vegetation (assumption)</li> <li>Eternal snows and glaciers (assumption)</li> <li>Waterbodies (assumption)</li> <li>Unrecognized areas (assumption)</li> <li>These are reference national values obtained from Gayoso et al. (2006), INGEI (2020), an expert national panel and assumption as mentioned before.</li> </ul>		

Value applied:	Use / sub use	t dry above ground biomass ha-1	t dry below ground biomass ha-1
	Urban and Industrial Areas	2	0
	Agricultural land	10	2
	Grassland	4.73	8.13
	Grassland - Scrub	9.04	14.99
	Scrub	9.04	14.99
	Arborescent scrub	21.78	35.25
	Scrub with succulent	9.04	14.99
	Succulent formations	4.73	8.13
	Shrub planting	9.04	14.99
	<u>Plantation</u>	0	0
	Wetlands	0	0
	Areas deprived of vegetation	0	0
	Eternal snows and glaciers	0	0
	Waterbodies	0	0
	Unrecognized areas	0	0
QA/QC procedures applied	These are reference national value in the individual (2020), an expert national (2020) in the individual (2020).		•
Uncertainty associated with this		panel and assumption	•
	INGEI (2020), an expert national	oanel and assumption oso et al., (2006).	•
Uncertainty associated with this	INGEI (2020), an expert national Data from INGEI (2020) and Gay	oso et al., (2006).  Error % in above	as mentioned before.
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use	oso et al., (2006).  Error % in above	as mentioned before.
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land	oso et al., (2006).  Error % in above	ground biomass 5%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land  Grassland	panel and assumption pso et al., (2006).  Error % in above 99 75	as mentioned before.  ground biomass 5%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land	oso et al., (2006).  Error % in above  75  27.  34.	as mentioned before.  ground biomass 5% 5% 7%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land  Grassland  Grassland – Scrub	panel and assumption  pso et al., (2006).  Error % in above  75  27.  34.	as mentioned before.  ground biomass 5% 5% 7% 6%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land  Grassland  Grassland – Scrub  Scrub	panel and assumption  poso et al., (2006).  Error % in above  99  75  27  34  34  22	e ground biomass 5% 5% 6% 6%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land  Grassland  Grassland – Scrub  Scrub  Arborescent scrub	panel and assumption  pso et al., (2006).  Error % in above  27  27  34  34  22  34	as mentioned before.  e ground biomass  5%  5%  6%  6%  4%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land  Grassland  Grassland – Scrub  Scrub  Arborescent scrub  Scrub with succulent	panel and assumption  poso et al., (2006).  Error % in above  99  75  27  34  34  22  34  27	as mentioned before.  e ground biomass 5% 5% 6% 6% 4% 6%
Uncertainty associated with this	Data from INGEI (2020) and Gaye  Use / sub use  Urban and Industrial Areas  Agricultural land  Grassland  Grassland – Scrub  Scrub  Arborescent scrub  Scrub with succulent  Succulent formations	panel and assumption  poso et al., (2006).  Error % in above  27.  34.  22.  34.  27.  34.  pmass (BGB) of Non-Foollowing IPCC appro	as mentioned before.  ground biomass 5% 5% 5% 6% 6% 6% 6% 6% 6% 6% 6% arest lands is based on ach 1 of Matorral-ratio -R Factor error

Parameter:	Above and below ground	biomass of nativ	e forest.		
Description:	Above and below ground biomass of native forest. The native forest is classified by different forest type and structure. Each forest type has its own biomass value in some cases, depending on data availability.				
Data unit:	Tons of dry biomass ha <sup>-1</sup> (t d.m. ha <sup>-1</sup> ).				
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Native forest data are national data and published in INGEI (2020). The mixed forest is calculated as a weighted average value of the forest types present in the region and according to the forest type surface present for the activity of deforestation of the period.  For missing biomass values of the forest types and some of the structures a weighted average value was calculated in the region and according to the forest type surface present at the final year in the reference level (2013). The AGB and BGB parameters are estimated from the IFN plots.  The estimate of the variation in carbon content on forests that remain as such for FREL/FRL and monitoring report for Degradation, Restoration of Forest remaining forests and Forestry Conservation activities is estimated based on information coming from the Continuous Inventory of Forest Ecosystems and the application of remote sensing techniques on LANDSAT satellite images.				
Value applied:	Forest type Structure (t d.m. ha <sup>-1</sup> ) BGB (t d.m. ha <sup>-1</sup> )				
	Alerce	Adult	339.1	97.3	
	Alerce	Young	203.6	58.4	
	Alerce   Tourig   203.0   36.4   Alerce   Adult/Young   203.6   58.4				
	Alerce				
	Ciprés de las Guaitecas Adult 198.0 56.8				
	Ciprés de las Guaitecas	Young	198.0	56.8	
	Ciprés de las Guaitecas	Adult/Young	198.0	56.8	
	Ciprés de las Guaitecas	Stunted	198.0	56.8	
	Araucaria	Adult	421.4	120.9	
	Araucaria	Young	219.1	62.9	
	Araucaria	Adult/Young	219.1	62.9	
	_ Araucaria	Stunted	421.4	120.9	
	Ciprés de la Cordillera	Adult	124.0	35.6	
	Ciprés de la Cordillera	Young	124.0	35.6	
	Ciprés de la Cordillera	Adult/Young	124.0	35.6	
	Ciprés de la Cordillera	Stunted	124.0	35.6	
	Lenga	Adult	198.5	56.9	
	Lenga	Young	237.2	68.1	
	Lenga	Adult/Young	237.2	68.1	
	Lenga	Stunted	198.5	56.9	
	Coihue de Magallanes	Adult	129.1	37.1	
	Coihue de Magallanes	Young	129.1	37.1	
	Coihue de Magallanes	Adult/Young	129.1	37.1	
	Coihue de Magallanes	Stunted	129.1	37.1	
	Roble - Hualo	Adult	114.9	33.0	
	Roble - Hualo	Young	114.9	33.0	

	Roble - Hualo	Adult/Young	114.9	33.0
	Roble - Hualo	Stunted	114.9	33.0
	Roble - Raulí - Coihue	Adult	178.6	51.2
	Roble - Raulí - Coihue	Young	152.8	43.8
	Roble - Raulí - Coihue	Adult/Young	152.8	43.8
	Roble - Raulí - Coihue	Stunted	178.6	51.2
	Coihue - Raulí - Tepa	Adult	377.0	108.2
	Coihue - Raulí - Tepa	Young	377.0	108.2
	Coihue - Raulí - Tepa	Adult/Young	377.0	108.2
	Coihue - Raulí - Tepa	Stunted	377.0	108.2
	Esclerófilo	Adult	18.6	5.3
	Esclerófilo	Young	18.6	5.3
	Esclerófilo	Adult/Young	18.6	5.3
	Esclerófilo	Stunted	18.6	5.3
	Siempreverde	Adult	361.6	103.8
	Siempreverde	Young	127.3	36.5
	Siempreverde	Adult/Young	127.3	36.5
	Siempreverde	Stunted	361.6	103.8
	r			
QA/QC procedures applied	SOP_06_Field Operation I	Manual		
Uncertainty associated with this parameter:	Uncertainty for Below Ground Biomass BGB is based on propagation error estimate following IPCC approach 1 of Above Ground Biomass-AGB error (18.85%) and Root shoot ratio -R Factor error (9.4%) estimated by Gayose et al. (2002), resulting in total uncertainty of 44.2%.  For the forest types with a limited number of sampling plots, AGI uncertainty propagation with Monte Carlo analysis uses the following information: i. DBH measurement error (0.2%), calculation based of Continuous Forest Inventory data of INFOR; ii. Volume estimation error (0.07%), calculation based on Continuous Forest Inventory data of INFOR iii. Biomass Expansion Factor (BEF) error (18.0%), BEF comes from information collected in the country from the study of Gayoso et al. (2002 and used in INGEI (2020). This value is for native species and has a national spatial level. Error calculation is based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chill (UACh); and iv. Wood Density (5.6%) calculated using basic density dat collected from native species growing in Chile. Finally, these uncertainties are combined following IPCC approach 1 (error propagation), resulting in			ss-AGB error ed by Gayoso g plots, AGB he following on based on mation error ata of INFOR, comes from the et al. (2002) has a national the Biomass tral de Chile density data
		PCC approach 1 (	•	

Parameter:	Dead organic matter of native forest (DOM).				
Description:	The native forest is classified by different forest types and structures. Each forest type has its own dead organic matter value in some cases, depending on data availability. The mixed forest is calculated as a weighted average value of the forest types present in the region and according to the forest surface present for the activity of deforestation of the period.  For the missing DOM value of the forest types and some of the structures, a weighted average value was calculated in the region and according to the forest type surface present at the final year in the reference level (2013).				
Data unit:	Tons of carbon in dead organic	: matter ha <sup>-1</sup> (tC ha	<sup>-1</sup> ).		
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Forest native data are national data and published in INGEI (2020).				
Value applied:	Forest type	Structure	AGB (tC ha <sup>-1</sup> )		
	Alerce	Adult	121.4		
	Alerce	Young	121.4		
	Alerce	Adult/Young	121.4		
	Alerce	Stunted	121.4		
	Ciprés de las Guaitecas	Adult	62.11		
	Ciprés de las Guaitecas	Young	62.11		
	Ciprés de las Guaitecas	Adult/Young	62.11		
	Ciprés de las Guaitecas	Stunted	62.11		
	Araucaria	Adult	133.4		
	Araucaria Young 133.4				
	Araucaria	Adult/Young	133.4		
	Araucaria	Stunted	133.4		
	Ciprés de la Cordillera	Adult	62.11		
	Ciprés de la Cordillera	Young	62.11		
	Ciprés de la Cordillera	Adult/Young	62.11		
	Ciprés de la Cordillera	Stunted	62.11		
	Lenga	Adult	43.4		
	_ Lenga	Young	43.4		
	_ Lenga	Adult/Young	43.4		
	_ Lenga	Stunted	43.4		
	Coihue de Magallanes	Adult	140.1		
	Coihue de Magallanes	Young	140.1		
	Coihue de Magallanes	Adult/Young	140.1		
	Coihue de Magallanes	Stunted	140.1		
	Roble - Hualo	Adult	62.11		
	Roble - Hualo	Young	62.11		
	Roble - Hualo	Adult/Young	62.11		
	Roble - Hualo	Stunted	62.11		
	Roble - Raulí - Coihue	Adult	52.9		
	Roble - Raulí - Coihue	Young	52.9		
	Roble - Raulí - Coihue	Adult/Young	52.9		
	Roble - Raulí - Coihue	Stunted	52.9		

	Coihue - Raulí - Tepa	Adult	74.4
	Coihue - Raulí - Tepa	Young	74.4
	Coihue - Raulí - Tepa	Adult/Young	74.4
	Coihue - Raulí - Tepa	Stunted	74.4
	Esclerófilo	Adult	16.7
	Esclerófilo	Young	16.7
	Esclerófilo	Adult/Young	16.7
	<u>Esclerófilo</u>	Stunted	16.7
	Siempreverde	Adult	64.8
	Siempreverde	Young	64.8
	Siempreverde	Adult/Young	64.8
	Siempreverde	Stunted	64.8
QA/QC procedures applied	SOP_06_Field Operation Manu	ıal	
Uncertainty associated with this parameter:	Error estimated from permanent plots of the INFOR Continuous Fores Inventory		
	Error: 28.4%		
Any comment:			

Parameter:	Periodic annual increment (PAI) according to forest type			
Description:	Periodic annual increment (PAI) according to forest type and structure. Each forest type has its own biomass value in some cases, depend on data availability.  For missing PAI value of the forest types and some of the structures a weighted average value was calculated in the region and according to the forest type surface present at the final year in the reference level (2013).			
Data unit:	Cubic meter per hectare and y	Cubic meter per hectare and year (m³ ha-1 year-1)		
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Forest native data are national data and published in INGEI (2020)			
Value applied:	Forest type Structure (m³ ha-¹ year-¹)			
	Alerce	Mature	0.5	
	Alerce	Young	0.5	
	Alerce	Mature/Young	0.5	
	Alerce	Stunted	0.5	
	Ciprés de las Guaitecas	Mature	3.9	
	Ciprés de las Guaitecas Young 3.9			
	Ciprés de las Guaitecas	Mature/Young	3.9	
	Ciprés de las Guaitecas	Ciprés de las Guaitecas Stunted 3.9		
	Araucaria	Mature	4.6	
	Araucaria	Young	4.6	

	Araucaria	Mature/Young	4.6
	Araucaria	Stunted	4.6
	Ciprés de la Cordillera	Mature	5
	Ciprés de la Cordillera	Young	2.7
	Ciprés de la Cordillera	Mature/Young	2.7
	Ciprés de la Cordillera	Stunted	5
	Lenga	Mature	5.8
	Lenga	Young	3.9
	Lenga	Mature/Young	3.9
	Lenga	Stunted	5.8
	Coihue de Magallanes	Mature	2.6
	Coihue de Magallanes	Young	3.7
	Coihue de Magallanes	Mature/Young	3.7
	Coihue de Magallanes	Stunted	2.6
	Roble - Hualo	Mature	5.1
	Roble - Hualo	Young	3.5
	Roble - Hualo	Mature/Young	3.5
	Roble - Hualo	Stunted	5.1
	Roble - Raulí - Coihue	Mature	6.6
	Roble - Raulí - Coihue	Young	4.1
	Roble - Raulí - Coihue	Mature/Young	4.1
	Roble - Raulí - Coihue	Stunted	6.6
	Coihue - Raulí - Tepa	Mature	5.8
	Coihue - Raulí - Tepa	Young	4.9
	Coihue - Raulí - Tepa	Mature/Young	4.9
	Coihue - Raulí - Tepa	Stunted	5.8
	Esclerófilo	Mature	1.5
	Esclerófilo	Young	1.6
	Esclerófilo	Mature/Young	1.6
	Esclerófilo	Stunted	1.5
	Siempreverde	Mature	6
	Siempreverde	Young	4.1
	Siempreverde	Mature/Young	4.1
	Siempreverde	Stunted	6
QA/QC procedures applied	SOP_06_Field Operation Man	nual	
Uncertainty associated with this	SOP 06 Field Operations Ma	anual was implemented	during fieldwork to

# Uncertainty associated with this parameter:

SOP\_06 Field Operations Manual was implemented during fieldwork to estimate PAI in the National Forest Inventory, for some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the calculation of the measurement uncertainty for PAI based on the 95% CI of the removal rate by forest type, calculated with Continuous Forest Inventory data of INFOR.

 PAI Araucaria Adulto, PAI Lenga Adulto, PAI Lenga Renoval, PAI Roble -Hualo Adulto, PAI Roble - Raulí - Coihue Adulto, PAI Roble - Raulí - Coihue Renoval, PAI Coihue - Raulí - Tepa Adulto, PAI Coihue - Raulí - Tepa

Parameter:	Combustion factor
Description:	Emission factor for degradation due to forest fires
Data unit:	Non-dimensional
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Intergovernmental Panel on Climate Change (IPCC). 2006. 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Volume 4, Chapter 2, Table 2.6 International - All other temperate forests
Value applied:	0.45
QA/QC procedures applied	
Uncertainty associated with this parameter:	Error estimated using the standard deviation and median default emission factor of the IPCC 2006.  Error: 36%
Any comment:	

Parameter:	CH <sub>4</sub> emission factor
Description:	Emission factor for degradation due to forest fires
Data unit:	g kg <sup>-1</sup> of burned dry material
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Intergovernmental Panel on Climate Change (IPCC). 2006. 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Volume 4, Chapter 2, Table 2.5 International - Extra tropical forest
Value applied:	4.7
QA/QC procedures applied	
Uncertainty associated with this parameter:	Error estimated using the standard deviation and median default emission factor of the IPCC 2006.  Error: 29.0%
Any comment:	

Parameter:	N <sub>2</sub> O emission factor
Description:	Emission factor for degradation due to forest fires
Data unit:	g kg <sup>-1</sup> of burned dry material
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Intergovernmental Panel on Climate Change (IPCC). 2006. 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Volume 4, Chapter 2, Table 2.5 International - Extra tropical forest
Value applied:	0.26
QA/QC procedures applied	
Uncertainty associated with this parameter:	Error estimated using the standard deviation and median default emission factor of the IPCC 2006.  Error: 43.8%
Any comment:	

Deforestation FREL/FRL

Deforestation FREL/FRL Parameter:	AAAreas of different Ferest Types(i) converted to enother enterent				
Parameter.	$\Delta A_{TO\_OTHERSi,t}$ = Areas of different Forest Types(i) converted to another category of land use during the 2001 – 2013 period.				
Description:	Chile has eleven different Native Forest Types in the PRE area.				
Data unit:	Total hectares (ha/year) of the 2001-2013 period.				
Value monitored during this	Forest Type Area				
Monitoring / Reporting Period:	Alerce	119.3			
g,eperung.eneur	Araucaria	337.3			
	Ciprés de la Cordillera 35.4 Ciprés de las Guaitecas 9.2				
	Coihue - Raulí - Tepa 653.3				
	Coihue de Magallanes	143.9			
	Esclerófilo	407.2			
	Lenga	2,519.3			
	Roble - Hualo	104.4			
	Roble - Raulí - Coihue	1,714.6			
	Siempreverde	1,873.9			
	Without Forest Type	144.6			
	Total (ha)	8,062.4			
Source of data and description of measurement/calculation methods and procedures applied:	Matrices of change in land use taken from Land Use Change Maps. Multipixel mosaics are used for the detection of changes in land use, focused in polygons of native forest. From the mosaic, the MIICA method is applied for the identification of spectral gains and losses, which through different techniques of post-processing allow identifying areas of forest losses and gains. The application of method establishes a series of requirements for the tiles, related to the removal of clouds, cloud shadows and artifacts. In addition, the map of use and change of use is linked to the information that comes from the Native Forest Cadastres of CONAF, therefore, the map of Land Use Change is the result of the application of the MIICA method, and the Cadastre of Native Forest. The Land Use Change Map is presented as a product through a coverage geographical area called "Traceability", which has as a characteristic the monitoring periods available for a certain region, which allows giving monitoring of historical land uses for each polygon.  The final product is regional, characterized by stunted, mature, young mature, mixed and young forests converted into areas with no vegetation, urban and industrial areas, waterbodies, areas where succulents, wetlands, schrubland, perennial snow and glaciers, grasslands and farmland have formed.				
QA/QC procedures applied:	For the generation of deforestation activity data and as part of the QA / QC process, the different procedures implemented are documented in SOPs that allow the estimates to be standardized over time.  SOP_01: Selection of REDD+ satellite mosaics  SOP_02: Elaboration of LULUCF maps				
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013).  Error = Maule 111.3%, Ñuble 72.3%, Biobío 109.6%, Araucanía 191.6%, Los Ríos 96.6%, Los Lagos 89.1%				

Any comment:	Link:
	https://plataforma.enccrv.cl/static/erpa/mr1/deforestacion/Herramienta_D
	eforestacion NR2 MR1 v016.xlsx

# Degradation – forest remaining forest FREL/FRL

Parameter:	A <sub>DegFF</sub> = Area of degradation of forests remaining forests monitored during 2001 - 2010 period, in areas not affected by browning (NBA).			
Description:	We have 6 regions for the PRE area, Maule, Ñuble, Biobio, La Araucanía, Los Ríos and Los Lagos. The Biobio Region was divided in two Region. The province of Ñuble, which was part of Biobio Region, is a new Region. The surface of the total area remains equal.  The surface area was described by degradation of native forest that remains			
	as such.	vas acserbed by acg	radation of native	e forest that remains
Data unit:	Total hectares (ha,	/year) of the 2001-20	10 period.	
Value monitored during this		Region	Area	
Monitoring / Reporting Period:		Maule	73,201	
0. 1 0		Ñuble	29,480	
		Biobío	50,825	
		La Araucanía	57,880	
		Los Ríos	29,709	
		Los Lagos	116,395	
		Total	357,490	
Source of data and description of measurement/calculation methods and procedures applied:	The data comes from INFOR's National Forest Inventory (IFN) plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare, basal area and volumes recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.			
QA/QC procedures applied:	Since one input for AD on degradation are the Land Use Change Maps in order to define areas of forest remaining forest, same QA/QC procedures and SOP are used during the process. Additional procedures are applied, and proper SOP were developed.  SOP_05_ Method for estimating in forest remaining forests the carbon variation.  SOP_06: Field Operations Manual			
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.			
	Error: 32.8%			
Any comment:	Link:https://plataforma.enccrv.cl/static/erpa/mr1/bosque- permanente/Herramienta BP No Conservacion NR2 MR1 v09.xlsx			

Degradation - Substitution activity FREL/FRL

Degradation - Substitution act	ivity FREL/FRL			
Parameter:	A <sub>DegNFF</sub> = Surface of degradation areas resulting from the conversion of forests into plantations during the 2001-2013 period.			
Description:	The total of areas by forest type that w registered	vas degradation to plantation were		
Data unit:	Total hectares (ha/year) of the period 200	01-2013		
Value monitored during this	Forest Type	Area		
Monitoring / Reporting Period:	Alerce	0.6		
<i>5.</i> 1 5	_ Araucaria	8.1		
	Ciprés de la Cordillera	15.2		
	Ciprés de las Guaitecas	0.8		
	Coihue - Raulí - Tepa	335.3		
	Coihue de Magallanes	2.5		
	Esclerófilo	1,423.8		
	Lenga	186		
	Roble - Hualo	523.1		
	Roble - Raulí - Coihue	2,881.3		
	Siempreverde	1,376.2		
	Without Forest Type	1,577.6		
	Total (ha)	8,330.4		
Source of data and description of measurement/calculation methods and procedures applied:	To estimate degradation of native forests converted to plantations, the equation used to estimate deforestation is applied, since it is assumed that, to establish a plantation, all the carbon content present in the preceding native forest must be reduced to zero.			
QA/QC procedures applied:	For the generation of degradation by substitution activity data and as part of the QA / QC process, the different procedures implemented are documented through a series of protocols or SOPs that allow the estimates to be standardized over time.  SOP_01: Selection of REDD+ satellite mosaics SOP_02: Elaboration of LULUCF maps			
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013).  Error = Maule 191.8%, Ñuble 139.9%, Biobío 134.9%, Araucanía 113.4%, Los Ríos 138.6%, Los Lagos 100.0%			
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/rtucion NR2 MR1 v007.xlsx	mr1/sustitucion/Herramienta_Susti		

# Degradation – Forest fire activity FREL/FRL

Parameter:	A = Area burned	between	2001-20	10 in the ER	P Regions.		
Description:	The surface of burned areas was recorded to estimate the degradation of the native forest.						
Data unit:	Total hectares (ha) of the 2001-2010 period.						
Value monitored during this	Region/Year	Maule	Ñuble	Biobío	Araucanía	Los Rios	Los Lagos
Monitoring / Reporting Period:	2001	25.5	20.0	69.4	63.6	0.9	9.4
	2002	147.2	117.1	7443.2	18,764.6	904.1	2,551.9
	2003	504.4	128.9	30.3	225.9	2.9	27.5
	2004	170.8	14.7	196.5	268.4	175.2	90.9
	2005	140.0	277.9	117.8	71.7	18.7	47.3
	2006	62.4	89.7	57.4	73.2	6.9	207.4
	2007	9.4	199.4	747.4	39.3	4.8	52.2
	2008	344.1	86.7	143.7	307.2	118.8	4,233.9
	2009	3,998.7	59.4	898.3	725.7	270.6	598.1
	2010 Total period	431.9 5,834.1	398.6 1,392.4	581.2 10,285.1	41.8 20,581.4	0.8	0.6 7,819.0
Source of data and description of measurement/calculation methods and procedures applied:	The Forest Fire Protection Department and its Digital Information System for Operations Control provides annualized statistical information on the occurrence of forest fires for the entire country, which in recent years has been improved by adding the spatial location of fires.						
QA/QC procedures applied:	SOP_05_ Method for estimating in forest remaining forests the carbon variation.						
Uncertainty for this parameter:	Area burned uncertainty estimated by INGEI (2020) Error: 15%						
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/incendios/Herramienta_Incend ios_NR2_MR1_v014.xlsx						

Enhancement activity - No forest to native forest FREL/FRL

Enhancement activity – No for Parameter:	$\Delta A_{\text{TOOTHERS}i, t}$ = Area of used non-forest land converted into forest during the				
	reference level				
Description:	The areas that correspond to non-forest lands were quantified in hectares to				
	later estimate the carbon capture balances of these changes in land use. In				
	this data forest plantations with exotic species are included as non-forest land				
Data unit:	Total hectares (ha/year) of the p	•			
Value monitored during	Forest T		Area		
thisMonitoring / Reporting	Alerce	7,60	23		
Period:	Araucaria		103		
T CHOU.	Ciprés de la Cor	dillera	125		
	Ciprés de las Gu		21		
	Coihue - Raulí -		202		
	Coihue de Maga		13		
	Esclerófilo		4,863		
	Lenga		320		
	Roble - Hualo	<b>†</b>	490		
	Roble - Raulí - C	oihue	3,585		
	Siempreverde	†	1,417		
	Without Forest	Туре	2,360		
	Total (ha)		13,522		
measurement/calculation methods and procedures applied:	images. The Multi-index method or MIICA (Jin et al., 2013) detects change land use for the period under study.  The MIICA methodology is based on the combination of 2 spectral ind (dNBR, dNDVI) which, through integration rules, provide coverage of land change, indicating the magnitude and directionality of the change. (Profit loss).  The MIICA methodology used images from the Landsat 8 sensor and applied through a series of codes in programming language (Javascript complemented with Google Earth Engine cloud processing, in GIS programd R software, with the objective of obtaining an efficient land use chamap.				
QA/QC procedures applied:	For the generation of enhancement (non-forest to forest) activity data and as part of the QA / QC process, the different procedures implemented are documented through a series of protocols or SOPs that allow the estimates to be standardized over time.  SOP_01: Selection of REDD+ satellite mosaics SOP_02: Elaboration of LULUCF maps,				
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013).  Error = Maule 80%, Ñuble 50.5%, Biobío 136.5%, Araucanía 192.2%, Los Ríos 65.1%, Los Lagos 138.5%				
Any comment:	Link:				
	https://plataforma.enccrv.cl/sta	tic/erpa/mr1/aur	mento/Herramienta Aumen		

# Enhancement activity – forest remains forest in non-conservation areas FREL/FRL

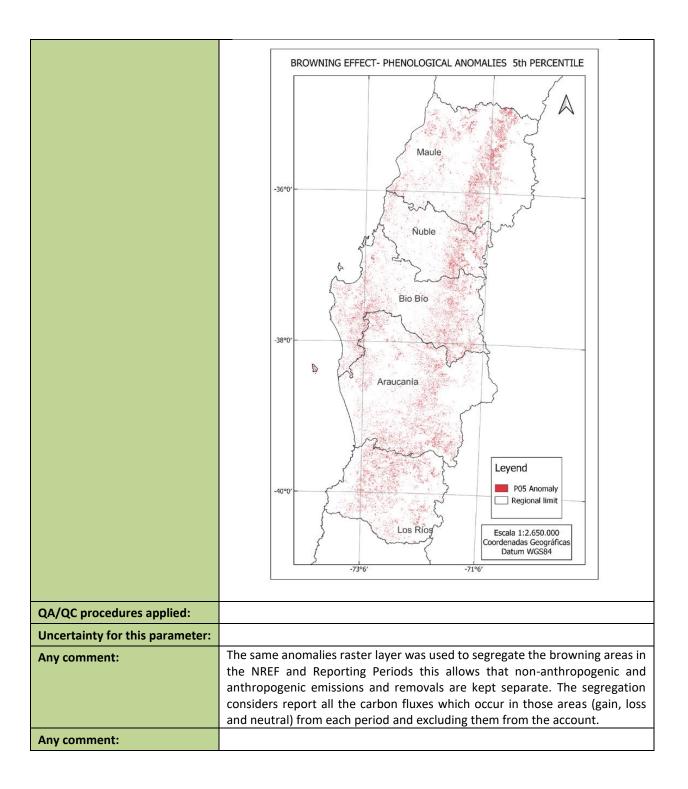
Parameter:	A <sub>EnhFF</sub> = Areas of non-conservation native forest that remains forest during the 2001–2010 period for the Sixth Region of the ERP, in areas not affected by browning (NBA).				
Description:	The areas that in 2001-2010 are forest in non-conservation area and remain as such, the hectares were estimated.				
Data unit:	Total hectares (ha/	year) of the 2001-201	LO period.		
Value monitored during this	Region Area				
Monitoring / Reporting Period:		Maule	88,778		
		Ñuble	33,323	-	
		Biobío	65,805	<u>-</u>	
		La Araucanía	87,901	<u>-</u>	
		Los Ríos	47,402	-	
		Los Lagos	139,986	-	
		Total (ha)	463,195		
Source of data and description of measurement/calculation methods and procedures applied:	The data comes from INFOR's National Forest Inventory (IFN) plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.				
QA/QC procedures applied:	Since one input for AD on enhancement in areas of forest that remain as forest are the Land Use Change Maps in order to define areas of forest remaining forest, same QA/QC procedures and SOP are used during the process. Additional procedures are applied, and proper SOP were developed. SOP_05: Method for estimating in forest remaining forests the carbon variation.  SOP_06: Field Operations Manual Inventory				
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR. Error: 32.8%				
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque- permanente/Herramienta BP No Conservacion NR2 MR1 v09.xlsx				

# Conservation activity FREL/FRL

Parameter:	$\Delta A_{TO\_OTHERSi,t}$ = Areas of conservation native forest that remains as such during the 2001-2010 period in the Six Region of the ERP, in areas not affected by browning (NBA).			
Description:	The areas that in 2001 to 2010 are forest in conservation area and remain as such			
Data unit:	Total hectares (ha) of the period 2001-2010			
Value monitored during this Monitoring / Reporting Period:		Region	Area	
		Maule	3,315	
		Ñuble	8,882	•
		Biobío	15,509	
		La Araucanía	27,794	
		Los Ríos	26,371	•
		Los Lagos	88,396	•
		Total (ha)	170,267	
Source of data and description of measurement/calculation methods and procedures applied:	The data comes from INFOR's National Forest Inventory (IFN) plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.			
QA/QC procedures applied:	Since one input for AD on enhancement in areas of forest that remain as forest are the Land Use Change Maps in order to define areas of forest remaining forest, same QA/QC procedures and SOP are used during the process. Additional procedures are applied, and proper SOP were developed. SOP_05: Method for estimating in forest remaining forests the carbon variation.  SOP_06: Field Operations Manual			
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR. Error: 32.8%			
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque- permanente/Herramienta_BP_Conservacion_NR2_MR1_v09.xlsx			

# Emissions and removals from browning affected forest area FREL/FRL

Parameter:	Browning = Areas of native forest that remains as such affected by browning during the 2001–2010 period in the six Region of the ERP.			
Description:	The areas that in 2001 to 2010 are forests affected by browning in forest areas that remain as such.			
Data unit:	Total hectares (ha) of the 2001-2010 period.			
Value monitored during this Monitoring / Reporting Period:	Region	Degradation (Ha)	Enhancement (Ha)	Conservation (Ha)
with the porting relieu.	Maule	24,394	31,978	976
	Ñuble	7,157	8,850	1,342
	Biobío	10,009	14,065	2,666
	La Araucanía	7,725	11,916	776
	Los Ríos	3,323	6,640	299
	Los Lagos	1	0	0
	Total (ha)	52,609	73,449	6,059
Source of data and description of measurement/calculation methods and procedures applied:	As could be seen in certain tables by activity data described above, emissions accounting in forest remaining forest was carried out in areas not affected by browning (NBA) excluding the areas affected by browning (BA). This occurs due to areas affected by browning are considered as a non-anthropogenic emission source because the carbon fluxes that occurs on these areas are not related to human activities as the deforestation activity. It is a non-anthropogenic source because it is generated by the decreases in precipitation falls, and water availability. The areas affected by browning correspond to those areas of forest that remain as forests that present phenological anomalies because of the impact of the megadrought. These anomalies were detected in the integral productivity of native forest in the ERP implementation area and are under the 5th percentile data productivity anomaly (GAC,2023). The phenological anomaly results in the desiccation of the crowns, loss of foliage and even the mortality of individuals.  Down below is a map with the areas of the 5th percentile anomalies distribution. These areas were used in each monitoring report to exclude the emission related to the non-anthropogenic emissions in each period. They correspond to areas of native forest between the regions of Maule and La Araucanía, together with the area of the RORACO forest type extended to the region of Los Ríos. It is worth clarifying that the browning effect emission are excluded in both the degradation, increase and conservation activities in forest remaining forest. However, the forest stratum affected by browning is not excluded from the ERP implementation and monitoring area, and its treatment is maintained continuously at the same level of scope as those areas outside this stratum.  The raster layer of phenological anomalies could be found in the following link: https://plataforma.enccrv.cl/static/erpa/mr1/mapas/BROWNING-MR1.zip			



# 3.2 Monitored data and parameters

**Deforestation activity MR1** 

Deforestation activity N					
Parameter:	$\Delta A_{TO\_OTHERSi,t}$ = Areas of different Forest Types (i) converted to another category of land use during the 2018 – 2019 period.				
Description:	Chile has 11 different Native Forest Type in the PRE area.				
Data unit:	Total hectares (ha) of the 2018-2019 period.				
Value monitored	Forest Type	Area			
during this	Alerce	48			
Monitoring / Reporting Period:	Araucaria	107			
Reporting Period.	Ciprés de la Cordillera	191			
	Ciprés de las Guaitecas	6			
	Coihue - Raulí - Tepa	1,461			
	Coihue de Magallanes	104			
	Esclerófilo	1,848			
	Lenga	1,404			
	Roble - Hualo	134			
	Roble - Raulí - Coihue	5,702			
	Siempreverde	5,667			
	Without Forest Type	1,583			
	Total	18,255			
Source of data and description of measurement/calcu lation methods and procedures applied:	Matrixes of change in land use taken from land-use change maps. Regional, characterized by stunted, mature, young mature, mixed and young forests converted into areas with no vegetation, urban and industrial areas, waterbodies, areas where succulents, wetlands, scrubland, perennial snow and glaciers, grasslands and farmland have formed.				
QA/QC procedures	SOP_02_LULUCF Maps Elaboration				
applied:	QAQC_02_Review and rectification of LULUCF	maps ERPA			
	SOP_03_ Standardization and quality control protocol for land use change maps				
	QAQC_03_ Standardization and Quality control for land use change maps_ERPA				
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013) and the estimator of the total area using the separate ratio estimator (formulas from sec. 6.10 of W.G. Cochran, Sampling Techniques, 3rd Edition, 1977)  Error = Maule 74%, Ñuble 66%, Biobío 85%, Araucanía 155%, Los Ríos 113%, Los Lagos 78%.				
Any comment:	Link:  https://plataforma.enccrv.cl/static/erpa/mr1/deforestacion/Herramienta Deforestacio n_NR2_MR1_v016.xlsx				

Degradation – forest remaining forest MR1				
Parameter:	A <sub>DegFF</sub> = Area of degradation of fores	ts that remain as forests monitored		
	during the 2018 - 2019 period.			
Description:	The area was described by degradat	ion of native forest that remains as		
	such, in areas not affected by brown	ing (NBA).		
Data unit:	Total hectares (ha) of the 2018-2019 period.			
Value monitored during this				
Monitoring / Reporting Period:	Region	Area		
	Maule	66,869		
	_ Ñuble	22,583		
	_ Biobío	34,262		
	_La Araucanía	47,666		
	Los Ríos	29,142		
	Los Lagos	90,428		
	Total	290,950		
Source of data and description of	The data comes from INFOR's National Forest Inventory (IFN) plots,			
measurement/calculation methods	combined with spectral information from the Landsat series. This			
and procedures applied:	information integrates the variables of the state of the forests on the			
	number of trees per hectare, basal area and volumes recorded by the			
	monitoring of IFN plots, with the spectral data from Landsat to estimate			
	carbon stocks in a spatially explicit way.			
	The estimate of the variation in carbon content on forests that remain as			
	such for FREL/FRL and monitoring report for Degradation, Restoration of			
	Forest remaining forests and Forestry Conservation activities is			
	estimated based on information coming from the Continuous Inventory			
	of Forest Ecosystems and the application of remote sensing techniques			
	on LANDSAT satellite images.			
QA/QC procedures applied:	SOP_05_Forest Carbon Flux estimation assessment			
	QAQC_05_Forest Carbon Flux estima	tion assessment_ERPA		
	SOP_06_Field Operation Manual			
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.			
	Error: 32.8%			
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			
	permanente/Herramienta BP No Conservacion NR2 MR1 v09.xlsx			

Degradation - Substitution activity N	MR1				
Parameter:	A <sub>DegNFF</sub> = Surface of degradation areas re forests into plantations during the 2018 – 2				
Description:	The total of areas by forest type that was degraded to plantation were				
·	registered.				
Data unit:	Total hectares (ha) of the 2018-2019 period				
Value monitored during this	Forest Type Area				
Monitoring / Reporting Period:	Alerce	0			
	Araucaria	1			
	Ciprés de la Cordillera	43			
	Ciprés de las Guaitecas	0			
	Coihue - Raulí - Tepa	130			
	Coihue de Magallanes	0			
	<u>Esclerófilo</u>	1,254			
	Lenga	57			
	Roble - Hualo	168			
	Roble - Raulí - Coihue	5,656			
	Siempreverde	1,164			
	Without Forest Type	5,903			
	Total	14,377			
measurement/calculation methods and procedures applied:	equation used to estimate deforestation is to establish a plantation, all the carbon con native forest must be reduced to zero.				
QA/QC procedures applied:	SOP_02_LULUCF Maps Elaboration QAQC_02_Review and rectification of LULUCF maps ERPA SOP_03 Standardization and quality control protocol for land use change maps QAQC_03_ Standarization and Quality control for land use change maps_ERPA				
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013) and the estimator of the total area using the separate ratio estimator (formulas from sec. 6.10 of W.G. Cochran, Sampling Techniques, 3rd Edition, 1977).  Error = Maule 96%, Ñuble 109%, Biobío 73%, Araucanía 106%, Los Ríos 48%, Los Lagos 100.0%				
Any comment:	Link:https://plataforma.enccrv.cl/static/erp Sustitucion NR2 MR1 v007.xlsx	a/mr1/sustitucion/Herramienta			

## **Degradation – Forest fire activity MR1**

begradation – Forest fire activity ivi						
Parameter:	A = Area burned between 2018 and 2019 in the ERP Regions.					
Description:	The surface of burned areas was recorded to estimate the degradation of the native forest.					
Data unit:	Total hectare	es (ha) of the 2018	8-2019 period.			
Value monitored during this	<u>-</u>	Region	2018's area	2019's area	_	
Monitoring / Reporting Period:	<u>-</u>	Maule	600	1,759	_	
	_	Ñuble	66	404	_	
	_	Biobío	148	235	_	
	_	La Araucanía	502	3,005	_	
	_	Los Ríos	61	222	_	
	_	Los Lagos	95	638	_	
	Total (ha) 1,472 6,262					
Source of data and description of measurement/calculation methods and procedures applied:	Operations Control ( <u>SIDCO</u> ), provides annualized statistical information on					
QA/QC procedures applied:	SOP_07_ForestFire_Polygons					
Uncertainty for this parameter:	Area burned uncertainty estimated by INGEI (2020) Error: 15%					
Any comment:		olataforma.enccr R2 MR1 v014.xls	•	mr1/incendios/H	<u>erramienta</u>	

# Enhancement activity - No forest to native forest MR1

Ennancement activity – No forest to						
Parameter:	$\Delta$ A <sub>TOOTHERSi, t</sub> = Non-forest land use area converted to forest during the credit					
	period.					
Description:	The areas that correspond to non-forest	lands were quantified in hectares				
	to later estimate the carbon capture bala	nces of these land changes use. In				
	this data forest plantations with exotic s	species are included as non-forest				
	land.	•				
Data unit:	Total hectares (ha) of the 2018-2019 period	od.				
Value monitored during this	Forest Type	Area				
Monitoring / Reporting Period:	Alerce	0				
	Araucaria	178				
	Ciprés de la Cordillera	42				
	Ciprés de las Guaitecas	0				
	Coihue - Raulí - Tepa	171				
	Coihue de Magallanes	0				
	Esclerófilo	1,171				
	_ Lenga	1,947				
	Roble - Hualo 5 Roble - Raulí - Coihue 6,921					
	Siempreverde 2,252					
	Without Forest Type	3				
	Total (ha)	12,690				

Source of data and description of	A semi-automated technique is applied to detect changes using satellite
measurement/calculation	images. The Multi-index method or MIICA (Jin et al., 2013) detects land use
methods and procedures	changes for the period under study.
applied:	The MIICA methodology is based on the combination of 2 spectral indexes
	(dNBR, dNDVI) which, through integration rules, provide coverage of land
	use change, indicating the magnitude and directionality of the change. (Gain
	and loss).
	The MIICA methodology used images from the Landsat 8 sensor and was
	applied through a series of co
	des in programming language (JavaScript, R) complemented with Google
	Earth Engine cloud processing, in GIS programs and R software, with the
	objective of obtaining an efficient land use change map.
QA/QC procedures applied:	SOP_02_LULUCF Maps Elaboration
	SOP_03 Standardization and quality control protocol for land use change
	maps
	QAQC_02_Review and rectification of LULUCF maps ERPA
	QAQC_03_ Standardization and Quality control for land use change
	maps_ERPA
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the
	results of the land-use change category on the map and the reference
	observations corresponding to a sample of visually interpreted points. The
	errors related to land-use change are calculated following the Good Practice
	Guidelines for estimating the precision of change of use described in
	Olofsson et al. (2013) and the estimator of the total area using the separate
	ratio estimator (formulas from sec. 6.10 of W.G. Cochran, Sampling
	Techniques, 3rd Edition, 1977)
	Error = Maule 142%, Ñuble 156%, Biobío 122%, Araucanía 129%, Los Ríos
	116%, Los Lagos 70%.
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/aumento/Herramienta_
	Aumentos NR2 MR1 v028.xlsx

Enhancement activity – forest remains forest in non-conservation areas MR1

Parameter:	A <sub>EnhFF</sub> = Areas of non-c	onservation nativ	ve forest that remains forest during		
	the 2018 – 2019 period	d for the six Regio	n of the ERP.		
Description:	The number of hectare	es of forest that i	remains as forest during the period		
	2018 - 2019 was estima	ated, in non-cons	ervation areas considering that they		
	are not within an area	affected by brow	ning (NBA).		
Data unit:	Total hectares (ha) of t	he 2018-2019 pe	riod.		
Value monitored during this		Region	Area		
Monitoring / Reporting Period:	Maule 44,426				
	Biobío 32,686				
	La Araucanía 43,622				
		Los Ríos	29,025		
		Los Lagos	96,157		
	Total (ha) 262,465				
Source of data and description of	The data comes from INFOR's NFI plots, combined with spectral information				
measurement/calculation	from Landsat series. This information integrates the variables of the state of				
methods and procedures	the forests on the number of trees per hectare and basal area recorded by				
applied:	the monitoring plots, with the spectral data from Landsat to estimate carbon				
	stocks in a spatially exp	olicit way.			

QA/QC procedures applied:	SOP_05_Forest Carbon Flux estimation assessment			
	SOP_06_Field Operation Manual			
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.			
	Error: 32.8%			
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			
	permanente/Herramienta BP No Conservacion NR2 MR1 v09.xlsx			

## **Conservation activity MR1**

Parameter:       ΔΑΤΟ_OTHERSI, t = areas of conservation of native forest that remains as such during the 2018 – 2019 period in the six Region of the ERP.         Description:       The areas that in 2018 to 2019 are forest in conservation area and remain as such.         Value monitored during this Monitoring / Reporting Period:       Region Area Maule 2,495 Area Maule 6,327 Biobío 10,148 Araucanía 20,657 Area Biobío 10,148 Araucanía 10,547 Area Biobío 10,548 A	Conservation activity MR1				
Such.    Total hectares (ha) of the 2018-2019 period.   Region   Area   Maule   2,495   Nuble   6,327   Biobío   10,148   La Araucanía   20,657   Los Ríos   27,247   Los Lagos   83,597   Total (ha)   150,471	Parameter:	= '			
Value monitored during this Monitoring / Reporting Period:Region AreaMaule2,495Nuble6,327Biobío10,148La Araucanía20,657Los Ríos27,247Los Lagos83,597Total (ha)150,471 Source of data and description of measurement/calculation methods and procedures applied: The data comes from NFI plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with spectral data from Landsat to estimate carbon stocks in a spatially explicit way. QA/QC procedures applied: SOP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation Manual Uncertainty for this parameter: Degradation mapping accuracy estimated by INFOR. Error: 32.8% Any comment: Link:https://plataforma.enccrv.cl/static/erpa/mr1/bosque-	Description:		18 to 2019 are fore	est in conservation	on area and remain as
Monitoring / Reporting Period:    Maule   2,495	Data unit:	Total hectares (ha)	of the 2018-2019 p	eriod.	
Nuble 6,327 Biobío 10,148 La Araucanía 20,657 Los Ríos 27,247 Los Lagos 83,597 Total (ha) 150,471  Source of data and description of measurement/calculation methods and procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  SOP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation Manual  Uncertainty for this parameter:  Degradation mapping accuracy estimated by INFOR.  Error: 32.8%  Any comment:  Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-	Value monitored during this		Region	Area	
Biobío 10,148 La Araucanía 20,657 Los Ríos 27,247 Los Lagos 83,597 Total (ha) 150,471  Source of data and description of measurement/calculation methods and procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  Degradation mapping accuracy estimated by INFOR. Error: 32.8%  Biobío 10,148 La Araucanía 20,657 Los Ríos 27,247 Los Lagos 83,597 Total (ha) 150,471  The data comes from NFI plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with spectral data from Landsat to estimate carbon stocks in a spatially explicit way.  SOP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation Manual  Uncertainty for this parameter:  Degradation mapping accuracy estimated by INFOR. Error: 32.8%  Any comment:	Monitoring / Reporting Period:		Maule	2,495	
La Araucanía 20,657 Los Ríos 27,247 Los Lagos 83,597 Total (ha) 150,471  Source of data and description of measurement/calculation methods and procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  Degradation mapping accuracy estimated by INFOR. Error: 32.8%  Link:https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			Ñuble	6,327	
Los Ríos 27,247 Los Lagos 83,597 Total (ha) 150,471  Source of data and description of measurement/calculation methods and procedures applied:  OA/QC proced			Biobío	10,148	
Los Lagos 83,597  Total (ha) 150,471  Source of data and description of measurement/calculation methods and procedures applied:  OA/QC procedures applied:  OA/QC procedures applied:  OP_06_Field Operation Manual  Uncertainty for this parameter:  Degradation mapping accuracy estimated by INFOR.  Error: 32.8%  Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			La Araucanía	20,657	
Source of data and description of measurement/calculation methods and procedures applied:  QA/QC procedures applied:  SOP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation Manual  Uncertainty for this parameter:  Degradation mapping accuracy estimated by INFOR.  Error: 32.8%  Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			Los Ríos	27,247	
Source of data and description of measurement/calculation methods and procedures applied:  OA/QC procedures applied:  COP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation Manual  Uncertainty for this parameter:  Degradation mapping accuracy estimated by INFOR.  Error: 32.8%  Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			Los Lagos	83,597	
measurement/calculation methods and procedures applied:Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with spectral data from Landsat to estimate carbon stocks in a spatially explicit way.QA/QC procedures applied:SOP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation ManualUncertainty for this parameter:Degradation mapping accuracy estimated by INFOR. Error: 32.8%Any comment:Link:https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			Total (ha)	150,471	
SOP_06_Field Operation Manual  Uncertainty for this parameter: Degradation mapping accuracy estimated by INFOR.  Error: 32.8%  Any comment: Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-	measurement/calculation methods and procedures	Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with spectral data from Landsat to estimate carbon			
Uncertainty for this parameter:  Degradation mapping accuracy estimated by INFOR.  Error: 32.8%  Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-	QA/QC procedures applied:	SOP_05_Forest Carl	bon Flux estimation	n assessment	
Error: 32.8%  Any comment: Link: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/bosque-">https://plataforma.enccrv.cl/static/erpa/mr1/bosque-</a>		SOP_06_Field Operation Manual			
Any comment: Link: https://plataforma.enccrv.cl/static/erpa/mr1/bosque-	Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.			
		Error: 32.8%			
permanente/Herramienta_BP_Conservacion_NR2_MR1_v09.xlsx	Any comment:	Link:https://plataforma.enccrv.cl/static/erpa/mr1/bosque-			

<b>Emissions and removals from</b>	browning affected forest	area MR1					
Parameter:	Browning = Areas of native forest that remains as such affected by browning during the $2018 - 2019$ period in the six Region of the ERP.						
Description:	The areas that in 2018 to 2019 are forests affected by browning in forest areas that remain as such.						
Data unit:	Total hectares (ha) of the	2018-2019 per	iod.				
Value monitored during this Monitoring /	Region	Degradation (Ha)	Enhancement (Ha)	Conservation (Ha)			
Reporting Period:	Maule	25,168	15,297	734			
	<u> Ñuble</u>	5,979	4,314	1,069			
	Biobío	7,997	7,696	1,872			
	La Araucanía	6,340	5,018	460			
	Los Ríos	2,545	2,674	205			
	Los Lagos Total (ha)	0	0	0			
Source of data and		48,029	34,999	annlied in the NRFF was			
description of measurement/calculation methods and procedures applied:  QA/QC procedures applied:	For the first monitoring period, the same methodology applied in the NREF was carried out regarding the segregation of non-anthropogenic emission through the exclusion of the areas affected by browning (BA) in forest remaining forest. These areas are considered as a non-anthropogenic emission source because the carbon fluxes that occurs on these areas are not related to human activities as the deforestation activity and it is generated by the decreases in precipitation falls, and water availability. The areas affected by browning correspond to those areas of forest that remain as forests that present phenological anomalies because of the impact of the megadrought. These anomalies were detected in the integral productivity of native forest in the ERP implementation area and are under the 5th percentile data productivity anomaly (GAC,2023). The phenological anomaly results in the desiccation of the crowns, loss of foliage and even the mortality of individuals. The same anomalies raster layer was used to segregate the browning areas in the NREF and Reporting Periods this allows that non-anthropogenic and anthropogenic emissions and removals are kept separate. The segregation considers report all the carbon fluxes which occur in those areas (gain, loss and neutral) from each period and excluding them from the account.						
Uncertainty for this parameter:							
Any comment:	recovery, the areas will of area in accordance with the three areas are not being the recovery or loss of the in the event that they are event to guarantee the and any other anthropog Notwithstanding the about heir initial condition pricactions are carried out, the	Regarding to the provisions will be established towards monitoring browning area recovery, the areas will continue to be monitored as part of the PRE's accounting area in accordance with the established methodology and monitoring plan.  These areas are not being excluded and it is expected that, if they remain as forest, the recovery or loss of the stock can be detected, or that emissions can be detected in the event that they are deforested, which will be reported in the third monitoring event to guarantee the account of emissions resulting from any land use changes and any other anthropogenic activities in the areas affected by browning.  Notwithstanding the above, it is not feasible to propose that these areas can recover their initial condition prior to the disturbance, since even if restoration or recovery actions are carried out, there is no certainty of the result they may have in reversing the effect of water deficit and stress.					

## Periodic annual increment (PAI) for mixed forest NREF and MR1

	(PAI) for mixed forest NREF and WK1					
Parameter:	Periodic annual increment (PAI) for mixed forest					
Description:	The mixed forest is calculated as a weighted average value of the forest types present in the region and according to the forest surface present for the activity of enhancement of the period (from no forest to native forest).					
Data unit:	Cubic meter per hectare and year (m <sup>3</sup>	ha <sup>-1</sup> year <sup>-1</sup> )				
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Forest native data are national data and published in INGEI (2020)					
Value applied:	Region	PAI NREF (m³ ha-1 year-1)	PAI MR1 (m³ ha-¹ year-¹)			
	Maule	2.1	4.2			
	Ñuble	2.8	2.4			
	Biobío	3.7	4			
	Araucanía 4.2 4.1					
	Los Ríos	4.1	4.2			
	Los Lagos	4.2	4.9			
QA/QC procedures applied	SOP_06_Field Operation Manual					
Uncertainty associated with this parameter:	SOP_06 Field Operations Manual was implemented during fieldwork to estimate PAI in the National Forest Inventory, for some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the calculation of the measurement uncertainty for PAI based on the 95% CI of the removal rate by forest type, calculated with Continuous Forest Inventory data of INFOR.  • PAI Mixed Forest = 28.7% (Average of PAI error for all forest types given lack of data.)					
Any comment:						

## 4 QUANTIFICATION OF EMISSION REDUCTIONS

#### 4.1 ER Program Reference level for the Monitoring / Reporting Period covered in this report

The Letter No. 119/2020 was sent in order to notify the application of technical improvements to the NFMS, to ensure consistency in the implementation of technical corrections to the data and methods used to establish the reference level included in the Emission Reduction Program. The technical corrections implemented are covered by paragraph 3 of the Guideline on the application of the Methodological Framework Number 2 – Technical corrections, and specifically related to literal "a" emission factor replacement, and literal "c" corrections to historical activity data. Also, methodological findings and new improvement opportunities were detected during the correction application process. The following bullet points, includes the corrections applied to the FREL detailed in Annex 4:

Update of Emission Factors (FE, acronym in Spanish)

- Replacing the use of regional EFs with EF by Forest Type from National Forest Inventory data
- Improvement of EFs used in estimating degradation emissions
- Inclusion of spatially explicit data for the historical reference period
- Consideration on arborescent scrubs
- Degradation estimation algorithm improvements
- Improvements to the land use change detection method
- Accuracy of the identification of reservoirs per REDD+ activity
- Carbon fraction value adjustment: Carbon factor is corrected from 0.5 to 0.47 to be consistent with IPCC 2006 guidelines.
- Integration Process
- Uncertainty has been estimated through the Monte Carlo method in order to meet indicators 6.1, 7.1 and 7.2.

Year of Monitoring/ Reporting period t	Average annual historical emissions from deforestation over the Reference Period (tCO <sub>2-e</sub> /yr)	If applicable, average annual historical emissions from forest degradation over the Reference Period (tCO <sub>2-e</sub> /yr)	If applicable, average annual historical removals by sinks over the Reference Period (tCO <sub>2-e</sub> /yr)	Adjustment, if applicable (tCO <sub>2-e</sub> /yr)	Reference level (tCO <sub>2</sub> - <sub>e</sub> /yr)
2018	5,140,727	11,914,436	-10,740,394	NA	6,314,770
2019	5,140,727	11,914,436	-10,740,394	NA	6,314,770
Total	10,281,454	23,828,872	-21,480,788	0	12,629,539

# 4.2 Estimation of emissions by sources and removals by sinks included in the ER Program's scope

Year of Monitoring/Reporting Period	Emissions from deforestation (tCO <sub>2-e</sub> /yr)	If applicable, emissions from forest degradation (tCO <sub>2</sub> - e/yr)*	If applicable, removals by sinks (tCO <sub>2-e</sub> /yr)	Net emissions and removals (tCO <sub>2-e</sub> /yr)
2018	4,857,817	37,952,461	-33,716,188	9,094,089
2019	4,857,817	37,952,461	-33,716,188	9,094,089
Total	9,715,634	75,904,921	-67,432,376	18,188,179

## 4.3 Calculation of emission reductions<sup>22</sup>

	Deforestation	If applicable, forest degradation	If applicable, enhanced removals from afforestation/ reforestation (A/R)	If applicable, enhanced removals from other activities besides A/R	Total (tCO <sub>2-e</sub> )
Emission or removals in the Reference Level (tCO <sub>2-e</sub> )	10,281,454	23,828,872	-1,084,890	-20,395,898	12,629,539
Emissions or removals under the ER Program during the Monitoring Period (tCO <sub>2-e</sub> )	9,715,634	75,904,922	-2,274,646	-65,157,730	18,188,179
Emission Reductions during the Monitoring Period (tCO <sub>2</sub> -e)	565,820	-52,076,050	1,189,756	44,761,832	-5,558,639
Length of the Reporting period / Length of the Monitoring Period (# days/# days)	703/730= (0,9630)				
Emission Reductions during the Reporting Period (tCO <sub>2</sub> -e)	544,892.9	-50,149,948.4	1,145,751.8	43,106,257.8	-5,353,045.9

<sup>\*</sup> Please list below which of the ER Program measures other than A/R that are being considered to generate enhanced removals: consider removals from enhancement in forest remaining forest and from conservation of carbon stock activities.

For this monitoring period, the ERP does not have global emission reductions, although reduced emissions from deforestation and increased removals from afforestation are observed, but there are no emission reductions due to degradation. The percentage of emission reductions due to afforestation/reforestation is set to 0.

Emission reductions from enhanced removals from	0
afforestation/reforestation	
as a percentage of the	
total removals (%)	

80

<sup>&</sup>lt;sup>22</sup> https://plataforma.enccrv.cl/static/erpa/mr1/resultados/0.-Resultados MRV ERPA1 v07 c2.xlsx

## 5 UNCERTAINTY OF THE ESTIMATE OF EMISSION REDUCTIONS

The country allocated Emission Reductions attributed to the reporting pro-rata period to the number of days of the Reporting Period. Chile applied a pro-rata factor of 0.963014. The ER global uncertainty was estimated with and without the pro-rata factor. The application of the pro-rata factor resulted in a reduction by 2.8% in the ER uncertainty. The quantification of the uncertainty estimates of Emission Reductions and the sensitivity analysis included in this section have been calculated applying the pro-rata values.

#### 5.1 Identification, assessment and addressing sources of uncertainty

In the table below, the country identified and discussed a list of the main sources of uncertainty and if its contribution to the total uncertainty of Emission Reductions is high or low, and whether they are systematic or random. In addition, the table includes the measures implemented to address these sources of uncertainty as part of the Monitoring Cycle.

Since a sampling approach is not used for Activity Data and emission factors are estimated from a model-based estimator, the uncertainty estimate of reduced emissions has deviated from the Guidelines on uncertainty ERs.



Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
Activity Data					Yes:	
			Land-use and land-use change areas: The Activity Data for deforestation, substitution, restitution, area increase of native forest, and permanent forest lands come from Land-use changes maps.		SOP_01_Satellite mosaic elaboration and QAQC_01_Mosaics elaboration	
		processi maps ar mapping methodo national  The land Analysis The app reference Land-use surface to each IPC resolutio vector la Addition of chang The topo Then, an	A Protocol have been prepared to facilitate the replication of the mapping steps from the digital processing of satellite images. All procedures required to prepare land-use and land-use change maps are described in the Methodological Protocol for the land-use and land-use change mapping in Native Forest (SOP 02). This protocol includes inputs, data sets, and the methodological steps necessary to generate thematic cartography and statistical reports at the national level on the extension, distribution, and land-use change.		https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP 01 MR1.zip	
Measurement (Land use change)	<b>√</b>		The land-use change detection maps are prepared with the Multi-Index Integrated Change Analysis methodology (MIICA - Jin et al., 2013), both for the reference and reporting periods. The application of the MIICA methodology uses the Landsat 7 multipixel mosaics for the reference period and Landsat 8 for the monitoring period.	Low	SOP_02_LULUCF Maps Elaboration and QAQC_02_Review and rectification of LULUCF maps ERPA	NO
			Land-use change control polygons (ground truth) are taken proportionally to each of the regions' surface to establish the spectral thresholds of the zones of change and the evaluated index for each IPCC land-use category. Next, the polygons are verified visually on the time series of high-resolution images provided by the Google Earth software. Finally, land-use allocation of the loss vector layer is done applying a supervised classification method to the multi-pixel mosaic. Additionally, temporal tracking of land-use changes is done by integrating the polygons of areas of change resulting from each monitoring period into the existing layers of change maps.  The topological conditions, data geometry, databases, and map attributes are reviewed in detail.		https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP 02 MR1.zip QAQC_03_ Standardization and Quality control for land use change maps_ERPA	
			Then, any discrepancies or inconsistencies are sent to the responsible team for resolution (SOP 03). After the solution, the inconsistency is double checked.		https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP_03_MR1.zip	

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
			The result of the activity data measurement is reviewed to ensure consistency, according to the sum of REDD+ activities areas and the surface region. This process is applied during the integration of final calculation results, and in case of finding inconsistencies, the integration must stop, and the input data must be verified.			
			Finally, Uncertainties associated with AD are due to the production process of land use maps. The uncertainties of the AD for deforestation, substitution, restitution, area increase of native forest, and permanent forest activities are associated with the errors of the satellite image processing during the preparation of land-use change maps.			
			The uncertainty of land-use change maps [1] is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. Factors influencing the estimation of uncertainty are the sampling design and the sample size used to assess the precision and accuracy of the maps. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013). This approach is applied with the FAO Collect Earth tool. With this tool, the land-use change categories from 8,717 polygons, representing a total area of 241,120 ha, for the monitoring period, were assessed.			
			The sample size of the accuracy assessment of the land-use change maps is calculated considering the user's precision parameters for each land use or category of change. These parameters are obtained from a pre-sampling. The sample size is calculated assuming a standard error of the precision of 0.01 using the equation of Cochran (1977). The evaluation points are selected with a stratified random sampling design for each IPCC sub-use in each region.			
Measurement (Forest remaining forest)	<b>√</b>	<b>✓</b>	Permanent forest degradation and carbon enhancement: The Activity Data for degradation and carbon enhancement in permanent forest lands comes from satellite imaging and NFI biomass information integration.  A Protocol has been prepared to facilitate replicating the mapping process from the digital processing of satellite images and NFI biomass information (SOP 05). All procedures are described in the Protocol to prepare carbon flux, stock, and degradation mapping in the permanent forest. This protocol includes methods description, spatial and dasometric information, and satellite data processing required to estimate activity data for degradation and carbon enhancement.	High	Yes, for a and b sources:  SOP_05: Forest Carbon Flux estimation assessment and QAQC_05_Forest Carbon Flux estimation assessment_ERPA  https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP_05_MR1.zip	NO

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
			The sources of error for estimating carbon degradation and enhancement activity data on permanent forest lands are:		SOP_06: SOP06 Field Operation Manual	
			Uncertainty associated with the forest density charts used to determine the direction of carbon flux (neutral, loss or gain) for each pixel. This uncertainty has been estimated in 32.8%.  Uncertainty associated with integrating the multi-pixel mosaic satellite data with the dasometric variables. This uncertainty has been estimated by calculating the Standard Error of Estimation of the volume function k-nn. This uncertainty has been estimated in 57%.		https://plataforma.encc rv.cl/static/erpa/mr1/so p/SOP_06_MR1.zip	
Representative ness	<b>√</b>		Land-use and land-use change areas: Multi-pixel mosaics are prepared with a temporal range with cloud-free pixels to obtain representative satellite images of the beginning and end of each period. The multi-pixel mosaic is an image composed of pixels of different images extracted from the definition of a range or time window. The selection of each pixel seeks to define the best information available in a specific area, prioritizing above all that they are pixels free of cloud and cloud shadows.  Given the high cloud cover present in southern Chile, a ± 3 months window is used for the start and end date of the analyzed period. For example, considering the period 2018 - 2019, the start date is January 1, 2018, the range or time window will correspond from October 1, 2017, to March 31, 2018; the multipixel mosaic time window for the end of period (December 31, 2019) is from October 1, 2019, to March 31, 2020.	Low	Yes: SOP_01_Satellite mosaic elaboration and QAQC_01_Mosaics elaboration metadata_ERPA https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP_01_MR1.zip	NO
	NA	NA	Land-use and land-use change areas: This source of uncertainty is not applicable. It is not required to use a sampling technique to estimate ADs for carbon deforestation, substitution, restitution, area increase of native forest, and permanent forest lands. The AD estimation is made with a total pixel count of the carbon content map for each land-use change category.	NA	NA	NA
Sampling	NA	NA	Permanent forest degradation and carbon enhancement: This source of uncertainty is not applicable. It is not required to use a sampling technique to estimate ADs for carbon degradation and enhancement. The AD estimation is made with a total pixel count of the carbon content map for each flow category (biomass gain or loss).	NA	NA	NA
Extrapolation	NA	NA	This source of uncertainty is not applicable. Extrapolation is not applied to estimate REDD+ activities, the sample-based estimation area method is not used. All REDD+ activities are calculated from spatially explicit information.	NA	NA	NA
Approach 3	NA	NA	This source of uncertainty is not applicable. Activity data were estimated conducting tracking of lands or IPCC Approach 3 for reference and monitoring periods.	NA	NA	NA

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
Emission Factor	T			T	T -	ı
			Aerial Biomass		Yes, for a:	
			The measurement uncertainty for aerial biomass estimate depends on the land-use carbon density data source:		SOP_06: Field Operation Manual	
			Aerial biomass of native and mixed forest: SOP_06 Field Operations Manual was implemented during fieldwork for the estimation of AGB in the National Forest Inventory. For some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the following information:		https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP_06_MR1.zip	
	<b>✓</b>		DBH measurement error (0.2%). Calculation based on Continuous Forest Inventory data of INFOR.			
DBH			Volume estimation error (0.07%). Calculation based on Continuous Forest Inventory data of INFOR.			
measurement H measurement Plot		✓	Biomass Expansion Factor (BEF) error (18.0%). BEF comes from information collected in the country from the study of Gayoso et al. (2002) and used in INGEI (2020). This value is for native species and has a national spatial level. Error calculation is based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chile (UACh).	Low		Yes
delineation Wood density estimation Biomass allometric			Wood Density (5.6%). Calculated using basic density data collected from native species growing in Chile. A bibliographic review of basic densities of the forest species in Chile was carried out and there were no modifications for the value exposed form Gayoso et al. (2002) and INGEI (2020).			
model			Finally, these uncertainties are combined following IPCC approach 1 (propagation of error), resulting in total uncertainty of $18.85\%$ .			
			Aerial biomass of non-forest uses: Monte Carlo analysis uses error estimation published in INGEI (2020) and Gayoso (2006) and Expert judgment estimates (IPCC, 2006). The error of carbon density for wetlands, water bodies, and other non-vegetations uses was assumed cero due to a lack of data.			
			Annual Periodic Increment (IPA Spanish acronym): SOP_06 Field Operations Manual was implemented during fieldwork to estimate IPA in the National Forest Inventory, for some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the			

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
			calculation of the measurement uncertainty for IPA based on the 95% CI of the removal rate by forest type, calculated with Continuous Forest Inventory data of INFOR.			
Sampling		<b>√</b>	The Continuous Inventory of Forestry Ecosystems, henceforth referred to as the Continuous Inventory, managed by INFOR, and has been operational since 2000. The Continuous Inventory was designed under a statistical bi-stage design in three circular sample plot clusters in an area equivalent to 500m2 distributed in a systematic area of 5x7km. The sampling units have been systematically distributed over the national territory from the Maule to the Magallanes region.	Low	Yes: QA / QC applied in SOP06  https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP_06_MR1.zip	Yes
Other parameters (e.g. Carbon Fraction, root-to-shoot ratios)	<b>√</b>	<b>√</b>	Root-to-shoot ratio (R factor-40% error): R factor comes from information collected in the country (Gayoso et al., 2002; INGEI, 2020). This value is within the range indicated in the 2006 IPCC Guidelines for temperate forests (between 0.20 and 0.46, according to Table 4.4; Chapter 4; Volume 4) and within of the values available worldwide, which provide R factors that range between 0.09 and 0.33. This value is for native species and has a national spatial level. Error calculation based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chile (UACh).  Finally, aerial biomass and R factor uncertainties are combined following IPCC approach 1 (propagation of error), resulting in total uncertainty of 44.2%.	Low	NA	Yes
Representative ness	NA	NA	This source of uncertainty is not applicable. Chile generates estimates of carbon densities per forest type, and non-forest land uses. Different forest types and structures classify the native forest. Each forest type has its biomass value depending on data availability. Also, non-forest lands include the following uses: Urban and Industrial Areas, agricultural land, grassland, scrub, arborescent scrub, shrub planting, succulent scrub, succulent formations, plantations, wetlands, areas deprived of vegetation, eternal snows and glaciers, waterbodies and unrecognized areas.	NA	NA	NA
Model	<b>~</b>		Calculation tools have been prepared to estimate Emission Reductions, including the FREL and Monitoring Period for REDD activity. In these tools, you can review the formulas used to estimate ERs. The country prepared these tools to ensure the same calculation methods are applied for all monitoring events and avoid errors during the processing and data preparation.	Low	NA	No
Integration	<b>√</b>		The Emission factors were calculated for each region and forest type according to AGB sampling plots' location to assure the comparability between transition classes of the Activity Data and those of the Emission Factors. This source of uncertainty is considered in the sampling error of the AGB inventory.	Low	Yes: SOP_06_Field Operation Manual https://plataforma.enccr v.cl/static/erpa/mr1/sop /SOP_06_MR1.zip	No

## 5.2 Uncertainty of the estimate of Emission Reductions

## Parameters and assumptions used in the Monte Carlo method

The following table shows the parameters and assumptions used in the Monte Carlo Analysis<sup>23</sup> to estimate the uncertainty of the Emission Reduction for the Monitoring Period. The parameter where the type of Probability Distribution Function (PDF) is fitted indicates the p-value of the adjustment obtained for the distribution function and its parameters.

<sup>&</sup>lt;sup>23</sup> Available in: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/analisis-incertidumbre/Incertidumbre-Global-MR1.zip">https://plataforma.enccrv.cl/static/erpa/mr1/analisis-incertidumbre/Incertidumbre-Global-MR1.zip</a>

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Activity Data				
Non-Forest land - Forest Maule Reference Period (ha/yr)	7,012.55	10.9%	Normal	Activity Data uncertainty
Non-Forest land - Forest Ñuble Reference Period (ha/yr)	1,144.04	10.9%	Normal	used in Monte Carlo Analysis
Non-Forest land - Forest Biobio Reference Period (ha/yr)	2,156.59	8.84%	Normal	was calculated using the confidence limits of the
Non-Forest land - Forest Araucanía Reference Period(ha/yr))	2,948.47	7.9%	Normal	sampling-based land-use
Non-Forest land - Forest Los Ríos Reference Period (ha/yr)	1,545.03	9.4%	Normal	change estimation areas for
Non-Forest land - Forest Los Lagos Reference Period (ha/yr)	1,004.49	11.0%	Normal	the reference and
Non-Forest land - Forest Maule Monitoring Period (ha/yr)	89,761.96	10.9%	Normal	monitoring periods.
Non-Forest land - Forest Ñuble Monitoring Period (ha/yr)	14,078.26	10.9%	Normal	
Non-Forest land - Forest Biobio Monitoring Period (ha/yr)	27,556.16	10.9%	Normal	
Non-Forest land - Forest Araucanía Monitoring Period (ha/yr))	38,629.67	7.9%	Normal	
Non-Forest land - Forest Los Ríos Monitoring Period (ha/yr)	22,527.47	9.4%	Normal	
Non-Forest land - Forest Los Lagos Monitoring Period (ha/yr)	14,671.02	11.0%	Normal	
Forest land – Non-Forest Maule Reference Period (ha/yr)	828	11.1%	Normal	
Forest land – Non-Forest Ñuble Reference Period (ha/yr)	453	11.1%	Normal	
Forest land – Non-Forest Biobio Reference Period (ha/yr)	1,296	3.4%	Normal	
Forest land – Non-Forest Araucanía Reference Period(ha/yr))	1,537	9.9%	Normal	
Forest land – Non-Forest Los Ríos Reference Period (ha/yr)	1,529	8.0%	Normal	
Forest land – Non-Forest Los Lagos Reference Period (ha/yr)	3,530	23.4%	Normal	
Forest land – Non-Forest Maule Monitoring Period (ha/yr)	1,045	11.1%	Normal	
Forest land – Non-Forest Ñuble Monitoring Period (ha/yr)	883	11.1%	Normal	
Forest land – Non-Forest Biobio Monitoring Period (ha/yr)	800	3.4%	Normal	
Forest land – Non-Forest Araucanía Monitoring Period (ha/yr))	2,236	9.9%	Normal	
Forest land – Non-Forest Los Ríos Monitoring Period (ha/yr)	1,650	8.0%	Normal	
Forest land – Non-Forest Los Lagos Monitoring Period (ha/yr)	3,887	23.4%	Normal	
Aum No Conserv Bajo C en 2001, entre [B-C] en 2010 RP (ha/yr)	54,672	42%	Normal	Degradation mapping
Aum No Conserv Entre [B-C] en 2001 y sobre B en 2010 RP (ha/yr)	271,368	42%	Normal	accuracy estimated by
Aum No Conserv Bajo C en 2001 y sobre B en 2010 RP (ha/yr)	167,695	42%	Normal	INFOR.

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Aum No Conserv Bajo C en 2001 y bajo C en 2010 RP (ha/yr)	59,742	42%	Normal	
Aum No Conserv Entre [B-C] en 2001, entre [B-C] en 2010 RP (ha/yr)	38,912	42%	Normal	
Aum No Conserv Bajo C en 2018, entre [B-C] en 2019 MP (ha/yr)	32,693	42%	Normal	
Aum No Conserv Entre [B-C] en 2018 y sobre B en 2019 MP (ha/yr)	165,530	42%	Normal	
Aum No Conserv Bajo C en 2018 y sobre B en 2019 MP (ha/yr)	66,823	42%	Normal	
Aum No Conserv Bajo C en 2018 y bajo C en 2019 MP (ha/yr)	50,746	42%	Normal	
Aum No Conserv Entre [B-C] en 2018, entre [B-C] en 2019 MP (ha/yr)	34,948	42%	Normal	
Deg No Conserv Sobre B en 2001 y bajo C en 2010 RP (ha/yr)	121,830	42%	Normal	
Deg No Conserv Entre [B-C] en 2001 y bajo C en 2010 RP (ha/yr)	45,625	42%	Normal	
Deg No Conserv Sobre B en 2001, Entre [B-C] en 2010 RP (ha/yr)	224,752	42%	Normal	
Deg No Conserv Bajo C en 2001 y bajo C en 2010 RP (ha/yr)	53,030	42%	Normal	
Deg No Conserv Entre [B-C] en 2001, entre [B-C] en 2010 RP (ha/yr)	37,029	42%	Normal	
Deg No Conserv Sobre B en 2018 y bajo C en 2019 MP (ha/yr)	90,596	42%	Normal	
Deg No Conserv Entre [B-C] en 2018 y bajo C en 2019 MP (ha/yr)	40,882	42%	Normal	
Deg No Conserv Sobre B en 2018, Entre [B-C] en 2019 MP (ha/yr)	180,634	42%	Normal	
Deg No Conserv Bajo C en 2018 y bajo C en 2019 MP (ha/yr)	51,665	42%	Normal	
Deg No Conserv Entre [B-C] en 2018, entre [B-C] en 2019 MP (ha/yr)	35,732	42%	Normal	
Aum Conserv Bajo C en 2001, entre [B-C] en 2010 RP (ha/yr)	10,541	42%	Normal	
Aum Conserv Entre [B-C] en 2001 y sobre B en 2010 RP (ha/yr)	53,982	42%	Normal	
Aum Conserv Bajo C en 2001 y sobre B en 2010 RP (ha/yr)	28,729	42%	Normal	
Aum Conserv Bajo C en 2001 y bajo C en 2010 RP (ha/yr)	10,265	42%	Normal	
Aum Conserv Entre [B-C] en 2001, entre [B-C] en 2010 RP (ha/yr)	8,272	42%	Normal	
Aum Conserv Bajo C en 2018, entre [B-C] en 2019 MP (ha/yr)	10,569	42%	Normal	
Aum Conserv Entre [B-C] en 2018 y sobre B en 2019 MP (ha/yr)	55,782	42%	Normal	
Aum Conserv Bajo C en 2018 y sobre B en 2019 MP (ha/yr)	25,667	42%	Normal	
Aum Conserv Bajo C en 2018 y bajo C en 2019 MP (ha/yr)	11,739	42%	Normal	
Aum Conserv Entre [B-C] en 2018, entre [B-C] en 2019 MP (ha/yr)	10,167	42%	Normal	
Deg Conserv Sobre B en 2001 y bajo C en 2010 MP (ha/yr)	26,389	42%	Normal	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Deg Conserv Entre [B-C] en 2001 y bajo C en 2010 MP (ha/yr)	9,675	42%	Normal	
Deg Conserv Sobre B en 2001, Entre [B-C] en 2010 MP (ha/yr)	51,707	42%	Normal	
Deg Conserv Bajo C en 2001 y bajo C en 2010 MP (ha/yr)	9,548	42%	Normal	
Deg Conserv Entre [B-C] en 2001, entre [B-C] en 2010 MP (ha/yr)	7,888	42%	Normal	
Deg Conserv Sobre B en 2018 y bajo C en 2019 RP (ha/yr)	20,322	42%	Normal	
Deg Conserv Entre [B-C] en 2018 y bajo C en 2019 RP (ha/yr)	11,232	42%	Normal	
Deg Conserv Sobre B en 2018, Entre [B-C] en 2019 RP (ha/yr)	50,988	42%	Normal	
Deg Conserv Bajo C en 2018 y bajo C en 2019 RP (ha/yr)	12,424	42%	Normal	
Deg Conserv Entre [B-C] en 2018, entre [B-C] en 2019 RP (ha/yr)	10,308	42%	Normal	
Forest land - Forest Plantation Maule RP (ha/yr)	2,004	11.1%	Normal	Activity Data uncertainty
Forest land - Forest Plantation Ñuble RP (ha/yr)	778	11.1%	Normal	used in Monte Carlo Analysis
Forest land - Forest Plantation Bío-Bío RP (ha/yr)	1,590	3.4%	Normal	was calculated using the confidence limits of the
Forest land - Forest Plantation La Araucanía RP (ha/yr)	2,772	9.9%	Normal	sampling-based land-use
Forest land - Forest Plantation Los Ríos RP (ha/yr)	944	8.0%	Normal	change estimation areas for
Forest land - Forest Plantation Los Lagos RP (ha/yr)	664	23.4%	Normal	the reference and monitoring periods.
Forest land - Forest Plantation Maule MP (ha/yr)	817	11.1%	Normal	monitoring perious.
Forest land - Forest Plantation Ñuble MP (ha/yr)	392	11.1%	Normal	
Forest land - Forest Plantation Bío-Bío MP (ha/yr)	2,229	3.4%	Normal	
Forest land - Forest Plantation La Araucanía MP (ha/yr)	3,428	9.9%	Normal	
Forest land - Forest Plantation Los Ríos MP (ha/yr)	1,446	8.0%	Normal	
Forest land - Forest Plantation Los Lagos MP (ha/yr)	157	23.4%	Normal	
Forest fires area Maule RP (ha/yr)	583.4	15%	Normal	INGEI, 2020
Forest fires area Ñuble RP (ha/yr)	139.2	15%	Normal	
Forest fires area Biobio RP (ha/yr)	1,028.5	15%	Normal	
Forest fires area Araucanía RP (ha/yr)	2,058.1	15%	Normal	
Forest fires area Los Ríos RP (ha/yr)	150.4	15%	Normal	
Forest fires area Los Lagos RP (ha/yr)	781.9	15%	Normal	
Forest fires area Maule MP (ha/yr)	1,179.3	15%	Normal	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Forest fires area Ñuble MP (ha/yr)	235.0	15%	Normal	
Forest fires area Biobio MP (ha/yr)	191.2	15%	Normal	
Forest fires area Araucanía MP (ha/yr)	1,753.7	15%	Normal	
Forest fires area Los Ríos MP (ha/yr)	141.5	15%	Normal	
Forest fires area Los Lagos MP (ha/yr)	366.5	15%	Normal	
Carbon content of Non-Forest Lands				
AGB Áreas Urbanas e Industriales	2.00	95%	Normal	(INGEI, 2020)
AGB Terrenos Agrícolas	10.00	75%	Normal	(INGEI, 2020)
AGB Praderas y Matorrales Praderas	4.73	27.7%	Normal	(Gayoso, 2006)
AGB Praderas y Matorrales Matorral-Pradera	9.04	34.6%	Normal	
AGB Praderas y Matorrales Matorral Arborescente	21.78	22.4%	Normal	
Carbon content of Native Forest				
BGB Terrenos Agrícolas	2.00	53.2%	Normal	Uncertainty for BGB of Non-
BGB Praderas y Matorrales Praderas	8.13	53.2%	Normal	Forest lands is based on
BGB Praderas y Matorrales Matorral-Pradera	14.99	53.2%	Normal	propagation error estimate following IPCC approach 1 of
BGB Praderas y Matorrales Matorral Arborescente	35.25	53.2%	Normal	Matorrals-Arborescente AGB error (22.42%) and Root shoot ratio -R Factor error (48.27%) estimated by Goyoso et al. (2002), resulting in total uncertainty of 53.2%.
AGB Alerce Adulto (t dry biomass/ha)	339.109	18.85%	Normal	For the forest types with a
AGB Alerce Renoval (t dry biomass/ha)	203.590	18.85%	Normal	limited number of sampling plots, uncertainty
AGB Ciprés de las Guaitecas Adulto (t dry biomass/ha)	221.848	18.85%	Normal	propagation with Monte
AGB Araucaria Adulto (t dry biomass/ha)	β: 222.628; k: 1.886	PDF	Gama 2; P:0.998; n: 16	Carlo analysis uses the
AGB Araucaria Renoval (t dry biomass/ha)	219.131	18.85%	Normal	following information: i. DBH
AGB Ciprés de la Cordillera Adulto (t dry biomass/ha)	97.116	18.85%	Normal	measurement error (0.2%), calculation based on
AGB Ciprés de la Cordillera Renoval (t dry biomass/ha)	124.019	18.85%	Normal	calculation based on Continuous Forest Inventory
AGB Lenga Adulto (t dry biomass/ha)	μ: 207.038; s: 84.017	PDF	Logistic; P:0.958; n:10	data of INFOR; ii. Volume

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
AGB Lenga Renoval (t dry biomass/ha)	α: 0.431; β:0.439	PDF	Beta4; P:0.776; n:8	estimation error (0.07%),
AGB Coihue de Magallanes Adulto (t dry biomass/ha)	129.148	18.85%	Normal	calculation based on
AGB Roble - Hualo Adulto (t dry biomass/ha)	β: 17.695; k: 5.884	PDF	Gamma (2); P:0.808; n: 17	Continuous Forest Inventory data of INFOR, iii. Biomass
AGB Roble - Raulí - Coihue Adulto (t dry biomass/ha)	λ: 0.006	PDF	Exponential; P:0.850; n: 65;	Expansion Factor (BEF) error
AGB Roble - Raulí - Coihue Renoval (t dry biomass/ha)	λ: 0.006	PDF	Exponential; P:0.709; n: 71	(18.0%), BEF comes from
AGB Coihue - Raulí - Tepa Adulto (t dry biomass/ha)	β: 1.162; γ:414.153	PDF	Weibull (2); P: 0.831; n: 57	information collected in the country from the study of
AGB Coihue - Raulí - Tepa Renoval (t dry biomass/ha)	β: 117.880; k: 1.720	PDF	Gamma (2); P:0.989; n: 12	Gayoso et al. (2002) and
AGB Esclerófilo Adulto (t dry biomass/ha)	β: 0.721; γ:12.840	PDF	Weibull (2); P: 0.858; n: 33	used in INGEI (2018). This
AGB Siempreverde Adulto (t dry biomass/ha)	μ: 5.765; σ: 0.646	PDF	Log-normal; P: 0.194; n: 49	value is for native species
AGB Siempreverde Renoval (t dry biomass/ha)	β: 1.584; γ:139.543	PDF	Weibull (2); P: 0.673; n: 25	and has a national spatial level. Error calculation is
AGB Araucanía Mixed Forest Monitoring Period (t dry biomass/ha)	161.13	18.85%	Normal	based on statistical data
AGB Los Ríos Mixed Forest Monitoring Period (t dry biomass/ha)	165.98	18.85%	Normal	from the Biomass Inventory
AGB Los Lagos Mixed Forest Monitoring Period (t dry biomass/ha)	207.13	18.85%	Normal	and Carbon Accountancy of the Universidad Austral de
AGB Ñuble Mixed Forest Monitoring Period (t dry biomass/ha)	57.48	18.85%	Normal	Chile (UACh); and iv. Wood
AGB Maule Mixed Forest Monitoring Period (t dry biomass/ha)	43.46	18.85%	Normal	Density (5.6%) calculated
AGB Biobio Mixed Forest Monitoring Period (t dry biomass/ha)	131.77	18.85%	Normal	using basic density data
AGB Maule Mixed Forest Reference Period (t dry biomass/ha)	58.85	18.85%	Normal	collected from native species growing in Chile. Finally,
AGB Biobio Mixed Forest Reference Period (t dry biomass/ha)	210.75	18.85%	Normal	these uncertainties are
AGB Araucanía Mixed Forest Reference Period (t dry biomass/ha)	246.71	18.85%	Normal	combined following IPCC
AGB Los Ríos Mixed Forest Reference Period (t dry biomass/ha)	194.05	18.85%	Normal	approach 1 (propagation of
AGB Los Lagos Mixed Forest Reference Period (t dry biomass/ha)	221.64	18.85%	Normal	error), resulting in total uncertainty of 18.85%
AGB Ñuble Mixed Forest Reference Period (t dry biomass/ha)	58.85	18.85%	Normal	uncertainty of 10.0370
BGB Araucanía Mixed Forest Monitoring Period (t dry biomass/ha)	46.23	44.2%	Normal	Uncertainty for Below
BGB Los Ríos Mixed Forest Monitoring Period (t dry biomass/ha)	47.62	44.2%	Normal	Ground Biomass BGB is
BGB Los Lagos Mixed Forest Monitoring Period (t dry biomass/ha)	59.42	44.2%	Normal	based on propagation error estimate following IPCC
BGB Ñuble Mixed Forest Monitoring Period (t dry biomass/ha)	16.49	44.2%	Normal	approach 1 of Above Ground
BGB Maule Mixed Forest Monitoring Period (t dry biomass/ha)	16.89	44.2%	Normal	Biomass-AGB error (18.85%)
BGB Biobio Mixed Forest Monitoring Period (t dry biomass/ha)	60.46	44.2%	Normal	and Root shoot ratio -R

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
BGB Maule Mixed Forest Reference Period (t dry biomass/ha)	70.78	44.2%	Normal	Factor error (40.0%)
BGB Biobio Mixed Forest Reference Period (t dry biomass/ha)	55.67	44.2%	Normal	estimated by Goyoso et al.
BGB Araucanía Mixed Forest Reference Period (t dry biomass/ha)	63.59	44.2%	Normal	(2002), resulting in total uncertainty of 44.2%.
BGB Los Ríos Mixed Forest Reference Period (t dry biomass/ha)	47.21	44.2%	Normal	,
BGB Los Lagos Mixed Forest Reference Period (t dry biomass/ha)	12.47	44.2%	Normal	
BGB Ñuble Mixed Forest Reference Period (t dry biomass/ha)	37.80	44.2%	Normal	
Dead matter Araucanía Mixed Forest MP (t dry biomass/ha)	57.42	28.4%	Normal	Error estimated from
Dead matter Los Ríos Mixed Forest MP (t dry biomass/ha)	57.52	28.4%	Normal	permanent plots of the
Dead matter Los Lagos Mixed Forest MP (t dry biomass/ha)	62.39	28.4%	Normal	INFOR Continuous Forest Inventory
Dead matter Ñuble Mixed Forest MP (t dry biomass/ha)	27.19	28.4%	Normal	inventory
Dead matter Maule Mixed Forest MP (t dry biomass/ha)	19.90	28.4%	Normal	
Dead matter Biobio Mixed Forest MP (t dry biomass/ha)	54.10	28.4%	Normal	
Dead matter Maule Mixed Forest RP (t dry biomass/ha)	72.30	28.4%	Normal	
Dead matter Biobio Mixed Forest RP (t dry biomass/ha)	52.91	28.4%	Normal	
Dead matter Araucanía Mixed Forest RP (t dry biomass/ha)	61.16	28.4%	Normal	
Dead matter Los Ríos Mixed Forest RP (t dry biomass/ha)	47.61	28.4%	Normal	
Dead matter Los Lagos Mixed Forest RP (t dry biomass/ha)	18.92	28.4%	Normal	
Dead matter Ñuble Mixed Forest RP (t dry biomass/ha)	45.49	28.4%	Normal	
Annual Periodic Increase of Native Forest				
IPA Araucanía Mixed Forest Monitoring Period (t dry biomass/ha)	4.13	28.7%	Normal	Average of IPA error for all
IPA Los Ríos Mixed Forest Monitoring Period (t dry biomass/ha)	4.18	28.7%	Normal	forest types given lack of
IPA Los Lagos Mixed Forest Monitoring Period (t dry biomass/ha)	4.81	28.7%	Normal	data.
IPA Ñuble Mixed Forest Monitoring Period (t dry biomass/ha)	2.82	28.7%	Normal	
IPA Maule Mixed Forest Monitoring Period (t dry biomass/ha)	2.02	28.7%	Normal	
IPA Biobio Mixed Forest Monitoring Period (t dry biomass/ha)	3.55	28.7%	Normal	
IPA Maule Mixed Forest Reference Period (t dry biomass/ha)	4.20	28.7%	Normal	
IPA Biobio Mixed Forest Reference Period (t dry biomass/ha)	4.14	28.7%	Normal	
IPA Araucanía Mixed Forest Reference Period (t dry biomass/ha)	4.06	28.7%	Normal	
IPA Los Ríos Mixed Forest Reference Period (t dry biomass/ha)	2.21	28.7%	Normal	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
IPA Los Lagos Mixed Forest Reference Period (t dry biomass/ha)	3.70	28.7%	Normal	
IPA Ñuble Mixed Forest Reference Period (t dry biomass/ha)	4.03	28.7%	Normal	
IPA Alerce Adulto (m3/ha/yr)	0.5	58.47%	Normal	The higher uncertainty of the
IPA Ciprés de las Guaitecas Adulto (m3/ha/yr)	3.9	58.47%	Normal	errors estimated for IPA is assumed due to a lack of data.
IPA Araucaria Adulto (m3/ha/yr)	μ: 4.882; σ: 2.516	PDF	Normal; P:0.923; n: 16	
IPA Ciprés de la Cordillera Adulto (m3/ha/yr)	5.0	15.83%	Normal	Error estimated from
IPA Ciprés de la Cordillera Renoval (m3/ha/yr)	2.7	9.97%	Normal	permanent plots of the INFOR Continuous Forest Inventory
IPA Lenga Adulto (m3/ha/yr)	k: 5; γ:0.921	PDF	Erlang; P:0.986; n:10	
IPA Lenga Renoval (m3/ha/yr)	μ: 2.995; β:2.054	PDF	Fisher-Tippett (2); P:0.907; n:8	
IPA Coihue de Magallanes Adulto (m3/ha/yr)	2.6	13.42%	Normal	Error estimated from
IPA Coihue de Magallanes Renoval (m3/ha/yr)	3.7	7.68%	Normal	permanent plots of the INFOR Continuous Forest Inventory
IPA Roble - Hualo Adulto (m3/ha/yr)	μ: 1.534; σ: 0.507	PDF	Log Normal; P:0.873; n: 17	
IPA Roble - Hualo Renoval (m3/ha/yr)	3.5	54.47%	Normal	The higher uncertainty of the errors estimated for IPA is assumed due to a lack of data.
IPA Roble - Raulí - Coihue Adulto (m3/ha/yr)	μ: 1.335; σ: 1.106	PDF	Log Normal; P:0.257; n: 65;	
IPA Roble - Raulí - Coihue Renoval (m3/ha/yr)	β: 1.777; γ:4.664	PDF	Weibull (2); P:0.760; n: 71	
IPA Coihue - Raulí - Tepa Adulto (m3/ha/yr)	β: 1.403; γ:6.264	PDF	Weibull (2); P: 0.789; n: 57	
IPA Coihue - Raulí - Tepa Renoval (m3/ha/yr)	μ: 4.364; s: 1.558	PDF	Logistic; P:0.825; n: 12	
IPA Esclerófilo Adulto (m3/ha/yr)	β: 0.667; γ:0/875	PDF	Weibull (2); P: 0.512; n: 33	
IPA Esclerófilo Renoval (m3/ha/yr)	1.6	21.31%	Normal	Error estimated from permanent plots of the INFOR Continuous Forest Inventory
IPA Siempreverde Adulto (m3/ha/yr)	α: 13.411; β:29.589	PDF	Beta4; P: 0.940; n: 49	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions	
IPA Siempreverde Renoval (m3/ha/yr)	μ: 4.664; s:0/893	PDF	Logistic; P: 0.994; n: 25		
Degradation and Enhancement in permanent forest					
Carbon stock change in permanent forest	Values depending on density diagram change and forest type	57%	Normal	Error estimation based on the standard error of the k-nn algorithm volume estimation.	
Carbon content of forest lands (forest fires)					
AGB Maule (t dry biomass/ha/yr)	80.35	18.85%	Normal	This uncertainty is estimated	
AGB Biobio (t dry biomass/ha/yr)	149.88	18.85%	Normal	following IPCC approach 1	
AGB Araucanía (t dry biomass/ha/yr)	252.33	18.85%	Normal	(propagation of error), resulting in total uncertainty	
AGB Los Ríos (t dry biomass/ha/yr)	310.35	18.85%	Normal	of 18.85%.	
AGB Los Lagos (t dry biomass/ha/yr)	230.41	18.85%	Normal		
AGB Ñuble (t dry biomass/ha/yr)	149.88	18.85%	Normal		
BGB Maule (t dry biomass/ha/yr)	23.05	44.2%	Normal	Uncertainty for Below	
BGB Biobio (t dry biomass/ha/yr)	43.00	44.2%	Normal	Ground Biomass BGB is	
BGB Araucanía (t dry biomass/ha/yr)	72.39	44.2%	Normal	based on propagation error estimate following IPCC	
BGB Los Ríos (t dry biomass/ha/yr)	89.04	44.2%	Normal	approach 1 of Above Ground	
BGB Los Lagos (t dry biomass/ha/yr)	66.10	44.2%	Normal	Biomass-AGB error (18.85%)	
BGB Ñuble (t dry biomass/ha/yr)	43.00	44.2%	Normal	and Root shoot ratio -F Factor error (40.0% estimated by Goyoso et al (2002), resulting in tota uncertainty of 44.2%.	
Dead matter Maule (t dry biomass/ha)	52.60	28.4%	Normal	Error estimated from	
Dead matter Biobio (t dry biomass/ha)	122.10	28.4%	Normal	permanent plots of the INFOR Continuous Forest	
Dead matter Araucanía (t dry biomass/ha)	165.50	28.4%	Normal	Inventory	
Dead matter Los Ríos (t dry biomass/ha)	146.90	28.4%	Normal		
Dead matter Los Lagos (t dry biomass/ha)	157.00	28.4%	Normal		
Dead matter Ñuble (t dry biomass/ha)	122.10	28.4%	Normal		
Other Factors					

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Combustion factor	0.45	36.0%	Normal	IPCC, 2006
Emission Factor CH4	4.7	29.0%	Normal	
Emission Factor N2O	0.26	43.8%	Normal	

#### Quantification of the uncertainty of the estimate of Emission Reductions

		Reporting Period	Crediting Period	
		Total Emission Reductions*	Total Emission Reductions*	
Α	Median	-4,280,711	-4,280,711	
В	Upper bound 90% CI (Percentile 0.95)	10,644,325	10,644,325	
С	Lower bound 90% CI (Percentile 0.05)	-19,639,331	-19,639,331	
D	Half Width Confidence Interval at 90% (B – C )/ 2	15,141,828	15,141,828	
Ε	Relative margin (D / A)	353.7%	353.7%	
F	Uncertainty discount	15%	15%	

### 5.3 Sensitivity analysis and identification of areas of improvement of MRV system

The following table and figure show the results for the sensitivity analysis of Emission Reductions (ERs) uncertainty. ERs estimate in forest remaining forest (conserved and non-conserved) contributes the 54.3% of total ERs uncertainty. The main contribution is coming from ERs' uncertainty in the non-conserved permanent forest (42.5%).

The sources of error for estimating forest degradation and carbon enhancement on permanent forest lands are:

- i. Uncertainty associated with the forest density charts used to determine the direction of carbon flux (neutral, loss or gain) for each pixel (32.8%)
- ii. Uncertainty associated with integrating the multi-pixel mosaic satellite data with the dasometric variables. This uncertainty has been estimated by calculating the Standard Error of Estimation of the volume function k-nn (57%).

Table 7 shows the results of sensitivity analysis for Emission Reductions uncertainty in non-conserved permanent forest. Both activity data and emission factors contributed equally to the uncertainty (50/50). The same uncertainty for AD and EF was used for all regions, therefore the difference in the uncertainty contribution between regions responds to the magnitude of ERs.

Further analysis of the Methodology used to estimate emissions and removals in permanent forest are required to determine the improvement actions on the MRV system to reduce Emission Reduction uncertainty.

REDD Activity	Component	Uncertainty Contribution			
Carbon	Removals in forest remaining as forest	23.9%			
enhancement	Removals in lands converted to forest	66.5%			
	Total removals				
Concentation	Removals in forest remaining as forest	2.5%			
Conservation	Emissions in forest remaining as forest	80.6%			
	83.1%				
	2.0%				
	Emissions in forest remaining as forest	23.3%			
Degradation	Emissions from forests converted to plantations.	3.7%			
	Forest fires	1.8%			
	28.8 %				
	204.4%				

Table 6 Results for the sensitivity analysis of ERs' global uncertainty.

	Absolut co	Absolut contribution		
Region	Activity data	Emission Factor	Total	
Biobío	7%	8%	14%	
Ñuble	10%	7%	16%	
La Araucanía	7%	14%	21%	
Los Ríos	5%	6%	11%	
Los Lagos	9%	7%	16%	
Maule	11%	11%	22%	
<b>Grand Total</b>	48%	52%	100%	

 Table 7 Results of sensitivity analysis for Emission Reductions uncertainty in non-conserved permanent forest.

#### **6 TRANSFER OF TITLE TO ERS**

#### 6.1 Ability to transfer title

The 2016 ERPD document determined that the Chilean ER Program will define a Benefit Sharing Plan, which is based on the basic principle that the distribution of benefits associated to result – based payment is conditional on a previous transfer of carbon rights (Annex 2).

The country must demonstrate the ability to transfer the RE titles through the following means:

- a) Possessing the legal capacity to do so, in which case the respective legal framework must be cited.
- b) Agreements and/or sub-agreements with potential holders of land and resource tenure rights.
- c) Benefit-sharing agreements under the Benefit Distribution Plan."

Nevertheless, no Contract ERs have been generated during this monitoring period so that no Contract ERs will be transferred to the FC.

Under this context, CONAF, as the REDD+ focal point in Chile, carried out a legal study\* with external specialists. These analyzes have made it possible to demonstrate Chilean capabilities for the transfer of titles on emission reductions (ER) caused by the implementation of ENCCRV actions, considering criterion 36 of the Methodological Framework of the Forest Carbon Partnership Facility (FCPF) Carbon Fund.

In the first place, this study determined that in the case of Chile, the ENCCRV contains a series of diverse actions affecting public, private or both public/private lands, without being possible to specifically determine the ER sources. This is explained by the fact that the FREL/FRL of Chile and its monitoring milestones estimate the volumes of carbon emission and removal due to deforestation, forest degradation, stock enhancements and forest conservation on a regional scale. This makes it impossible to identify whether specific smaller scale actions could be considered incremental. Because of this, ERs cannot be attributed to a spatially explicit area or individual owners.

In the case of activities associated with sequestration, although it is possible to identify with greater spatial accuracy the areas where such activities are being carried out, it is not technically feasible to isolate those that allow reaching the FREL estimated volume from which they should be considered. In accordance with these technical aspects of carbon accounting, the current methodology does not allow an individual owner to claim or demonstrate that they have rights to capture or reduction of emissions on a regional scale that implies additionality, therefore, the same applies to possible properties. Following this understanding, the fact that the reductions occur as a result of a better performance of forests located in public lands, areas protected by the State or private lands is not relevant for the transfer of ERs.

Specifically for this reporting period, which no emission reductions have been created, CONAF's capacity to execute its regular activities has been maintained, such as the execution of sustainable forest management plans, within the framework of Law 20,283, forest fire prevention actions and forest inspection, including the monitoring of illegal logging and irregular extraction.

Based on these direct execution actions by CONAF, the Government of Chile presented in 2019 a concept note to the window of payments for results of the Green Climate Fund, which was approved the same year. In this case, the legal ability of CONAF is demonstrated with signature of this agreement whit the GCF.

Since there were no emission reductions in the period, there was no need to generate an approach with large forest landowners. Based on this, no additional agreements have been signed with landowners or land rights.

In addition, and to ensure that the risk of claims is extremely low, a review of projects that can generate emission reductions in the period is carried out, detecting that there are no significant movements either. No credits have been generated, claimed or transferred corresponding to projects of private owners.

Considering the above, that there are no reduced emissions in the period, there are no relevant probabilities of generating projects from private forest owners, it has not been necessary to establish links with large owners of forest lands or private conservation areas.

For this monitoring period, the BSP has not executed yet, because there is no payment perceived by the ERP. But to date an operational process cycle has been generated for its execution through the opening of a public tender of free access for beneficiaries interested in participating in the actions of the ENCCRV. Two complete operational cycles have been executed in 2022 and 2023, in which benefits have been awarded to the owners represented in direct property actions of sustainable forest management and forest management, preventive forestry, forest restoration and afforestation.

#### 6.2 Implementation and operation of Program and Projects Data Management System

The design of the data management system for the ER Program and its respective projects was presented in the 2016 ERPD document, according to the requirements of the FCPF Methodological Framework. This is a fundamental part of the Monitoring Plan and suggests as objectives: provide transparent data and information, which are consistent over time and suitable for measuring, reporting and allowing the verification of emissions by the considered sources, absorptions and sinks.

Then, the document "Additional Information for the ER Program Update, per recommendations issued in the Chair's Summary in the 15th Meeting of the Carbon Fund" from 2018 updates the Monitoring Plan and describes the progress made in its implementation, including time planning for monitoring milestone implementation, systems and methodologies to be used and institutional arrangements involved. The objectives of the NFMS Monitoring and Measurement System (SMM, acronym in Spanish) were also updated, defining the following:

- Optimize generation processes and ensure the implementation of monitoring events for primary elements (Land use and Land use change maps) for the estimation of emissions and absorptions linked to REDD+.
- Generate interoperability protocols for the integration of information at various spatial scales, time scales, differentiated file formats and purposes, including information of Co-benefits and Safeguard Follow-up.
- Automate report generation processes and increase report transparency.
- Have a visualization and consultation platform, in order to facilitate the dissemination of results, that responds both to the verification criteria in international instances as well as to institutional necessities and citizen demands for information.

The Data Management System, the development of the ENCCRV information platform was updated including semiautomated information integration tools. Also contain a geospatial content manager with a web mapper viewer and a forest carbon calculator for the executed land/property projects in regions of Chile. From here, two key tools are developed to monitor ENCCRV projects, the property monitoring system and the safeguards information system.

This computer applications were based on open programming languages to ensure and guarantee the durability of these components, with an interoperability that applies to other systems developed under CONAF and associated with the ENCCRV at the institutional level, such as the Uncertainty Evaluation System (Sistema de Evaluación de Incertidumbre, in Spanish), the Land Prioritization System (Sistema de Priorización de Territorios, in Spanish) and the Co-Benefits System (Sistema de Co-Beneficios, in Spanish). These systems are integrated into a unique digital platform, available in plataforma.enccrv.cl.

The Chilean ER Program Monitoring Plan is part of the <u>ENCCRV Measuring and Monitoring System (SMM, acronym in Spanish)</u>, which began its implementation and operation during 2019, developing the Information Platform based on alphanumeric and spatial databases.

The development of this platform considered the following specific activities:

- ➤ Protocol elaboration for the standardization of spatial information and alphanumeric information generated in the ENCCRV framework.
- > Standardization of the existing information and quality control of the information under development.
- Development of database architecture and model
- Development of report generation tools
- > Development of web mapping viewer and geospatial content manager
- > Definition of the official registration system of the ER Program

- > Maintenance, adjustments, improvements, and new functionalities of the platform
- > Performance evaluation (tests) during the trial period
- > Technology transfer and training of pertinent/applicable CONAF personnel to carry out an internal execution of the platform

This robust platform has made possible an adequate storage and visualization of the generated information. The platform has been able to improve reconstruction tasks and data integration, elaborating reports, indicators and perform calculations at different scales for multiple needs. This is in accordance with and responds to compliance with international requirements and responses, and also to specific requests from institutional executives instances or public consultation.

The ENCCRV Platform constitutes a centralized system of REDD+ projects executed within the framework of the ENCCRV, which is fed by the territorial implementation teams of REDD+ projects of CONAF. The system currently supports REDD+ projects executed by CONAF and does not yet consider the use of private projects, because CONAF does not have the power to mandate the use of the system by other developers.

As long as this data management system remains limited to CONAF projects, to registry REDD project executed by another entities a procedure is carried out that considers a review of the international registration systems for reduced emissions transactions or carbon credits, with the aim of keeping this information controlled and so that it can be excluded, in the event that a payment is generated. This information is made available to the general public through the ENCCRV site, in the Redd+ information tracking system, accessible in 2.4 of https://www.enccrv.cl/medicion-y-monitoreo. The details of this information are presented in 6.4.

#### 6.3 Implementation and operation of ER transaction registry

As previously mentioned (6.2), the ENCCRV SMM defined the basic development of a Reduced Emission Transaction Registration System as one of its objectives. This tool responds to the FCPF Methodological Framework for those countries that aim to reach a result – based payment agreement with the Carbon Fund. It also responds to Articles 5 and 6 of the Paris Agreement on REDD+ through market-based approaches and other agreements, which makes it possible for different countries to exchange reduced emissions caused by the implementation of REDD+, in order to achieve the goals established in their NDCs and avoid double counting.

The ERPD considered developing a ENCCRV Reduced Emission Transaction Registration System, which should be a national and centralized system which guaranteed that the reduced emissions generated within the ENCCRV framework could be adequately emitted, serialized, transferred, removed, or canceled. In addition, it should also offer clear connections to different sources of information contained in the platforms used within the SMM framework. Its main function would be to avoid the duplicate transaction of reduced emissions, and act as the main tool for the control of REDD+ emission reduction transaction reporting and accounting in Chile.

The design of this registration system began in 2018, is already created and described in more detail in the <u>ENCCRV</u> SMM. It was developed under a system with IHS Markit adapted to the REDD+ activities quantified by CONAF.

Nevertheless, the World Bank designed the Carbon Assets Tracking System (CATS), a central platform to support operations under the FCPF CF and BioCF ISFL which is free and has flexible enough operating rules to be easily adapted to other World Bank platforms and future scenarios. Given this, Chile issued a formal letter to define the CATS platform as the official reduced emission transaction registration system of the ER Program and ENCCRV.

The use of CATS to register ER transactions generated in the accounting area of the PRE, has not yet begun its practical operation, therefore the country has not implemented a transaction registration system. However, in order to avoid double counting, Chile executes a verification procedure for International ER Registrations to identify REDD+ projects that are executed in the country. International registrations are reviewed every two months, and the information that is collected gives rise to a data sheet where the information of the projects is entered, including the owner, the volume of transfer of ER, the year of generation, along with other background. This information is publicly available on the ENCCRV website, SMM section <a href="https://www.enccrv.cl/medicion-y-monitoreo">https://www.enccrv.cl/medicion-y-monitoreo</a>.

In addition to the above, it must be taken into consideration that recently in 2023 <sup>24</sup>, the compensation regulation was approved and published in Chile, which regulates the operation of the Emissions Compensation System, which will allow offsetting emissions affected by the tax. This system considers the development and implementation of all the necessary infrastructure to offset local pollutants and other GHGs, including nature-based solutions projects, so greater interest is expected in the development of projects from private developers.

#### 6.4 ERs transferred to other entities or other schemes

The ENCCRV ER Program corresponds to the first subnational program of result – based payments associated with REDD+ in Chile. The reductions associated to the Emission Reduction Payment Agreement signed by Chile are insured in accordance with the estimates made in the FREL. This has encouraged Chile to develop a financing package for result – based payments mainly associated with the Green Climate Fund, which despite not considering transfers, would not affect compliance with the ER Program. Thus, work has been done to improve the registration and monitoring systems to avoid double counting or payments that can generate legal or other disputes in the implementation territory, so that this and other future initiatives can be implemented correctly.

Currently, there are six REDD+ projects linked to the voluntary market implemented by VCS in Chile <sup>25</sup>. According to its own records, no credits due to emission reductions have been claimed during the 2018-2019 reporting period which significantly reduces potential inconveniences. These are:

- <u>Valdivian Coastal Reserve Project</u>, Los Ríos region. Project led by The Nature Conservancy (TNC), corresponding
  to a project in the planned degradation and deforestation REDD subcategory, generating around 58,154
  estimated annual emissions reductions.
- <u>California Valley degraded land reforestation project</u>, Los Lagos region. The initiative is led by the Agrícola y
  Forestal SNP Limitada Company, being an ARR project estimated to generate 1,193 estimated annual emissions
  reductions.
- Caelus project: under validation process, with 111 estimated annual emission reductions. The project is based
  on GHG emission reductions and removals generated by improving forest management practices to increase
  the carbon stock on land by extending the rotation age of a forest before harvesting.
- Bosques Cautín S.A. proyect: under requesting registration status, with 237,382 estimated annual emissions reductions. The project is a forest developer operating in Chile, that has traditionally operate a silvicultural model for commercial timber, and is expanding its business model to dedicate different areas of forest plantations to carbon sinks.
- Proventus project: under validation process, with 2,301 estimated annual emissions reductions. The project is based on changing the use of low productivity land to sustainable forest production systems, which will increase the forest cover and promote remnant natural forest improvement generating a landscape of biological corridors that bring about financial, social and environmental services.
- Reforestation in degradation land, in Biobío region: project in their first steps of development. With 16,864
  estimated annual emissions reductions. Is a reforestation project with local land owners and considers advanced
  propagation technology, mycorrhizal inoculation, to help restore and convert degraded grassland into
  productive forests in several regions of Chile.

In addition, they do not have a record of information of geographical boundaries with public reach. Based on the above, the areas of these projects could not be excluded from the PRE analysis.

CONAF has not defined procedures and agreements to sell or assign ERs of the ER Program area to other entities under a different GHG program or standard. Indeed, these projects could trade ER for the period 2018-2019. In order to avoid double counting, CONAF considers the exclusion of the areas reported in as participants of a voluntary

<sup>&</sup>lt;sup>24</sup> Available in https://www.bcn.cl/leychile/navegar/imprimir?idNorma=1196414&idVersion=2023-09-29

<sup>&</sup>lt;sup>25</sup> See section 2.4 in: <a href="https://www.enccrv.cl/medicion-y-monitoreo">https://www.enccrv.cl/medicion-y-monitoreo</a>

carbon market standard, thus avoiding considering ERs from areas committed to other buyers. In particular, for this period it was not possible to collect the geographical areas, however, transactions with other standards were not recorded either. For future reports, it is expected to have the information to exclude project areas and discount ER in case they are registered.

#### 7 REVERSALS

Regarding the strategy that the ER Program has proposed to prevent and minimize the potential for reversals, the ERPD document proposed several measures. Given that the program has not yet been implemented, many of these actions do not present concrete progress, but other measures associated with the permanent activity of CONAF do have progress for the period of this report, which are summarized below.

In relation to the risk associated with the lack of broad and sustained support from key stakeholders, progress has been made in analyzing the risk of land conflicts before designing and executing ENCCRV projects in the territories, establishing protocols and procedures for this within the Environmental and Social Management Framework (MGAS). In addition, the ENCCRV has continued to be developed with expanded participatory processes, ensuring that their opinions and needs are included in the design of different key components of the ER Program, such as the BSP.

Regarding the risk associated with the lack of institutional capacity and/or ineffective vertical/cross-sector coordination, an important inter and intra-institutional coordination has been maintained, carrying out multiple induction, training and dissemination activities on political and technical issues of the REDD + approach and on the ENCCRV.

Regarding the risk associated with the lack of long-term effectiveness in confronting underlying factors, progress has been made in improvements to the current forest policy and regulations, including improvements to the Native Forest Law, and the design of a new forest law that promote the recovery of areas burned by forest fires and promote reforestation with new generation plantations that take into account the country's climate scenarios and goals. Progress has also been made in governance, strengthening the issue of Climate Change at the institutional level through specific programs (wood energy, forestry extension, community forestry, others) and the strengthening of capacities in CONAF's technical structures.

Finally, the advances associated with reducing the risk of natural disturbances and disasters have focused on strengthening the efforts to prevent and combat forest fires in CONAF, including different institutional programs for education and preparation of communities for these events, as well as of improvements in infrastructures, equipment, capacities and technologies for the prediction and combat of fire. Important progress has also been made in the monitoring and evaluation of fires, relevant inputs for restorative processes in affected areas.

Regarding the reversal management mechanism, Chile proposed using the Carbon Fund Buffer to store the credits associated with the risk of uncertainty and reversals. Specifically for reversals, it was proposed to use the reversal risk assessment tool that requires a specific amount to put in the buffer for each risk factor. These factors and an update of them are presented in more detail in section 7.3.

# 7.1 Occurrence of major events or changes in ER Program circumstances that might have led to the Reversals during the Reporting Period compared to the previous Reporting Period(s)

During the monitoring period, anomalous and extreme events that occurred in the PRE accounting area could be potentially associated with the results that were presented in this report. These events were namely the 2017 firestorm and the Megadrought that has impacted over the Mediterranean and temperate forests of Chile for more than a decade, triggering a browning trend in these forest masses and resulting in a diminished photosyntetic capacity of this area. Both events occurred before the monitoring period, however they may have played a role as drivers/cause towards emission increase, especially those derived from forest degradation. The megadrought and the warming trends of summer temperatures are two current climatological features that are not directly-

antropogenic caused, but it requires further analysis to prove if these phenomena are influencing/impacting at least 25% of the accounting area of the program.

In this regard, the country developed a study on the Browning effect in the Mediterranean and temperate forests of Chile to determine and quantify the affected forest ratio and the degradation-derived emissions ratio caused by the megadrought.

The mega-drought event was reported to the Trustee in 2023, while the 2017 firestorm was reported in the ERPA update document in 2018.

#### Forest Fires

Reversal risks identified in the 2016 ERPD have not experienced significant changes. Nevertheless, due to the magnitude and dynamics of the event known as Mega Forest Fire which impacted Chile in the summer of 2017, the potential of forests to acting as sinks is estimated to have been affected. Around 500,000 hectares were burnt during this event, of which an important surface corresponded to pastures, scrubs, and forest plantations, excluded by both the ER Program and the FREL/FRL. The impact on the AC Native Forest was 38,000 hectares, being the Maule region the most affected with 28,000 ha, then 10,000 ha in the Biobío region and finally 570 ha in the Araucanía region. Of the 81 million tons of gross CO<sub>2</sub> equivalent emissions estimated for the entire event, 7.45 million tons CO<sub>2</sub> were associated with the native forest of the CA. This information, along with other details associated to the analysis of the aforementioned event, can be found in the document "Additional information for the update of the Emission Reduction Program of Chile, as per recommendations by the Chair's Summary in the 15th Meeting of the Carbon Fund" from 2018 (here).

Although direct emissions generated by these forest fires are not considered in the period of this report (2018-2019), CONAF has identified that this mega event increased emissions from Degradation in this period, given the losses of sink capabilities in the affected forests.

Fires in Chile are caused by anthropic actions and correspond to one of the main drivers of ecosystem degradation in the world. As such, it was identified as one of the drivers of forest degradation in the ERPD. Fire seasons in Chile are frequent events that occur during the summer season; also, high temperature, low humidity and drought conditions can turn these frequent events into exceptional, barely controllable events.

In order to check the sensitivity of the degradation methodology for the detection of degraded areas affected by forest fires in the reporting period (2018 – 2019), CONAF developed a preliminary study about degradation data, using forest fire occurrence spatialized coverages. A simple experiment was carried out, where the data of forest carbon losses due to forest fires during the season was compared against estimated values in areas with no fires during that year.

This analysis was conducted for the Maule (7), Nuble (16), Biobío (8), La Araucanía (9), and Los Lagos (10) regions. The next graph uses a Boxplot to display carbon values means and distributions for these three treatments and by administrative region. The table after that shows the distribution of total CO2 values by region and treatment.

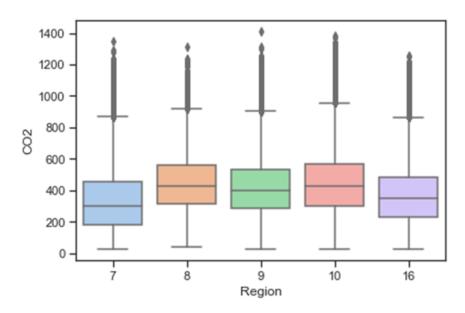


Figure 11 CO2 captures during 2019, disaggregated at the regional level.

Region	Tto	N	mean	std	min	25%	50%	75%	max
7	1	2,202	309.8	203.5	46.0	153.0	234.5	434.0	1042.0
	2	84,345	351.9	192.7	29.0	198.0	319.0	474.0	1216.0
	3	246,423	333.1	194.1	26.0	175.0	292.0	450.0	1346.0
8	1	24	367.5	188.2	95.0	224.3	327.0	535.0	714.0
	2	5,464	455.6	176.8	64.0	328.0	431.0	567.0	1215.0
	3	31,580	446.1	184.3	37.0	314.0	423.5	556.0	1311.0
9	1	1,845	302.1	184.6	57.0	170.0	247.0	391.0	1091.0
	2	63,180	407.8	184.0	37.0	269.0	383.0	518.0	1310.0
	3	255,322	423.4	182.7	23.0	288.0	402.0	534.0	1413.0
10	1	196	255.4	104.6	72.0	213.5	242.0	270.8	892.0
	2	18,365	433.2	184.2	41.0	288.0	408.0	543.0	1342.0
	3	95,808	452.9	189.9	25.0	306.0	432.0	569.0	1382.0
16	1	15	267.1	175.2	62.0	157.0	247.0	329.5	653.0
	2	4,340	362.5	191.5	31.0	220.0	337.0	477.0	1145.0
	3	66,911	372.6	187.0	24.0	232.0	347.0	486.0	1258.0

Table 8 Distribution of CO2 values by region and treatment in 2019.

The differences between burned areas and those without fires were analyzed by isolating data at the regional level and allocating a similar amount of data for each treatment. This was carried out by randomly selecting the data from treatments 2 (areas located 10 km away from the fire) and 3 (areas located 30 km away from the fire) as these areas are larger than their burned counterparts (Table 8, each pixel corresponds to 1 hectare, therefore, region 7 of treatment 1 has 2,202 ha and treatment 2 84,345 ha2). These sample sizes of the various treatments were used to identify the statistical differences that may exist between areas 1, 2 and 3.

The collected data considers large sampling sizes except for the Ñuble and Biobío regions, which were joined to correct the effect of low amounts of data for burned areas in these regions compared to other categories. Despite these sampling sizes, the data do not meet the normality assumptions, and normality is not achieved by looking for

a transformation that allows to meet such assumptions either. Because of this, non – parametric variance analyses were conducted using the Kruskal Wallis method and subsequent comparisons in order to know the differences between groups, applying the Holm-Bonferroni method and the Bonferroni sequential method, less conservative than the original Bonferroni test.

Regarding the general findings from this exercise, it was found that there are significant differences between estimations from burned areas in the various ERP regions for 2018-2019, in regard to those areas located at various distances away from the fires. Therefore, there are differences in the degradation detecting capability of the methodology applied for estimating native forest degradation as a REDD+ activity, it being sensitive to the clear impacts on forest areas after a forest fire.

While fire seasons in Chile are frequent events that occur during the summer season, fire events in January and February 2017 were extreme under high temperatures, low humidity and drought conditions that caused an exceptional event known as a firestorm.

The firestorm affected 518,000 hectares, out of which 93% corresponded to vegetation formations with the Maule region being the most affected, having 54% of its total surface burned, followed by the Biobío region with 19.2%. A total of 89,347 native forest hectares were affected among the native forest vegetation formations, equivalent to 17.24% of the total burned area. The most affected forest type is Sclerophyll, with 72,064.1 ha.

A simple exercise where the surface affected by the firestorm was intersected with pixels from the degradation map, being able to isolate burned pixels, was conducted in order to assess the areas affected by this firestorm.

Among the main findings, areas under degradation in the 2018 – 2019 period affected by the 2017 Firestorm are not too representative in relation to the total degraded surface of the period, only representing 1.3%. This is because areas burned in 2017 were mostly forests of planted exotic species, which are included in the degradation analysis that only considers native forests, which were not as impacted. Also, one of the most impacted regions is that of O'Higgins, which is not in the accounting area. This translated into emissions estimated from these areas being minimal in relation to the total emissions of the period, therefore, the effects of the firestorm phenomenon do not have a major influence in the degradation results for the monitoring period. In addition to this, there is on – site evidence of the post fire restoration process as of 2020 (3 years later) which makes it important to evaluate the state of this forest condition before confirming the dismissal of the areas burned by the 2017 mega fire.

#### Drought

The important increase in degradation during the period is linked with the impact of drought and climate change on the state of vegetation, which has been scientifically proven by analyzing the impact of the decrease in rainfall on browning signs representing loss of vigor, especially in sclerophyll forests. In addition, this fosters environmental conditions for forest fires to occur and propagate, which is one of the main causes of forest degradation identified.

Chile has experienced over a decade of drought nationwide. The precipitation deficit since 2010 is 30% (<u>CR2, 2015</u>). The center-south of the country, that is, the north of the CA, are those that have experienced the most significant variations (<u>ARCLIM</u>). Although the native forest has adapted to short drought periods, the duration of the current scenario is causing a significant increase in the native forest deterioration. (<u>CR2, 2020</u>; <u>Miranda et al., 2020</u>; <u>Garreaud et al., 2017</u>), along with an <u>increase in the forest fire regime</u>. In particular, some species and forest types in the CA have displayed a higher sensitivity to precipitations and climate variables, being more affected (<u>Venegas-González et al., 2018</u>; <u>Urrutia, R. & Rojas, Y., 2020</u>).

This scenario is capable of impact the implementation and results of the Chilean ER Program, especially in the CA northern regions. While there are scientific studies that have addressed some specific aspects of the drought, CONAF has undertaken to study its impacts on the ER Program. These analyses will be aimed towards:

- i) Determine the CA native forest surface being affected.
- ii) Assess the magnitude of these impacts on the native forest.
- iii) Estimate their effects over time.
- iv) Establish a correlation between these trends with emissions and captures from the native forest, both for the ER Program and the National REDD+ Strategy.

Recent studies (Miranda et al, 2020)<sup>26</sup>, have addressed the impact of the 2010 - 2017 mega drought on the forests of central Chile, indicating its significant impact of the productivity of Mediterranean forests. In addition, a preliminary study conducted by the Forest Institute regarding degradation outcomes analyzed the 2000 - 2020 time series, seeking to identify drought events and their relationship with forests under browning conditions. As a result, the effect on browning in sclerophyll forests is made evident, which coincides with drought events in the evaluated period. The next graph displays the annual browning surface calculated based on Landsat ETM+ and OLI satellite material, for the Maule region and the north area of the Biobío (Just before the Ñuble – Biobío division) considering NDVI ranges of <0.45 and >0.2. The following figure is a synoptic depiction of the NDVI behavior for a stand in a sclerophyll forest, as an example.

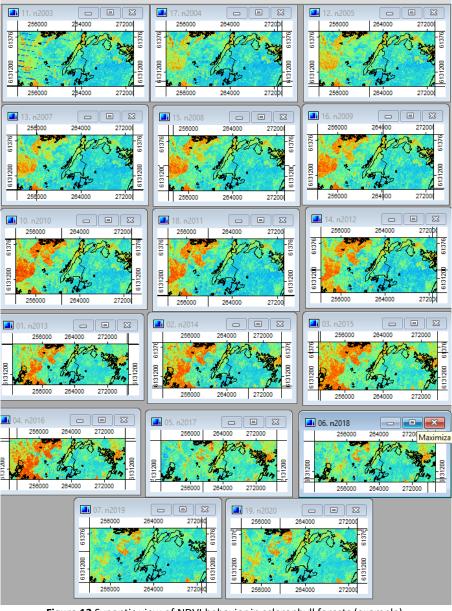


Figure 12 Synoptic view of NDVI behavior in sclerophyll forests (example).

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<sup>&</sup>lt;sup>26</sup> Forest browning trends in response to drought in a highly threatened Mediterranean landscape of South America, Ecological Indicators (journal homepage: www.elsevier.com/locate/ecolind).

This information was used to count the sclerophyll forest surface with signs of browning, based on the native forest registry for these regions. The occurrence of drought events in the period was added, corresponding to 2007, 2010 - 11, 2016 and 2019 - 20. As a result, the following graph summarizes the annual browning surface, highlighting the years with drought events in pink.

It is possible to conclude that, while browning is evident in the results, it would not be a new event only specific for the period associated to the mega drought, but would rather be associated to a cycle of recurring drought weather events in the last two decades, which is related to climate change. Then, the peaks showing the largest browning effect in the graph coincide with historical drought events reported in Chile and are possibly related to El Niño/La Niña phenomena. It is also worth noting the frequency of these events has increased from 6 to 7 years at 2 to 3 year rates, although their magnitude is greater with regard to the base year (2000), possibly indicating a resilience effect of this forest type.

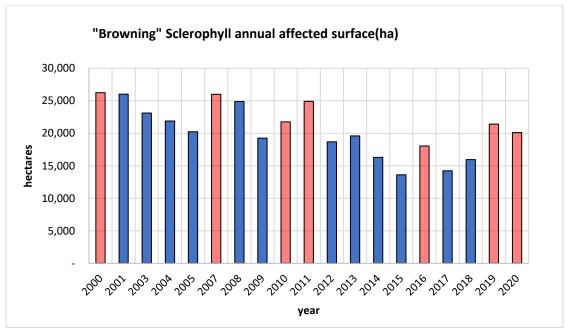


Figure 13 Annual yellowing evolution in Sclerophyll forests of the Maule region.

As demonstrated on a preliminary basis, there is evidence of a browning effect on sclerophyll forests, exacerbated by the mega drought. Nevertheless, more information is required for this to be determined. This requires more in – depth studies in order to determine the magnitude of these effects on the various forest types or some species, at different latitudes where the impacts of the effects of mega drought are also different.

To be able to specify the impact, it is required to expand the time period being evaluated including a period before the year 2000 which would allow to analyze spectral responses of forests in scenarios with no drought events, therefore knowing how plant formations respond to a lower water availability.

Literature also indicates that, along with the decline in rainfall and temperature increase, slope exposure could be an important factor in the loss of vegetation vigor, so it would be another variable worth evaluating. Definitely, once impacts have been detected on a preliminary basis, it is necessary to spatialize their occurrence in native forests, determining their effect in terms of captures and emissions.

In 2022 the GAC consulting develops a methodology to determine the effect of the megadrought in the forest remaining forest. The Chilean experts (GAC-UC) identified areas, by forest type, where vegetation anomalies in the primary productivity variable were frequent between 2001 and 2021. These areas under the impact of the megadrought are the areas affected by browning and correspond to those areas of forest that remain as forests that present phenological anomalies. So, in this study, browning was understood as an abrupt drop in the productivity of trees whose consequences translate into decreased growth or in? mortality. The study area was the accountability forestland remaining forestland (FRF) area of the ERP.

### 7.2 Quantification of Reversals during the Reporting Period

Not applicable, as it corresponds to the first 2018-2019 period, so there have been no previous transfers to the Carbon Fund.

Α.	ER Program Reference level for this Reporting Period (tCO <sub>2</sub> -e)	from section 5	
В.	ER Program Reference level for all previous Reporting Periods in the ERPA (tCO2-e).	from previous ER Monitoring Reports	+
C.	Cumulative Reference Level Emissions for all Reporting Periods [A + B]		
D.	Estimation of emissions by sources and removals by sinks for this Reporting Period (tCO <sub>2</sub> -e)	from section 4.2	
E.	Estimation of emissions by sources and removals by sinks for all previous Reporting Periods in the ERPA (tCO <sub>2</sub> -e)	from previous ER Monitoring Reports	
F.	Cumulative emissions by sources and removals by sinks including the current reporting period (as an aggregate accumulated since beginning of the ERPA) [D + E]		
G.	Cumulative quantity of Total ERs estimated including the current reporting period (as an aggregate of ERs accumulated since beginning of the ERPA) [C – F]		
н.	Cumulative quantity of Total ERs estimated for prior reporting periods (as an aggregate of ERs accumulated since beginning of the ERPA)	from previous ER Monitoring Reports	_
l.	[G - H], negative number indicates Reversals		
If I. a	bove is negative and reversals have or wing:	ccurred complete the	
J.	Amount of ERs that have been previously transferred to the Carbon Fund, as Contract ERs and Additional ERs		
н.	Quantity of Buffer ERs to be canceled from the Reversal Buffer account [J / H × (H – G)]		

### 7.3 Quantification of pooled reversal buffer replenishments

There have been no transfers of reduced emissions in a previous period, therefore there are no reversals of ERs that have been previously transferred to the Carbon Fund.

### 7.4 Reversal risk assessment

Risk Factor	Risk indicators	Default Reversal Risk Set- Aside Percentage	Discount	Resulting reversal risk set-aside percentage
Default risk	Minimum quantity set in the ERPD	10%	N/A	10%
Lack of broad and sustained stakeholder support	This risk was defined as Medium in the ERPD, considering that there are land tenure conflicts in Chile, uncertainty in the appropriation of benefit rights, and risks of an inappropriate inclusion of the different actors in the ER Program. In the period of this report, there has been progress in the country in improving these aspects, however, there are still relevant gaps that keep the risk level at medium.  The main indicators of progress are:  • Existence of a participatory and inclusion	10%	Medium risk, 5% deducted	5%
	processes in the ER Program formulation and their implementation, focused on small owners and indigenous communities.  • Permanent operation of platforms for channeling citizen demands, such as the GRM.  • Maintain validation and communication channels with formal participation instances in CONAF and MINAGRI, e.g. COSOC of CONAF.  • Adequate functioning and representativeness of Regional REDD+ Groups.  • Efficient and transparent BSP operation.  • Operation of the Safeguard Information System and other monitoring instances.  • Dissemination of the ER Program integrated into CONAF institutional management at the national and regional level (Forest dissemination and extension programs)			
Lack of institutional capacities and/or ineffective vertical/cross sectorial coordination	This risk was defined as Low in the ERPD, considering the knowledge and skills gaps existing in the institutions involved and the lack of coordination between them. In the period covered by this report, Chile has taken measures to ensure an ever-greater articulation and strengthening of government institutions involved in the issue of climate change with the ER Program and the ENCCRV, with formal entities such as the Intraministerial Technical Committee of Climate	10%	Low risk, 10% deducted	0%

		•		
	Change (CTICC, acronym in Spanish). These			
	advances keep the risk level low.			
	The main indicators of progress are:			
	<ul> <li>Reinforcement of the CONAF institutional</li> </ul>			
	capabilities (DCCSE, other departments and			
	regional offices) for ER Program management.			
	<ul> <li>Improvement of the institutional conditions,</li> </ul>			
	management, and logistics to the proper working			
	of REDD+ Regional Groups.			
	• Signing of required agreements among land-use			
	sector's institutions for an adequate			
	implementation of the Program in the AC.			
	● Ensure integration and coordination of both			
	CONAF extension programs and MINAGRI			
	promotion instruments in the ER Program			
	regions.			
	Maintain and expand the participation – when			
	needed - of other institutional coordination			
	instances linked to climate change and land			
	management at the regional level (e.g. <u>Intra-</u>			
	ministry Technical Committee on Climate			
	Change, CTICC).			
	• Ensure additional funding required for the			
	institutional operation of the ER Program.			
Lack of long	This risk was defined as Medium in the ERPD,	5%	Medium -	3%
term	considering the deficiencies and limited scope of		low risk,	
effectiveness in	forest laws and their promotion instruments, which		2%	
addressing	did not adequately address the causes and agents		deducted	
underlying	of deforestation and degradation. Risks of			
drivers	ineffectiveness in governance and the lack of			
	continued funding to implement the ENCCRV action			
	measures were also considered. In the period of			
	this report, there has been progress in the country			
	in improving these aspects, however, there are still			
	relevant gaps that keep the risk level at medium.			
	The main indicators of progress are:			
	● Institutional commitment to address both			
	ENCCRV and PRE implementation. Approval of			
	the ENCCRV by the Council of Ministers for			
	Sustainability, submitting the ENCCRV at the			
	UNFCCC <u>.</u>			
	• Integration of ENCCRV into the environmental			
	and allowed multiples of Chile for DEDD.			
	and climate policies of Chile for REDD+			l l
	compliance. Climate Change Adaptation Plan in			
	· ·			
	compliance. Climate Change Adaptation Plan in			
	compliance. <u>Climate Change Adaptation Plan in</u> <u>the Agroforestry Sector</u> , <u>National Climate Change</u>			
	compliance. <u>Climate Change Adaptation Plan in</u> the Agroforestry Sector, <u>National Climate Change</u> <u>Action Plan 2017-2022</u> , <u>NDC of Chile</u> (2020			

	along with leveraging of additional funds for their			
	implementation in the territory ( <u>UN-REDD</u> , <u>GCF</u> ).			
	<ul> <li>Search for new <u>long-term funding schemes</u>.</li> </ul>			
	<ul> <li>Alignment of ENCCRV and ER Program <u>action</u></li> </ul>			
	measures with actions defined under national			
	forest legislation (Law 20,283 and Decree 259)			
Exposure and	This risk was defined as Medium in the ERPD,	5%	High risk,	5%
vulnerability to	considering that although there is a permanent risk		0%	
natural	of earthquakes, volcanic eruptions and droughts in		deducted	
disturbances	the AA, most of these disasters do not cause			
	extensive damage to the forests and their			
	temporary recurrence it is low.			
	temporary recurrence it is low.			
	As evidenced in 7.1, droughts increased their			
	=			
	recurrence in the AA, so the level of this risk should			
	increase to a high risk. On the other hand, forest			
	fires cause a lot of impact, degradation and			
	emissions, but in Chile these events are classified as			
	100% of anthropogenic origin, therefore, they are			
	not considered natural disturbances.			
	It is then considered that this factor should increase			
	its risk to a high level, in the period of this report.			
	The main indicators of progress are:			
	<ul> <li>High risk of natural disasters, as the state and</li> </ul>			
	capture and store carbon capability of the AA			
	native forest, may be affected directly. (Mega			
	droughts, volcanic eruptions). Although forest			
	fires cause a greater degradation, they are			
	classified as 100% anthropogenic. Nevertheless,			
	due to the effects of climate change (temperature			
	increase, precipitation decline), forest fires could			
	increase in the future. This would amplify the			
	negative effects of mega droughts in the northern			
	CA regions.			
	<ul> <li>Increase and refocus programs to reduce the</li> </ul>			
	occurrence, magnitude and intensity of forest			
	fires and strengthen institutional capabilities to			
	conserving and restoring the native forests most			
	vulnerable to droughts.			
	··· ʊ ···	Total revers	al risk set-	23%
		aside percen		
		•		
		Total revers	al risk set-	23%
		aside perce	ntage from	
		ER-PD or	previous	
		monitoring	report	
		(whichever	is more	
		recent)		

The reversals risk assessment was updated with respect what was reported ERPD, in the risk factor Exposure and vulnerability to natural disturbances. In the ERPD this risk factor was assessed as Medium, assigning a percentage of 3%. In this monitoring period assessment, the value was increased to the maximum, considering the High Risk of natural disturbances and disasters. As was observed and explained, 2018-2018 reporting period was heavily affected by drought and climate change. The total reversal risk percentage is then 23%.

However, during September and October 2023, through discussions held with the Carbon Fund donors where Chile presented an adjustment to the emissions accounting methodology, it was proposed to apply the maximum risk of possible reversals established in the Buffer Guideline.

In this way, applying a completely conservative criterion and given the methodological adjustment in which the occurrence of non-anthropogenic disturbances is assessed, it was decided to apply 40% as the total risk of reversals.

### 8 EMISSION REDUCTIONS AVAILABLE FOR TRANSFER TO THE CARBON FUND

No emission reductions have been generated to be transferred to the Carbon Fund in the 2018 - 2019 monitoring period. As indicated in Chapter 4, Chile increased its emissions by -5,353,046 ton CO2eq for the two years monitored during this period, in not browning affected areas.

Among the REDD+ activities being reported, forest degradation reaches above 38 million tonnes of CO2eq during the period, representing a significant increase regarding the reference period. Deforestation is reduced by around 6% regarding the baseline.

A.	Emission Reductions during the Reporting period (tCO <sub>2</sub> -e)	from section 4.3	-5,353,046
В.	If applicable, number of Emission Reductions from reducing forest degradation that have been estimated using proxy-based estimation approaches (use zero if not applicable)		0
C.	Number of Emission Reductions estimated using measurement approaches (A-B)		-5,353,046
D.	Percentage of ERs (A) for which the ability to transfer Title to ERs is clear or uncontested	from section 6.1	100%
E.	ERs sold, assigned or otherwise used by any other entity for sale, public relations, compliance or any other purpose including ERs accounted separately under other GHG accounting schemes or ERs that have been set-aside to meet Reversal management requirements under other GHG accounting schemes	from section 6.4	0
	If applicable, any buffer replenishments	section 7.3 P	0
F.	Total ERs [(B+C)*D-E] minus, if applicable, any replenishments as per section 7.3, P		-5,353,046
G.	Conservativeness Factor to reflect the level of uncertainty from non-proxy based approaches associated with the estimation of ERs during the Crediting Period	from section 5.2	15%
н.	Quantity of ERs to be allocated to the Uncertainty Reversal Buffer (0.15*B/A*F)+(G*C/A*F)		0
ı.	Total reversal risk set-aside percentage applied to the ER program	from section 7.4	40%
J.	Quantity of ERs to be allocated to the Pooled Reversal Buffer (F-H)*I		0
к.	Number of FCPF ERs (F- H – J)		0
L.	Percentage of Emission reductions from enhanced removals from afforestation/reforestation as a percentage of the total removals [Optional if the country wishes to generate enhanced removals]	From section 4.3	0
М	Number of FCPF ERs from enhanced removals from afforestation/reforestation (L * K) [Optional if the country wishes to generate enhanced removals]		0

#### ANNEX 1: INFORMATION ON THE IMPLEMENTATION OF THE SAFEGUARDS PLANS

#### Requirements of FCPF on Managing the Environmental and Social Aspects of ER Programs

This annex addresses the implementation of Safeguard Plans in the ERP Accounting Area of Chile, during the 2018 – 2019 period. The CONAF's Safeguard Report (SR) for the 2018 – 2019 period was used as a basis for development and contains the necessary details and evidence in support of the information hereby provided which has been developed and validated with the World Bank. On the other hand, and for the purposes of this report, the Safeguard Plans cited on this section were prepared and implement in a manner consistent with the Environmental and Social Management Framework (MGAS) of the National Strategy on Climate Change for Vegetation Resources approved by the World Bank in 2018 whose objective is to establish and organize, through defined protocols, the implementation and follow up procedures for the Measures (MDA) of the ENCCRV.

The MGAS was prepared in accordance with the Operational Policies of the World Bank (OP/BP) associated to the ERP and other safeguards that apply to ENCCRV. Although MGAS was made to elevate environmental and social standards inside CONAF, the implementation of this framework guidelines is being gradually incorporated in the institution's activities with a gradual but constant onset phase.

Finally, the reported activities are separated into two categories:

- CONAF's institutional activities with national financing and
- ENCCRV's pilots with international financing.

#### II. Reporting and Monitoring Requirements

Main institutional arrangements (decision making procedures and institutional responsibilities), functions
and technical capacities of implementing agencies (including human and financial resources) along with
capacity building measures to be undertaken for the implementation of the MGAS and its associated
Safeguards Plans.

This section is based on Chapter 4 of the SR for the 2018 – 2019 period, which is available upon request and provides more detailed information.

#### 1.1 Key institutional arrangements active during the retroactive period

CONAF as an entity within the Ministry of Agriculture has a clear and established governance which considers technical management, management and support, departments, and units, as shown in Figure 14. The above allowed the application of Safeguard Plans in the design, implementation, monitoring and follow-up of the ENCCRV Measures.

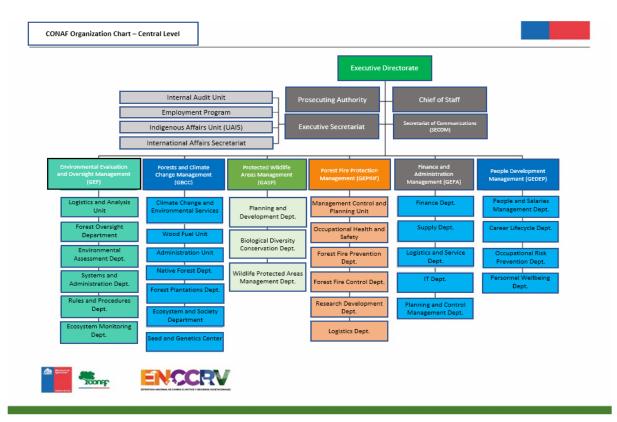


Figure 14 Central CONAF Organization Chart. Source: MGAS.

Figure 15 shows the Departments and Units responsible for safeguard management in CONAF at the central level between 2018-2019, fulfilling the following roles in the mentioned area:

- Ecosystem Services and Climate Change Department (DCCSE, according to the acronym in Spanish): Environmental specialists from the department guide and support the technical management of regional teams, conducting support, follow up, review and analysis of the information generated in the various regions for safeguard reporting at the national scale.
- Indigenous and Social Affairs Unit (UAIS): Contributes to DCCSE regarding safeguards related to the social sphere, and most notably, ensuring the participation of beneficiaries and respect for the indigenous people rights.
- Prosecuting Authority: Provides support in document review and visa for procedures relative to compliance with the principle of legality in acts undertaken by CONAF.
- Environmental Assessment Department: Provides orientation regarding the projects submitted to the SEIA (Environmental Impact Assessment National Service), supports the application of the Strategic Environmental Assessment Instruments in regulatory policy and national programs.
- Technical Regional Teams: These teams are in charge of the design, implementation, follow up and monitoring of the ENCCRV's Measures at the regional level. These teams are led by the Ecosystem Services and Climate Change Regional Coordinators (CCCSE, according to the acronym in Spanish) and follow orientations generated by DCCSE or other Units (UAIS, DEF, DASP, etc.).

In the reporting period additional units and committees linked to safeguard management were added, such as:

- Interagency Committee on Climate Change of CONAF: GCEBX (former GEDEFF) initiative corresponding to a permanent work team with the function of coordinating all institutional actions linked to the ENCCRV. The instances comprising this committee are the technical management entities (GCEBX, GEF, GASP, GEPRIF, etc.), some advisory units (UAIS, URI, UIG) and the Secretariat on Forest Policy (SPF, acronym in Spanish). This committee represents the highest institutional instance on cross cutting coordination at central level and between this level and the regional teams.
- Civil Society Council of CONAF (COSOC according to the acronym in Spanish): Consultive (non binding) citizen
  participation mechanism that is linked with representatives from civil society, with the main objective of
  issuing opinions to be contemplated by the authority in its corresponding decision-making process. It is in this
  context that the ENCCRV responds to the council recommendations as a public policy instrument, especially
  on safeguards, participation of local communities, guidelines and consulting with indigenous peoples.

#### Human and financial resources of the entities and parties involved

The Social and Environmental Safeguard Team, currently under the joint leadership of DCCSE and UAIS professionals, was created during the ENCCRV formulation process. Inclusiveness criteria were applied for its formation, defining professional profiles from different areas and disciplines, among them: social, environmental, forestry, climate change, project execution and assessment, social — community development, territorial planning, indigenous communities, sustainability and environmental/forestry legislation, among others. This allowed to have teams with highly capable skills on technical analysis before and during the retroactive period, ensuring both the effectiveness of the technical implementation and the application of safeguards to the institutional projects and actions within the ENCCRV framework. Specifically, 3 environmental specialists and 4 social specialists were available at the central level for the years informed in this Report. The functions of the team are clearly understood and fulfill the following tasks:

- Specific analyses on environmental issues by DCCSE specialists.
- Specific analyses on social issues by UAIS specialists.
- Site verification processes, from which the respective recommendations, orientations and instructions aimed at central and regional instances for safeguard compliances are derived.
- Request and conduct follow ups on safeguard reports generated by the regions where ENCCRV demonstration projects exist, specifically regions that are part of the ERP area.
- Develop and/or coordinate the development of reports required by both national authorities and international agencies that contribute in the Strategy in any of its phases, that is: formulation, implementation and result-based payments.

Technical Regional Teams assumed an operational and territorial implementation role for the development of projects and initiatives, therefore ensuring the relevant safeguards were addressed and respected. Additionally, there is a Coordinator of Climate Change and Ecosystem Services by region, which correspond to professionals with a vast institutional career and experienced in project management and community relations. In regions where ENCCRV pilots and/or projects are conducted, these Coordinators have a technical specialist supporting them who contributes to project management in general along with safeguard follow up, monitoring and reporting. At the central level, UAIS provides direct support in regions where such professionals are not available.

The following figure indicates the priority areas that contribute to safeguard management at the regional level in a coordinated manner with their respective counterparts at the central level. However, all other institutional units collaborate and assume responsibilities as required by the implementation of the Strategy.

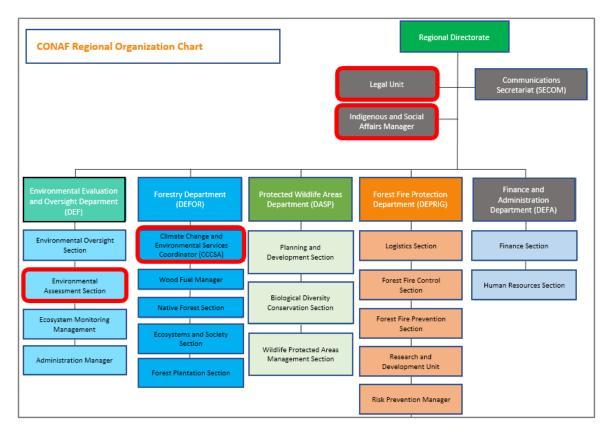
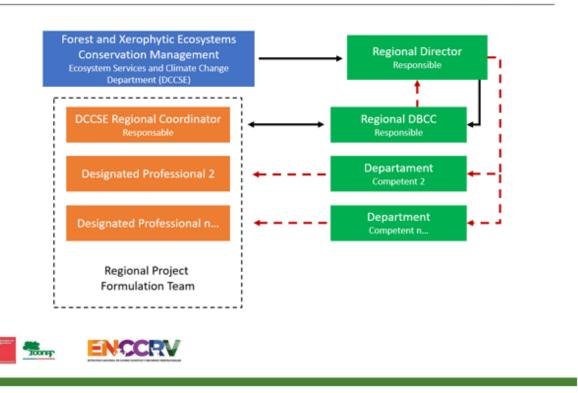


Figure 15 CONAF structure organization chart at the regional level between 2018-2019.

The relationship and information flow between central units and Technical Regional Teams is showed in the next figure.



**Figure 16** Safeguards management relationship and information flow inside regional CONAF for the reported period. Source: MGAS.

In this process, the DCCSE, Regional Director, Environmental Services and Climate Change Regional Coordinator (CCCSA) and the head of the Regional DEFOR, defined the regional teams with expertise in the Measures of the ENCCRV. For further details, please refer section 4.3 of the CONAF's Safeguard Report (SR) for the 2018 – 2019 period.

Finally, the formation of the team responsible for safeguards both at the central and regional levels implies a budgetary expense to pay professional salaries, travel expenses and other capacity-building activities<sup>27</sup>, for the implementation of the safeguards management of the institutional ERP during the 2018-2019 period. In this regard, the following expenses were incurred: Table 9.

<sup>&</sup>lt;sup>27</sup> Additional information of workshops available at the link: https://www.enccrv.cl/talleres

	Sou	Source of Funding							
Year 2018	FCP	F II	PMS	ST	PN C	ONUREDD	COI	NAF	
National Safeguards Team Salaries	\$	29,751	\$	35,608	\$	-			
Regional Safeguards Team Salaries	\$	34,842	\$	-	\$	20,210	\$	111,894	
General Travel Expenses	\$	700	\$	1,773	\$	-	\$	-	
Total 2018	\$	65,293	\$	37,381	\$	20,210	\$	111,894	\$ 234,7

Year 2019	FCP	F II	PMS	ST	PN C	ONUREDD	COI	NAF	
National Safeguards Team Salaries	\$	45,686	\$	40,476	\$	-	\$	=	
Regional Safeguards Team Salaries	\$	26,641	\$	1,493	\$	15,478	\$	131,328	
Travel Expenses	\$	5,410	\$	451	\$	-	\$	=	
Safeguards Workshop	\$	12,304	\$	-	\$	-	\$	=	
Total 2019	\$	90,041	\$	42,419	\$	15,478	\$	131,328	\$ 279,

TOTAL 2018 - 2019 \$ 514,04
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Table 9 ERP associated safeguard management expenses, 2018-2019 period.

#### 1.2 Implementation of institutional arrangements

In terms of governance, institutional organization and decision-making procedures, the arrangements described in the previous point were implemented in ENCCRV pilot projects and CONAF activities during the 2018 – 2019 period, with the correct functioning of responsible entities and safeguard teams, along with a relevant regional team formulation process. Nevertheless, it was detected the need to include a full-time safeguard specialist at CONAF's central and regional offices, in order to strengthen the addressing of safeguards.

#### 1.3 ER Program and Safeguard Plans capacity development

In addition to the above, there have been trainings and workshops focused on the dissemination, strengthening and institutionalization of the safeguards approach for professionals and teams of CONAF dedicated to the development and implementation of environmentally sustainable projects.

In this way, the implementation of institutional reinforcement activities presented in the MGAS during the reporting period is confirmed, including:

- Support activities for CONAF's professionals by the central office's environmental and social safeguards team, in safeguard management aspects and other specific topics required.
- Diffusion of phases and responsibilities in MGAS implementation through the delivery of 2 copies of the document to regional offices.

Also, the implementation of capacity strengthening workshops for CONAF teams has been continuous since strategy preparation phase. There are two activities highlighted in this context, with participation of Environmental Services and Climate Change Regional Coordinators from the entire country:

• National Meeting of the Climate Change and Environmental Services Unit (UCCSA, by then) of CONAF (November 2018): Aside from progress on the ENCCRV implementation being informed, a training session was

held on safeguard aspects within the REDD+ framework, safeguard frameworks that apply to the ENCCRV and the Environmental and Social Management Framework (MGAS, acronym in Spanish) as the main management instrument.

• First Safeguards and Payment for Environmental Services Workshop (May 2019): The aim of this workshop was discussing the ENCCRV MGAS, its procedures and scopes. It was attended by specialists from multiple agencies (COSUDE, World Bank, UN-REDD National Program, among others), who presented the scope of their respective safeguard frameworks and their ENCCRCV applications.

In this sense, there has been a broad information diffusion at a national and international level through technical tours, seminars, courses and documents referring to ENCCRV social - environmental management aspects. The backup of safeguard institutional strengthening is also properly documented in the <u>ENCCRV website</u>.

2. ER Program activities are implemented in accordance with management and mitigation measures specified in the Safeguards Plans.

## 2.1 Environmental and social documents prepared during the implementation of the Program are based on Safeguard Plans, along with the main prevention and mitigation measures specified

The ENCCRV implementation, as CONAF's public policy instrument, has happened thanks to two kinds of activities, first those included in the regular management of the institution carried out with financing assigned by means of the Budget Law; and second those carried out through donations from multilateral organizations that are administered directly by CONAF and called "pilots". The activities from the national budget group are compose by the institutional programs and activities aligned to the action measures of the strategy and in the case of the pilots, the following projects were implemented: the Specialized Regional Technical Units of the FCPF II, the Sustainable Land Management project (GEF), UN-REDD National Program and Contribution between the Swiss Agency for Development and Cooperation (SDC) and CONAF.

For both, the ENCCRV pilots and activities with national budget it was applied<sup>28</sup> the following environmental and social documents described in the next table, in line with the ERP regions, timeframe and the contents described in the MGAS. It is confirmed that all activities implemented during the reporting period, were consistent in the adoption of the technical and operational guidelines defined in the current institutional and legal management mechanisms and instruments. It is worth highlighting that these instruments were designed and are applied in strict adherence to the current legal and regulatory framework of Chile, analyzed in section 3 of the MGAS. Through participatory sessions with professionals from CONAF's technical managements, the following table that describes the management instruments aligned with the OP/BM has been. For further details, review section 7 of the SR.

<b>Operational Policy</b>	Management instruments in line with MGAS				
OP 4.01 Environmental	Environmental Assessment Guide "Criteria for the participation of CONAF in the SEIA"				
Assessment	Property Plan safeguard worksheets				
	Supreme Decree No. 16-Approval on the classification of species 16th Process				
OP 4.04 Natural Habitats	SNASPE Oversight Manual for Ecotourism Concessions				
OP 4.04 Natural Habitats	SNASPE Manual for Protected Areas Management Planning				
	Protection areas according to Law 18.378				
00 400 0	SAG List of pesticides				
OP 4.09 Pest Management	Pesticide applicator certification				
widingement	Basic Guide of Best Practices for Forest Plantations property of Small and Medium Owners.				

<sup>&</sup>lt;sup>28</sup> CONAF has environmental and social management instruments before the developing of the MGAS, therefore in most cases it was not necessary to prepare new instruments but rather to apply the existing ones.

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CONAF National Forest Extension Program Technical Procedure Manual for the tree planting program of 2020 Annex 4. Single worksheet for the tree planting program application Considerations for the formulation of the Native Forest Forestry Management Plan, Law 20.283 Forms for management plan Tender rules for the Native Forest Conservation, Restoration and Sustainable Management Fund Supreme Decree 68 – List of indigenous tree and Bush species of Chile Supreme Decree No. 16-Approval on the classification of species 16th Process Free movement guides for transporting native forest products CONAF 2015-2030 wood fuel strategy National Firewood Certification System Best practices guide on tools for fighting against and preventing illegal logging and associated trade. CONAF Forest Oversight and Administration System (SAFF) Work instructions and form on reception of third party complaints for alleged breaches of forestry legislation? Logging and Extraction Monitoring Unit (LEMU) National instructions on the use of fire administration Guidelines on burn alternatives as part of the training program Participatory afforestation regional programs Tender rules for the Native Forest Conservation, Restoration and Sustainable Management Fund SNASPE Oversight Manual for Ecotourism Concessions SNASPE Manual for Protected Areas Management Planning Action Framework for Community Participation in SNASPE management tools – State Protected Wildlife Areas. ASP managers and park rangers institutional training strategy Methodology for the Development of Forest Fire Prevention Community Plans Planning Framework for Indigenous Peoples (MPPI, acronym in Spanish) Intercultural Mapuche Foresty Model (MOFIM) Action Framework for Community Participation in SNASPE management Implementation of conservation strategies in SNASPE units Forms for management plans Intercultural Mapuche Foresty Model (MOFIM) Action Framework for Community Participation in SNASPE management Implementation of Conservation Strategies in SNASPE units Forms for management pl	Operational Policy	Management instruments in line with MGAS
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**Table 10** Institutional safeguard instruments applied in line with MGAS Operational Policies. Source: Modified from section 8 of the SR.

The main prevention and/or mitigation measures, contemplated in the safeguard management institutional plans and/or instruments are detailed below (Table 11).

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<sup>&</sup>lt;sup>29</sup> Available at https://oficinavirtual.conaf.cl/denunciaTerceros/index.php?x=1

Operational Policy	Institutional management instruments in line with MGAS	Prevention/mitigation measure description	Applicable activities
OP 4.01	Environmental Assessment Guide "Criteria for the participation of CONAF in SEIA"	Defines and presents the aspects and criteria to be applied by CONAF in the assessment process for projects entered into SEIA	ENCCRV pilots
Environmenta I Assessment	Property Plan safeguard worksheets	Planning tool that considers the preventive analysis of possible risks or potential impacts associated to project implementation activities	ENCCRV pilots
	Supreme Decree No. 16 – Approval on the classification of species 16th Process	Establishes the conservation category for the various native species of Chile according to the procedure established in the rules for the classification of wildlife species (SD No. 29)	Payment given to activities executed within the framework of Law No. 20.283
	SNASPE Oversight Manual for Ecotourism Concessions	Recreational services and especially those associated to special interests tourism must ensure that such developments are compatible with the general and specific objectives that led to the creation of protected wildlife areas.	SNASPE concessions oversight
OP 4.04 Natural Habitats	SNASPE Manual for Protected areas Management Planning	Establishes guidelines associated to territorial zoning and analysis, cultural conservation objects and human wellbeing objects, participation aspects, climate change vulnerability analysis, logical framework matrix, operational plans, monitoring, among others to ensure the preservation of the ASPs that are part of SNASPE.	Development or update of Management Plans through the SNASPE enhanced planning method; Implementation of conservation strategies in SNASPE units
	Protection areas according to Law 18.378	Establishes prohibitions on the felling of trees and bushes in 16 areas of the ERP territory through Supreme Decree, with CONAF being in charge of authorizing and overseeing the provisions of this law and its associated decrees.	Development or update of Management Plans through the SNASPE enhanced planning method
	SAG List of Pesticides	List of authorized pesticides taking into account a strict assessment process and requirements indicated in the international agreements subscribed to by Chile (Resolution No. 1.557 of 2014)	Participative Afforestation Program
OP 4.09 Pest Management	Pesticide applicators certification	Establishes indications for obtaining a license recognizing the applicator as trained in the use and management of agricultural pesticides, regarding the referential framework established by SAG (exempt resolution No. 2029/2017)	Participative Afforestation Program
	Basic guide on best practices for forest plantations owned by small and medium owners	Establishes a series of technical recommendations oriented towards site preparation, soil remediation works and plantation tasks that contemplates aspects such as the correct management of plant waste, pest and disease control techniques, among others.	Payment given to activities executed within the framework of Law No. 20.283

Operational Policy	Institutional management instruments in line with MGAS	Prevention/mitigation measure description	Applicable activities
	CONAF National Extension Program	Collaborate with institutional professionals in tasks associated to the implementation of Law 20.283, such as providing professional advice to small and medium scale owners that would not be able to afford such services otherwise, train owners for the correct execution of their operations and control of such operations during development, among others.	Participative Afforestation Program
	Technical procedures manual for the 2020 tree planting program	Promote the generation of ecosystem services through the development of wooded areas in urban and peri – urban parks, contemplating the use of plants with heritage and cultural value (native species and/or in state of conservation), contributing to the dissemination of the benefits provided to society which improve the quality of life of the population.	Tree planting program
	Annex 4. Tree planting program unique application worksheet	Contains a series of aspects that must be taken into account by applicants when applying to the tree planting program, among which the most important are the plant care commitment under CONAF technical recommendations and acceptance of monitoring and assessment, among others	Tree planting program
OP 4.36 Forests	Considerations for the formulation of the Native Forest Forestry Management Plan, Law 20.283	Establishes the technical requirements that allow to plan ecological heritage management or the sustainable use of forest resources in a specific land, preserving water quality and avoiding land deterioration.	Adjudged Management Plans
	Forms for management plans	Set of technical standards and requirements associated to the management, planning, forest preservation of native forests or according to forest type, along with accreditation certificates for management plans and actions contemplated in Law 20.283 (Includes form for correction plans)	Adjudged  Management Plans: Control of technical native forest management studies approved by CONAF; Extraction, simple felling or working plans oversight
	Tender rules for the Native Forest Conservation, Restoration and Sustainable Management Fund	Establishes the various activities that can receive a premium according to literals A), B) and C) of Law 20.283 on the restoration of Native Forests	Payment given to activities executed within the framework of Law 20.283
	Supreme Decree 68 – List of indigenous tree and bush species in Chile	The list of native tree and bush species in Chile that can receive a premium is defined based on a series of scientific studies	Payment given to activities executed within the framework of Law 20.283
	Supreme Decree No. 16 – Approves classification of species 16 <sup>th</sup> process	Establishes the conservation category for the various native species of Chile according to the procedure established in the rules for the classification of wildlife species (SD No. 29)	Payment given to activities executed within the framework of Law 20.283;

Operational	Institutional management	Prevention/mitigation measure description	Applicable activities
Policy	Free movement guides for transporting native forest products	Certifies that primary native forest products (according to a list) come from tree felling authorized by CONAF through a management plan, handling standard or a simple felling authorization.	Payment given to activities executed within the framework of Law 20.283; Inspections on stockpiling centers and roads
	2015-2030 CONAF wood fuel strategy	Promotes the sustainable production and efficient use of forest biomass as a non-conventional renewable energy, to contribute to the energy Independence and safety of Chile, through the inclusion of and provision of capabilities to small scale owners of native forests, plantations, and small businesses.	Actions that promote the sustainable production of firewood and biomass
	National Firewood Certification System	Certification standard comprised by 4 principles, which are verified through documents, measurements, records among other evidence, demanding compliance with the Forest Management Plan.	Actions that promote the sustainable production of firewood and biomass
	Best practices guide in tools for fighting and preventing illegal logging activities and associated trade	Provides a series of traceability and origin of wood related tools and technologies, to support the management of oversight and inspections on stockpiling centers and roads	Inspections on stockpiling centers and roads. Property inspections and compliance checks through SAT/LEMU
	CONAF Forest Oversight and Administration System (SAFF)	Tool that supports the institutional management of ecosystems and environmental assets protection against illegal practices in forest resources	Third party complaints control.  Forest legislation compliance control.  Extraction, simple tree felling or work plans control.
	Work instructions and form on reception of third-party complaints for alleged breaches of forestry legislation, available at https://oficinavirtual.conaf.cl/denunciaTerceros/index.php?x=	This form, which may be submitted either in person, in writing or through the institutional website, allows to classify complaints according to the natural resource type being affected, highlighting the existence of a field for Native Forest, Water Resources and others, with the latter possibly including species or sites of cultural significance for local and indigenous communities.	Third party complaints control
	Logging and Extraction Monitoring Unit (LEMU)	The Forest Extraction and Harvesting Monitoring System is a remote sensing tool that allows to detect changes in vegetation cover at the national level, promoting the territorial deployment and allocation of oversight resources	Property inspections and compliance controls through SAT/LEMU
	National instructions on the administration of the use of fire	Establishes criteria and measures to be implemented in each region so the use of fire is a safe tool for the management of plant waste, along with defining special situations where use of fire entails generating burning	Controlled burning program oversight and training

Operational Policy	Institutional management instruments in line with MGAS	Prevention/mitigation measure description	Applicable activities
		plans, highlighting its existence in Native Forest properties (Only in the Araucanía region) and proximity to SNASPE (All regions in the country)	
	Burn alternative guides as part of the training program	Project on alternatives to the use of fire, in order to reduce negative externalities such as environmental pollution and risk of forest fires.	Controlled burning program oversight and training
	Participative afforestation regional programs	Oriented towards promoting the active collaboration and motivation of all parties/stakeholders involved, sharing ideas and experiences to seek solutions in a participative manner.	Participative Afforestation Program
	Tender rules for the Native Forest Conservation, Restoration and Sustainable Management Fund	Contemplates the acceptance of collective applications by small forest owners, executed either directly or by their representative organizations (For example indigenous communities, agricultural communities among others)	Promotion of initiatives considering productive linking
	SNASPE Oversight Manual for Ecotourism Concessions	Entails that concession contracts in wildlife protected areas overlapping with ADI, must reflect agreements arising between CONAF and CONADI specifically regarding the form of participation of indigenous communities existing in ADI	SNASPE concessions control
OP 4.10 Indigenous People	SNASPE Manual for Protected Areas Management Planning	Instructs participation as a key point in planning, defining this as the process meant to engage the community in SNASPE management, for strengthening the units that form it, along with achieving a better identification with the valuable natural and cultural heritage represented by the community and contributing to the development of their areas of influence, an activity materialized through the SNASPE advisory councils.	Development or update of management plans through the SNASPE enhanced planning method; Implementation of conservation strategies in SNASPE units
	Action Framework for Community Participation in SNASPE management	Technical theoretical framework for the update of citizen participation mechanisms and scopes in protected areas of the National System of State Protected Wildlife Areas, SNASPE (acronym in Spanish)	Development or update of management plans through the SNASPE enhanced planning method
	SNASPE staff manual – tools for conflict management in State Protected Wildlife Areas	Manual oriented towards strengthening the institutional management of ASPE administration teams in the administration and management of such social – environmental conflicts, through a series of proposed approaches and tools	Implementation of conservation strategies in SNASPE units
	Institutional ASP Park rangers and managers training strategy	Training in conflict resolution, agreement management, respect for the rights and knowledge of Indigenous People and local communities	Implementation of conservation strategies in SNASPE units

Operational Policy	Institutional management instruments in line with MGAS	Prevention/mitigation measure description	Applicable activities
	Methodology for the development of Forest Fire Prevention Community Plans	The methodology is established with the goal of strengthening and improving community participation in forest fire prevention, through a series of technical recommendations and tools (annexes) that facilitate said process, with the most important being the formation of a community council, the community workshop checklist and the validation of the plan draft with the community	Communities prepared against forest fires programs; Development of community protection plans in risk areas
	Indigenous Peoples Planning Framework (MPPI) and Indigenous Peoples Plans (PPI)	Instruments territorially focused on Priority Intervention and Pilot Areas, for projects to be developed through a process incorporating aspects such as the respect for the dignity, culture, ways of life and rights of the indigenous peoples in such areas, which is made effective through project participation, the reception of appropriate social and economic benefits from a cultural point of view and the identification of possible adverse effects so they can be avoided, reduced as much as possible or at least be mitigated and/or offset.	MST ENCCRV Pilot
	Intercultural Mapuche Forest Model (MOFIM)	Be an intercultural tool in order to contribute to the planning of the Mapuche territory, jointly incorporating their worldview, knowledge and cultural practices into the technical knowledge, thereby ensuring the development of culturally relevant activities that contribute to a good life and balance with the environment.	MST ENCCRV Pilot
	SNASPE Manual for the Protected Areas Management Planning	Establishes guidelines associated to territorial zoning and analysis, cultural conservation objects and human wellbeing objects, participation aspects, climate change vulnerability analysis, logical framework matrix, operational plans, monitoring, among others to ensure the preservation of the ASPs that are part of SNASPE.	Development or update of Management Plans through the SNASPE enhanced planning method
OP 4.11 Physical Cultural Resources	Action Framework for Community Participation in SNASPE Management	Technical theoretical framework for the update of citizen participation mechanisms and scopes in protected areas of the National System of State Protected Wildlife Areas, SNASPE	Development or update of Management Plans through the SNASPE enhanced planning method
	Implementation of conservation strategies in SNASPE units	Training in conflict resolution, agreement management, respect for the rights and knowledge of Indigenous People and local communities	Implementation of conservation strategies in SNASPE units
	Forms for management plans	Set of technical standards and requirements associated to the management, voluntary planning of activities, native forest forestry preservation	Adjudged Management Plans

Operational Policy	Institutional management instruments in line with MGAS	Prevention/mitigation measure description	Applicable activities
	Intercultural Mapuche Forest Model (MOFIM)	Be an intercultural tool in order to contribute to the planning of the Mapuche territory, jointly incorporating their worldview, knowledge and cultural practices into the technical knowledge, thereby ensuring the development of culturally relevant activities that contribute to a good life and balance with the environment.	ENCCRV MST pilot
00 413	Action Framework for community participation in SNASPE management	Technical theoretical framework for the update of citizen participation mechanisms and scopes in protected areas of the National System of State Protected Wildlife Areas, SNASPE	Development or update of Management Plans through the SNASPE enhanced planning method
OP 4.12 Involuntary Resettlement	Implementation of conservation strategies in SNASPE units	Training in conflict resolution, agreement management, respect for the rights and knowledge of Indigenous People and local communities	Implementation of conservation strategies in SNASPE units
	Forms for management plans	Set of technical standards and requirements associated to the management, voluntary planning of activities, native forest forestry preservation	Granted management plans

**Table 11** Prevention/mitigation measures contemplated in the safeguard management institutional plans and/or instruments. Source: Modified from section 8 of the SR.

## 2.2 Degree of implementation of social and environmental measures established in Safeguards Plans, quality of engagement with key actors and monitoring arrangements

Through the preventive measures presented above, the correct implementation of the social and environmental measures considered within the institutional management instruments and national legislation aligned with the MGAS is consistently confirmed however an opportunity for improvement in the implementation of some measures were identified as described in section 3. Additionally, other complementary measures implemented by CONAF with the aim of avoiding social and environmental impacts are described below.

The SEIA (Environmental Impact Assessment National Service) is one of the most important mechanisms to implement all MGAS's social and environmental actions, since it is applied to all World Bank's Operational Policies. It's works as a preventive instrument, which makes it possible to ensure that potential socio-environmental impacts are identified in the design and planning stages of the project. Additionally, through this instrument it is ensured that the evaluation, identification and implementation of mitigation prevention measures are instructed in a correct and cross cutting way for various topics, such as: Physical cultural heritage, Indigenous Peoples, Sustainable forest management, Critical natural habitats, Handling of chemical substances, Citizen participation, among others.

During the reporting period, between the Maule and Los Lagos regions, 1 applicability query was formulated by CONAF and registered into the Environmental Assessment Service (SEA) in relation to literal "p" article No.10 of Law N°19.300 related to the strategy activities. The query response resulted in the non-relevance or Environmental Impact Assessment requirement for not complying with the antecedents or conditions to generate significant environmental impacts.

Another mechanism that contributes to the implementation of MGAS environmental and social measures corresponds to the National Monuments Council (CNM, acronym in Spanish), which is established as the bureau in charge of the protection of the cultural and natural heritage of Chile, receiving all those permits requests for activities of intervention of historical monuments, typical or picturesque areas, prospecting and / or paleontological

excavation, prospecting and / or archaeological excavation. According to above, there were no permissions requests for the reporting period between the Maule and Los Lagos.

## 2.3 Entities responsible for implementing Safeguard Plans keep coherent and comprehensive records of the ER Program activities

Comprehensive and coherent records are available for ERP activities conducted with national funding from CONAF, through the Institutional Management Information System (SIGI, acronym in Spanish) for the ER period. SIGI corresponds to the information system designed for measuring institutional performance which has the purpose of conducting controls, follow up and assessments of institutional management outcomes.

SIGI is supported by and based on a computer system corresponding to the "Performance Indicator Tracking System (SSID, acronym in Spanish)" Software. Information is entered into it by System managers (Regional department manager or whoever is appointed) or Supervisor (Regional Management Control Officers). This information is reviewed and validated by the Regional Management Control Officers, identifying the main differences between plans and achievements. SSID generates regional level reports, which are reviewed and summarized, generating a consolidated outcome report at the national level. Complementary, an institutional SIGI follow up report is also developed for each commitment where the deviations between planning and actual progress are identified.

It is emphasized that SIGI receives data from other Information Systems implemented in CONAF, such as SAFF (Forest Administration and Oversight System) and SIDCO (Digital Information System for the Control of Forest Fire Operations) which provide information related to oversight of forestry regulation and real time location, scale and state of forest fires.

Regarding the records of the implementation of ENCCRV's pilots, annual, semi-annual and closing safeguard reports for pilots were provided in which it was informed the MGAS's (Safeguard Plan) application with procedures and requirements in a coherent and comprehensive form. These reports provided information about socio-environmental context, training and implementation activities in the territory, by presenting a safeguards analysis that included: description of management measures, associated instruments, responsible of implementation, effectiveness assessments, backup documents for verification of Prevention and/or Mitigation Measures, conclusions and learned lessons. The DCCSE safeguards team developed follow up forms and safeguards report formats, together with the training of regional teams in safeguards management in terms of design, implementation, follow up and reporting stages for each pilot. In addition, on-site technical support visits for pilots were performed to evaluate and record safeguard management.

#### 2.4 The Grievance Redress Mechanism (GRM) is functional

The ENCCRV GRM, which operates through the SIAC (Citizen Information and Attention System), is the mechanism through which beneficiaries and society in general can send their complaints, observations and suggestions for any issue related to the Strategy (and every government program), including potential risks and impacts they may be affected by.

SIAC service channels, articulated and coordinated by the General Secretariat of Government (SEGEGOB, acronym in Spanish), include multiple access points or communication channels people may use (Figure 17).



Figure 17 SIAC Service Channels (Source: CONAF, 2018).

The Information, Complaints and Suggestions Offices (OIRS, acronym in Spanish) are highlighted as face-to-face service channel implemented to facilitate the attention of people's questions, complaints, or suggestions to public institutions.

Further CONAF keeps track on responses provided following the citizen service process according to the Law. This process has clearly established SIAC specific response times and responsibilities. During the reporting period, 26 requests were received linked to the ENCCRV, all of which corresponded to information requirements on the strategy, carbon credits, among others, it is noted that none of these requirements were complaints, grievances from communities or beneficiaries regarding pilots and CONAF's institutional activities.

In regard to the requests submitted to the GRM during the 2018-2019 period, it is important to highlight that they are governed by Law N 19,880, which "Establishes the Basis for Administrative Procedures Governing Acts of State Administrative Bodies," enacted by the Ministry General Secretariat of the Presidency. This Law guarantees the right to access information and ensures timely attention, setting a maximum response time of ten (10) working days. Consequently, all incoming requests adhered to CONAF's internal protocol, which includes an initial analysis of the request followed by referral to specialized staff to provide appropriate responses within the specified timeframe.

For this reporting period, out of a total of 77 requests, 26 were issues directly related to the ENCCRV. Most of them were information requests and queries about the ENCCRV activities and about carbon credits. All requests were forwarded to the relevant professionals in the respective CONAF Departments and were satisfactorily responded to within the established legal timeframes. No follow-up requests or dissatisfaction expressions were recorded from users regarding the responses or information provided.

The remaining 51 requests concerned information requests about topics like Protected Wilderness Areas (access, road conditions, entry fees), recycling, interview requests from students, among others. In general, of the total requests received, 74% (57) were information requests, and 21% (16) were queries; while to a lesser extent, there were 2 complaints (3%), 1 suggestion (1%), and 1 compliment (1%). Regarding the information from the complaints, we can note that none of these were directly related to the ENCCRV; however, their content is detailed as follows:

The first complaint was from a citizen, who indicated feeling discriminated against by the institution, given that they have not been given an employment opportunity. The response provided by CONAF indicated that more information was needed about the request to give a more detailed answer.

In the second case, the citizen complained about not receiving an adequate explanation as to why they could not enter a Protected Wilderness Area (ASP) with their dog. The response provided was a detailed explanation of the reasons for the pet entry prohibition, in line with the current regulations for ASPs, and additional information was requested to assist the staff of that specific ASP.

Details of the requests received during the reporting period are shown in the following table:

Dogwood turns	Central C	Offices	Arauc	anía	Los La	agos	Los R	íos	Biob	ίο	Mau	ıle	Total
Request type	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	
Information Request	13	23	3		3	8		1	2		2	2	57
Suggestion	1												1
Query	6	5	2	1					2				16
Complaint		2											2
Compliment						1							1
Total	20	30	5	1	3	9		1	4		2	2	77

**Table 12** Summary of request received during the reporting period, by gender.

Additionally, it is important to highlight that in subsequent reports (2020-2021 and 2022-2023), more detailed information regarding the nature of the requests and their handling has been incorporated.

#### 3. The objectives and expected outcomes in the Safeguards Plans have been achieved.

#### 3.1 Global effectiveness of management and mitigation measures established in Safeguards Plans.

Considering the importance of evaluating the occurrence of impacts and their consequent mitigation measures as part of global effectiveness, for each activity implemented between 2018 and 2019, a methodology, based on expert judgment was designed, it allowed technical teams to analyze the occurrence of impacts, according with the provisions of the MGAS and the base list of risks and potential impacts contained in the SESA formulated in 2016 for the ENCCRV, as well as risks identified in the framework of the development of the pilot projects of the early implementation phase of the ENCCRV.

For this, a total of 39 professionals from the different CONAF technical managements participated in 10 workshops for 4 months, this made it possible to evaluate and determine the occurrence or not of impacts, ensuring the participation of all professionals responsible for the design, implementation and evaluation of the different projects and programs of the institution.

According to the information gathering and analysis methodology validated by the World Bank, it is concluded that all impacts and risks were adequately mitigated, based on the provisions of the MGAS and World Bank's Operational Polices, including activities with national budget and with international funding.

It can be stated that all activities executed by CONAF were conducted under strict adherence to the current legal and regulatory framework in Chile, and adopting all technical guidelines defined in available institutional and legal instruments in a manner materially consistent with the MGAS. Despite MGAS started its implementation at the institutional level during 2018, the application of safeguards standards is closely related with the application of laws, management instruments with national and territorial scope, being part of CONAF best practices. This aspect becomes relevant for the prevention and/or mitigation of possible socio — environmental impacts that could have

occurred due to the implementation of ENCCRV activities and for the 2018 – 2019 period. Further details can be found in the SR.

3.2 Description of quality assurance, monitoring and supervision arrangements along with their effectiveness for addressing shortcomings and guaranteeing that subsequent Safeguard Plans and social – environmental documents are properly implemented

Quality assurance, monitoring and supervision arrangements established in CONAF and mentioned in the MGAS are associated to the Institutional Management Information System (SIGI) and periodic safeguard approach reports with their respective on – site visits, trainings and follow up worksheets.

In the other hand, it should be noted that, since the last semester of 2017, various activities have been carried out to support regional professionals by the social and environmental safeguards team of the central office, corresponding to training activities, monitoring visits and field evaluation, preparation of technical documents, in aspects of safeguard management and other specific as part of regional capacities strengthening.

This institution believes these arrangements are effective at fixing shortcomings in case of deviations in the implementation of activities in the ERP. According to the section 3.1, it is highlighted that the need to implement corrective actions has not been made evident for the 2018 – 2019 period, as no impacts from the ER Program activities were made evident either.

- 4. Program activities present emerging environmental and social risks and impacts not identified or anticipated in the Safeguard Plans prepared prior to ERPA signature.
- 4.1 Current relevance of the scope of potential risks and impacts identified during the Strategic Environmental and Social Assessment (SESA) for the activities of the ER Program.

SESA was determined to still be valid and largely useful for identifying potential risks or impacts and is the basis of the methodology for the analysis of occurrence of impacts from reported activities. Nevertheless, as more ERP associated projects are executed, the relevance of strengthening the risk analysis through the implementation of the Safeguard Information System will be evaluated.

4.2 ER Program activities that have posed risks or impacts not previously identified in Safeguard Plans prepared prior to the ERPA being signed, along with actions proposed for managing not previously identified risks and impacts.

In order to evaluate the occurrence of impacts and risks in the retroactive period, the National Safeguards Team designed a methodology that allowed to analyze that occurrence, addressing both the base list of potential risks and impacts of the SESA, and risks identified within the framework of pilot project development along with unforeseen risks and impacts.

The application of this methodology resulted in no occurrence of unforeseen social-environmental impacts in ERP activities executed by CONAF during the 2018-2019 period. Therefore, there was no need to implement corrective actions. Further details about the social - environmental impact occurrence analysis can be found in sections 8.1 and 7.1 of the SR.

- 5 Corrective actions and improvements needed to optimize the effectiveness of Safeguard Plans (MGAS)
- 5.1 Personal assessment of the general implementation of Safeguard Plans (MGAS)

The gap analysis presented by the MGAS has been updated in some topics within the framework of institutional management evidenced in the development of this report, as listed below:

OP/BM	MGAS Gap	Progress in addressing the gap
4.01	National legislation referring to forestry projects only requires the entry into the SEIA of development projects or forestry operations considered industrial and that are carried out on fragile soils and lands covered with native forest (as defined in art 3, letter m of the General Regulation DS No. 40/2013).	In the Management Plans and management instrument, environmental and social components of the property and the immediate surroundings are identified to be considered in the design and planning of the activities, stipulating these considerations as technical prescriptions and protection measures subject to evaluation and prior approval.
4.04	In national legislation, beyond the description of the area of influence Environmental impact Assessment (EIA), specific environmental assessment measures are not established for those relevant natural habitats that are not covered by some category of environmental protection within the SEIA or that are not subject to significant alterations that require entry to the SEIA, through any of the literals indicated in article 10 of Law No. 19,300 and its Regulations, article 3 of DS N ° 40/2013	During the reporting period, each time a native plant species is identified in the conservation category that is part of the native forest, individuals may not be affected by cutting, eliminating, destroying or removing the ground, as well as altering their habitat. On the other hand, protection measures are established for wetlands declared as priority conservation sites.
4.36	As indicated for OP 4.01, the national legislation referring to forestry projects only requires the entry into the SEIA of development projects or forestry operations considered industrial, according to art 3, literal m) and m1), S.D. N ° 40/2013	Regarding the interventions for the use of the Native Forest, within the framework of Law, a sustainable management of forest resources is oriented, which would contravene a significant conversion or degradation of critical areas. In this sense, each management plan must design and plan its interventions under this objective, which are evaluated and approved and verified in the field by the institution's professionals.

**Table 13** Summary of analysis of gaps according to MGAS, adjusted to the reporting period.

The MGAS has been useful to help CONAF facing the challenge of changing the status quo about strengthening the social and environmental management of ENCCRV projects. Nevertheless, the simple approval of this instrument cannot be considered enough and must be understood as a growing institutionalization process. In this way CONAF still continue making important efforts such as capacity development, procedure integration, institutional arrangements, among others.

As an opportunity to implement the MGAS, it can be indicated that some of the planned arrangements considered were included in the execution of state budget activities. In that sense results necessary establish a joint work plan with the technical managements, which finally allows the capacity building and the identification of gaps and subsequent improvement of the current CONAF instructions, policies, manuals, and procedures according to safeguards requirements.

Activities funded by international agencies (ENCCRV pilots) has been developed in accordance with the MGAS guidelines, including a risk analysis and prevention in the design of these activities and the corresponding mitigation measures monitoring phase. In this way, activities funded by international agencies have been a source of learning for the adequate institutionalization of MGAS in all activities conducted by CONAF.

### 5.2 Corrective actions, reinforcement and improvement areas and tentative scheduling

Possible reinforcements to institutional and safeguards management systems were identified to strengthen the current preventive approach, minimize the possible socio – environmental impacts based on MGAS safeguards and effectively respond if such impacts do occur in the future.

The following improvements are proposed to reinforce the safeguards management system and its instruments, according to experiences and outcomes in MGAS implementation for addressing possible shortcomings along with the senior experts of Safeguard Team from CONAF:

- The need to evaluate current institutional management instruments and their degree of relevance for the MGAS objectives. This aims to better integrate the MGAS into the mentioned instruments and generate updated CONAF guidelines, policies, manuals and procedures, which will then be disseminated to achieve a better implementation, including a more effective monitoring and follow up.
- MGAS institutional reinforcement, through actions such as:
  - Regional dissemination and training on safeguards in the ER area, through a virtual institutional training course on environmental and social safeguard issues (starting October 2021)
  - Reinforcement of periodic follow up activities from the central level to the regions, to support their autonomy and consolidation of skills process for territorial safeguard management through MGAS.
     The following is contemplated to achieve this objective:
    - Hiring safeguard related professionals at both the central and regional level, so that they
      contribute to the follow up tasks of the +Bosques project along with other programs
      executed within the ENCCRV frameworks (ERPA among them), using resources from the
      Green Climate Fund, with FAO as the implementing agency.
    - Implementation of the Safeguard Information System by the national safeguards team, with the aim of facilitate safeguards follow up at a project level, governed by the MGAS.
    - Specialists from the central level conducting on site visits at the respective regions (depending on the evolution of the pandemic).
  - Reinforce the implementation of safeguard management institutional governance, integrating all agencies and competent professionals in said matter (September 2021 onwards).
  - o Inclusion of a safeguard specialist in agencies executing such activities, to reinforce the safeguards approach (TBD).
- Institutional analysis between institutional management instruments and MGAS associated procedures by the national safeguard team, in order to improve current CONAF policies, manuals, protocols and procedures (October, November and December 2021).
- Institutional indicator analysis and assessment of the incorporation of safeguard aspects into said indicators (October, November and December 2022).
- Reinforcing safeguard socialization with communities and beneficiaries, through the dissemination of information in mass media (First semester of 2022).

# ANNEX 2: INFORMATION ON THE IMPLEMENTATION OF THE BENEFIT-SHARING PLAN

#### I. Requirements of FCPF on Benefit Sharing Plans

The BSP has been developed through a still ongoing participative process, which has generated different versions of the document. Three preliminary BSP versions have been published both on the <u>ENCCRV</u> and <u>FCPF</u> website previous to the ERPA signing, but in this instance, it was defined to strengthen the system, and generating and validating a <u>4th and last edition</u><sup>30</sup>, which has been presented and approved and this condition of effectiveness has been considered fulfilled.

The Plan had diverse instances of technical collaboration and participation at the regional and national levels for its development. The first Plan guidelines come from the ENCCRV participatory development process. Which was based on the <u>Plan for the Implementation of Social and Environmental Safeguards for ENCCRV Public Consultation, Indigenous Peoples and Self-assessment</u>. The process, along with its outcomes, has been detailed in the final <u>ENCCRV</u> document and various <u>audiovisual records</u> including its development process and field experiences in regions.

A two-stage consultation process, with one stage conducted in 2019 and the other scheduled for 2020, was designed in the ER Program regions to strengthen the fourth BSP version. The main objectives of both stages are validating the approaches of previous versions linked with governance and allocation of resources channeled through the BSP. At the same time, this process allows to make the Plan development process more transparent, along with contributing to ENCCRV and ER Program dissemination in the Program regions.

The evolution of the BSP in relation to the ERPD and previous versions has been its structure and give increasingly a critical decision-making role at the regional level. An outcome of such BSP participatory processes was the change from the Regional Committees on Climate Change (CORECC, acronym in Spanish), initially identified as ER Program regional articulators, to Regional REDD+ Groups. Such groups, besides having participation of indigenous peoples, the academia and private sector – reinforcing its inclusive nature –allowing for the incorporation of specific elements according to this sub-national scale.

Other adjustments correspond to the definition of beneficiary groups, and the best identification of implementation risks for the BSP with a local view. About funding, it was decided to provide only non-monetary benefits, equivalent to 80% of the financing from ERPA, split into two modalities, public tenders and prioritize projects. In addition, three funding categories were evaluated among the regions of the Program. These categories correspond to:

- i) Base allowance (50%), based on the fairness principle, which will allow to evenly distribute resources in each of the Program regions;
- ii) Performance based allowance (20%), based on the efficiency principle, resources provided according to reductions generated at the regional level; and finally,
- iii) Buffer based allowance (10%), channeled towards those regions that experienced events that had a negative impact on their performance in terms of reductions during the accounting period.

Five cross – cutting variables that will guide the decision making of Regional REDD+ Groups for project selection were validated together with the definition of this structure, with such variables being:

- i) Ecosystemic, guiding forest sustainable management and preservation actions.
- ii) Indigenous, which will prioritize actions addressing the social and cultural vulnerability associated to indigenous people.

<sup>&</sup>lt;sup>30</sup> English version available at https://documents1.worldbank.org/curated/en/731991624616181327/pdf/Benefit-Sharing-Plan-BSP-for-the-Chilean-National-Strategy-on-Climate-Change-and-Vegetation-Resources-ENCCRV-for-the-Results-based-Payment-Phase-under-the-REDD-Approach.pdf

- iii) Gender, to reinforce the various roles of women in forest development.
- iv) Territorial, which considers aspects related to rural development of small territorial units, and
- v) Socioeconomic, seeking actions that reduce the social and economic vulnerability of potential beneficiaries.

#### **II. Monitoring and Reporting Requirements**

#### 1. Benefit Sharing Plan Readiness

#### 1.1 BSP has been completed and endorsed by all relevant parties

The first stage of the above mentioned consultation process consisted of five on-site workshops held in 2019 in the El Maule, Biobío, La Araucanía, Los Ríos and Los Lagos regions to inform and gather opinions, views and concerns related to the BSP. These workshops were attended by 157 representatives from multiple sectors of civil society. Out of the participants, 19% came from indigenous peoples and 36% were women. This stage was coordinated by the UAIS and DCCSE safeguard teams, along with the teams in each region which established activity planning and updating of the stakeholder map, to ensure that the multiple social sectors in the territory were adequately represented. According to the stakeholder map updating, it was possible to group the participants in nine (9) social sector groups:

- indigenous peoples,
- academic sector,
- public sector
- consultants and extension workers,
- NGOs
- private sector,
- CONAF staff,
- small and medium landowners,
- social entities.

Each of the five regions where the workshops were held, had a different amount and composition of attendants, as seen on table 14. Considering the different regional realities, it was possible to group the participants with the most similar and socio-cultural characteristics, resulting in similar economic interest in forest, family economies and cosmovision. The detail of the groups composition is shown in the subsequent table.

Social sector	Maule	Biobío	Araucanía	Los Ríos	Los Lagos	Total
Indigenous people	0	2	5	9	14	30
Academic sector	2	4	3	0	1	10
Public sector	9	2	4	4	6	25
Consultants and extension workers	5	3	4	5	2	19
NGOs	0	1	0	3	0	4
Private sector	3	0	1	2	0	6
CONAF staff	8	8	6	4	2	28
Small and medium landowners	3	2	1	9	9	24
Community social entities	6	0	0	5	0	11
Total	36	22	24	41	34	157

Table 14 Number of attendees at 2019 workshops by identified social sectors

Source: ENCCRV informative Note  $N^{\circ}$  35.

Daniana	Groups									
Regions	G1	G2	G3	G4	G5	G6				
Maule	community social entities + small and medium land owners	consultants and extension workers + private sector	CONAF staff + academic sector	public sector	-	-				
Biobío	indigenous peoples + small and medium land owners	academic sector + public sector	consultants and extension workers + NGOs	CONAF staff + private sector	-	-				
Araucanía	indigenous peoples + small and medium land owners	consultants and extension workers + public sector	academic sector + consultants and extension workers + public sector	CONAF staff + private sector	-	-				
Los Ríos	CONAF staff + public sector	indigenous peoples	social entities (indigenous women organization)	consultants and extension workers + NGOs	indigenous peoples + small and medium land owners	indigenous peoples				
Los Lagos	indigenous peoples	public sector + academic sector	indigenous peoples	small and medium land owners	small and medium land owners + public sector + consultants and extension workers	-				

**Table 15** Focal group conformation according to social sector type in 2019.

Source: ENCCRV informative Note N° 35.

Considering the mixed conformation of the groups in each region, it was not possible to break down the information and feedback provided separated by social sector. The main comments received and systematized in this first stage, were focused on: i) limitations of regional governance, which could cause problems in decision making due to an insufficient representation; ii) risks in Plan management which could cause inadequate resource planning, use and focus; and iii) risks in the implementation which could cause environmental, social, and operational impacts due to a lack of technical capabilities from both owners and CONAF.

Aimed at strengthening the 2019 consultation process and reaching additional agreements due to technical recommendations from both the World Bank and FAO, 13 workshops are planned for 2020. Same as the previous stage – and as during the ENCCRV development period -, the "Focal Groups" methodology will be again used to facilitate discussions and the conclusion of agreements between participants.

The expected results of the second and final stage of the consultation process are reinforcing and expanding previous agreements, along with making the required adjustments for the Plan operation more transparent. It is expected that prevention measures associated to BSP risks are identified, approaches of the five variables used as a guideline to focus funding modalities to be discussed, future capacity dissemination and reinforcement plans guided, and Regional REDD+ Groups expanded to new actors, such as municipalities and the Ministry of Public Works of Chile.

Finally, to complete the endorsement of the fourth version of the BSP by the different stakeholders, the development of a new phase of information workshops was planned for 2021 as part of the socialization process of these outcomes before the implementation of the BSP. Additionally, to ensure the transparency of the process and reach the potentially beneficiary population with information, any new version of the BSP will be disseminated in the future through culturally appropriate communication channels.

#### 1.2 Capacity building initiatives

Information and working workshops were conducted with different sectoral actors about REDD+, the ENCCRV and first approaches and inputs considered for the development of the BSP during the ENCCRV development process. These workshops were conducted by the CONAF UAIS following the framework of the SESA. An important part of these training instances has been focused on CONAF implementation teams at the regional and central levels. The main topics that have been addressed correspond to environmental and social safeguards, the SIS and BSP. On this matter, national training sessions were held during May 2019 to generate better BSP capabilities in CONAF and properly guide dissemination processes in the Program regions.

CONAF headquarters has been in charge of communications on the BSP to the stakeholders and will continue to do so in the future. Activities will be focused on the dissemination of benefit sharing modalities, projects selected and their follow up, along with reporting BSP global outcomes. Also, a series of awareness raising and capacity building activities with key actors in the forest and environmental sectors of Chile have been established regarding the BSP. For example, COSOC of CONAF was informed in December 2018 and June 2019 about the state of progress on the ENCCRV and the BSP associated result – based payment process, respectively. In June 2018, the BSP was submitted to and validated by the CTICC, which gathers all government services in the agricultural and forestry sectors to advise MINAGRI on the climate change impacts.

In accordance with the BSP Communications Plan, critical elements that must be addressed in a future dissemination and communication scheme have been identified. For this, the official channels of CONAF and other participating institutions will be used, digitally (website, social networks), audiovisual and physical (informational posters). In addition, the channels made available to the members of the Regional REDD + Group and other relevant actors from the rural world will be used. The critical elements that must be addressed correspond to i) addressing the diversity of potential beneficiaries, ii) identifying the most beneficial projects in each Program region, and iii) raise awareness on the importance of REDD+ actions and national efforts being made. These dissemination and communication activities will be aimed at potential beneficiaries at the regional level mainly and must address the operations of the BSP and its links to the implementation of activities associated with the implementation Direct Action Measures (MDA, acronym in Spanish) of the ENCCRV. In addition, educational and informative resources must be considered to strengthen capabilities actors and their participation and a series of complimentary technical training sessions that ensure the correct BSP operation. For example, how Regional REDD+ Groups will solve disputes that may arise regarding the BSP implementation, so they will be trained on the assessment and approval or modification of such proposals.

#### 1.3 Changes to the benefit sharing arrangement

There have been no changes to the Final Benefit Sharing Plan - 4th edition during 2021.

#### 2. Institutional Arrangements

#### 2.1 Institutional arrangements are in place

CONAF, as REDD+ focal point will be in charge of the BSP administration, as well as responsible for the development of the forest sector at the national level. The BSP will be coordinated by DCCSE at the central level, through the development of a National Technical Proposal (PTN, acronym in Spanish) which will contain the guidelines for the implementation of field actions, associated funding, and technical procedures. Before this proposal is presented to the AC regions, the PTN will be reviewed, improved, and validated by the CTICC – which corresponds to eleven governmental institutions from the forestry and agriculture sectors – and disseminated by the COSOC – formed by eleven advisors, representatives from various civil society sectors related to CONAF -.

The BSP regional process will be administered by the CONAF Regional Bureau on the basis of a Regional Technical Proposal (PTR, acronym in Spanish) developed from the approved PTN. In each region, the Technical Coordinator of

the Regional Technical Committee on Climate Change (CTR-CC, acronym in Spanish) of MINAGRI, committee formed by the regional focal points of MINAGRI institutions, will be in charge of coordinating and chairing the Regional REDD+ Groups. This Group will lead the BSP regional implementation process with technical and administrative support of the CONAF Regional Bureau, acting as administrative technical secretariat for each REDD+ Group. Once a PTR approved and validated by the REDD+ group is available, it will be distributed through the CORECCs, which are fundamental elements of the climate change regional operating structure. Each REDD+ Group will have a different composition according to the reality of each region, and the SEREMI of Agriculture, representing the MINAGRI in each region, will be responsible for their creation. Some institutions that will integrate this Group will be the SEREMI of Agriculture, SEREMI of the Environment, Forest Institute (INFOR, acronym in Spanish) Institute of Agricultural Development (INDAP, acronym in Spanish), Agriculture and Livestock Service (SAG, acronym in Spanish), Municipalities and NGOs. The SEREMI of Agriculture must ensure the participation of local actors, including at least 40% of women and indigenous peoples (if any).

Other groups identified as key at the regional level may be incorporated in each new funding phase.

All the institutions and formal instances that will be responsible for the implementation of the BSP, have capacities and resources for this, and it is expected that the financing gaps that exist for the initial stages of installation, can be addressed with the support of external sources such as the GCF or others.

These instances were created as a result of the BSP participative process. The need for forests and climate change related technical regional committees, which have analysis and decision-making capabilities to incorporating elements and criteria of this local scale, was identified.

#### 2.2 Regulatory or administrative approvals required

The BSP has been designed based on the existing Chilean legal framework, which also underpins the implementation of ENCCRV. No regulatory adjustments to existing forest or environmental regulation are required for implementing the BSP.

## 2.3 BSP stakeholders (beneficiaries and administrators) understanding of their obligations, roles and responsibilities.

The institutions and stakeholders involved in the BSP understand their roles and functions associated to ENCCRV implementation and BSP operation. CONAF has been in constant communication with stakeholders at the national and regional level, with the aim of getting them involved in the system development process. In accordance with adjustments and validations to the BSP from related institutions, this process has allowed to setup a transparent instrument that ensures effective and relevant implementation of both technical and financial aspects. On the civil society perspective, some stakeholders initially warned of the existence of uncertainty due to the lack of representativeness from relevant technical bodies and stakeholders, which led to the creation of the REDD+ regional groups.

It demonstrates the degree of representativeness and engagement from regional actors in the BSP participative process, through the fact that clear roles and responsibilities associated to its operation were agreed upon, which at a time allows to visualize opportunities and challenges for improving BSP governance and implementation. These challenges will be addressed through the BSP Communications Plan as well as constant improvements of the institutional arrangements derived from the Operations Manual (MOP, acronym in Spanish) of the BSP.

#### 2.4 System for recording the distribution of benefits and associated obligations to eligible beneficiaries.

The ER Program development will be led by three entities, the Forest Institute (INFOR) which will administer financial resources, the Agency for International Cooperation and Development (AGCID, acronym in Spanish), which will receive resources, and CONAF which will be the technical entity in charge of the BSP. Under this institutional framework, a record system for benefit distributions and beneficiary associated obligations mentioned in the

Handbook for Administrative and Financial Procedures<sup>31</sup> of the ENCCRV, CONAF internal handbooks, ER Program MOP (under development) and INFOR internal handbooks where procedures are described, which will be used in the BSP where applicable.

#### 2.5 Accountability mechanisms are in place and functional

The following ER Program mechanism that operate with the BSP in a complementary and joint manners have been established: i) National Forest Monitoring System, which has been developed consistently with the Chile REDD+ Reference Levels and the development guidelines of the Chile REDD+ Technical Result Appendix; ii) Environmental and Social Safeguards Information System; iii) Grievance Redress Mechanism; iv) Social and Environmental Co – benefits Monitoring System, which is currently under technical discussion to clarify approaches and technical links to ENCCRV, BSP and other systems.

#### 2.6 Feedback and Grievance Redress Mechanisms (FGRM) are functional

The Grievance Redress Mechanism (MRS, acronym in Spanish) of Chile is based on the national institutionality and legislation that facilitate timely access to information and exercise of citizen rights. The results of the technical analyses and participative processes identified that the <a href="Integral Information and Citizen Service System">Integral Information and Citizen Service System</a> (SIAC, acronym in Spanish), may be used as the MRS for the ENCCRV and BSP. The SIAC establishes 8 on-site and virtual channels to address, channel, and solve potential complaints, with <a href="Online forms">online forms</a> and the Feedback, Claims and Information Office Network being the most relevant ones. The Citizen Participation and Transparency Unit (UPCT, acronym in Spanish), operating under the CONAF Secretariat of Communications, is responsible for its operations in CONAF. Since the SIAC is an information system at the national level, it allows for requirements received in any public service to be referred to CONAF when applicable for the adequate management of their response. The UPCT of CONAF will conduct specific monitoring of the requests related to the ENCCRV and ER Program. This action will enhance the feedback between institutional channels and the various technical units at the regional and local level through the "Climate change" sub process (More information <a href="here">here</a>). The SIAC regularly issues a report, available online, which allows the public traceability of citizen consultations and control of response times feeding back into the SIS, facilitating the address, respect and compliance of environmental and social safeguards.

During the participatory processes of the BSP, multiple comments were received from the participants, which were addressed with specific dialogue activities, information that is summarized in "Table 11. Summary of main results of the participatory process of the SDB by activity" of the 4th version of the BSP.

#### 2.7 Human and financial resources have been allocated or maintained for implementing the BSP

As previously mentioned, the development of the ER Program will be led by 3 entities, INFOR, AGCID and CONAF. DCCSE has permanent teams funded through state and third party (External projects) funds, which directly coordinate with other CONAF units responsible for activities linked to the ER Program implementation. Among them the Monitoring Department, Environmental Assessment Department, UAIS and the Administration and Finance Management (GEFA, acronym in Spanish). DCCSE also has coordinators exclusively dedicated to ENCCRV implementation in all regions of Chile.

The three entities that implement, at a technical and administrative level, the ERP (CONAF, INFOR and AGCID) are operating institutions of the state of Chile and have a public budget and professionals to support the implementation of the BSP. The BSP and the operating manual establish the roles of each institution and these were also ratified in the subsidiary agreement signed by the institutions (effectiveness condition number 3 of the ERPA). Additionally, the Governance instances (CTICC, CORECC, CTR-CC and COSOC) are committees that are currently operational, while the REDD + Group is in the process of being formed and its regulations were defined in the BSP Operations Manual (condition of effectiveness number 1).

<sup>&</sup>lt;sup>31</sup> Available in <a href="https://www.enccrv.cl/manual-proc-administrativos">https://www.enccrv.cl/manual-proc-administrativos</a>

The ENCCRV platform that contains the property monitoring system, the co-benefits system and the safeguards information system is currently operational. The platform for the application of BSP projects and the management of the project portfolio is in the design process and was to be operational by 2022.

The performance of the beneficiary is guaranteed through a legal instrument (legal agreement between CONAF and the beneficiary). The performance of the beneficiary and the projects is monitored through: i) Safeguards Information System, and; ii) Property Monitoring System.

The project evaluation mechanism guarantees the transparency of the process. This mechanism is described in the BSP and its operating manual.

The ENCCRV uses the Information, Claims and Suggestions Offices as the FGRM mechanism. This system is old and it is the one used by the state services in Chile to register and resolve comments and complaints. To date, no complaints associated with the BSP have been received.

#### 3. Status of Benefit Distribution

3.1 Summarize the distribution of all monetary and non-monetary benefits during the reporting period.

Since this is the first ER Program follow-up report, no prior ERPA payment has been received and therefore non-monetary benefits have not yet been distributed during the 2018 - 2019 reporting period.

3.2 Indicate in a table format the number and type of beneficiaries who received benefits during the reporting period.

Since this is the first ER Program follow-up report, no prior ERPA payment has been received and therefore non-economic benefits have not yet been distributed during the 2018 - 2019 reporting period.

3.3 Do beneficiaries receive adequate implementation support to assist in the management and use of benefits distributed to them?

Since this is the first ER Program follow-up report, no prior ERPA payment has been received and therefore non-economic benefits have not yet been distributed during the 2018 - 2019 reporting period.

### 3.4 Effectiveness of the mechanisms for ensuring transparency and accountability during the implementation of the BSP

To ensure transparency and accountability in the BSP for each operating cycle (associated to the contestable period) a new PTN and PTR will be formulated subject to a review, improvement, and validation process. This process will have participation of various national and regional institutions and instances, which results will be publicly available. The REDD+ Groups are a key stakeholder in these processes, as they are formed by government bodies and civil society stakeholders at the regional level. These groups, besides their governance role for both ENCCRV and BSP will be able to analyze and supervise project prioritization, along with approaching public tenders. Such actions will also be underpinned by the systems deployed to safeguard the transparency of the Program and BSP. Specifically, both the SIS and MRS ensure the transparency of the ENCCRV implementation and benefit distribution, facilitating the communication and timely/fast resolution of potential program issues. In parallel, the commitments voluntarily made by Chile such as submitting the First Summary of Information on Address, Respect and Compliance with Safeguards for Readiness to the ENCCRV 2013 - 2017, will strengthen the safeguards compliance process during the ER Program implementation.

In addition, the BSP establishes two benefit distribution modalities to guarantee transparency: 1) Public Tender focused on MDA implementation in the most social and environmentally vulnerable sectors of the beneficiary

population (small scale owners), and; 2) Prioritized Projects emphasizing the active search for initiatives that generate impacts at a landscape scale to obtain greater environmental benefits. These modalities are governed by established guidelines, both in the PTN and the PTR which define a transparent and objective initiative assessment mechanism, related to project evaluation through the weighting of variables (Participatively determined) and criteria to obtain a score for each initiative and conduct an objective selection.

## 3.5 Assess whether Benefit Sharing distributions continue to be relevant to core objectives and legitimacy of the ER Program objectives

Since this is the first ER Program follow-up report, no prior ERPA payment has been received and therefore non-economic benefits have not yet been distributed during the 2018 - 2019 reporting period.

## 3.6 Mechanisms to verify how benefits are used and whether those payments provide sufficient incentive or compensation to participate in program activities to land use change or reduce carbon emissions.

The mechanisms to determinate a proper use and impact of non-monetary benefits described in the BSP will encompass four areas: i) Governance. Development of operation protocols for Regional REDD+ Groups by the Secretariat, allowing the regular monitoring if the implementation and impact of ENCCRV MDA; ii) Systems. Maintain the integration and proper operation of ENCCRV associated system (point 2.5); iii) Operative. Develop publicly accessible technical documents that allow to properly guide the execution of ENCCRV Action Measures, along with updating the repository of Operating Guides for each Measure; and iv) Response. Monitoring the MRS (point 2.6)

3.7 Do beneficiaries understand their continued obligations once benefit distribution has taken place? Is there any evidence that there is a mismatch of expectations among beneficiaries regarding the nature and value of benefits accruing to them? What mechanisms are in place to manage such risks?

Since this is the first ER Program follow-up report, no prior ERPA payment has been received and therefore non-economic benefits have not yet been distributed during the 2018 - 2019 reporting period. Nevertheless, technical assistance at the community and individual levels, alongside arrangements with beneficiaries, will be intensified in order to mitigate potential non-compliance risks. Also, the forest extension conducted by CONAF in the regions of the program and with beneficiaries will be increased during the implementation process.

#### 4. Implementation of the Environmental and Social Management Measures for the BSP

#### 4.1 Measures for managing the environmental and social aspects of BSP activities

The national ENCCRV development process has allowed the integration of diverse approaches and guidelines associated to safeguard management such as gender approaches or the view of indigenous people in territorial management. Besides, the operational policies of the World Bank have been fully applied to its design and future implementation through the Readiness fund of the FCPF. A Social and Environmental Assessment was applied to the ENCCRV, from which its Management Framework can be derived (Annexes here). This framework allows to establish the principles, rules and procedures for identifying required measures to monitor risks and manage impacts, as well as ENCCRV expected benefits. Complementary, and with the aim of strengthening the safeguard management and analysis applied to the ENCCRV, its field activities and BSP, the Free, Prior and Informed Consent approach was also used under the National UN-REDD program of Chile.

MRS is operational and once the social and environmental monitoring systems start functioning, they will enhance transparency and responses to potential conflicts associated to benefit distributions. These systems have also been reinforced with constant improvements to management capabilities. UAIS has 4 professionals at the central office and a coordinator in each region of the Program, while UTREs have a social specialist in each region of the Program. These factors guarantee compliance with safeguard requirements associated to the Chile ER Program BSP.

#### 5. Recommendations for BSP Improvement or Modifications

#### 5.1 Specific recommendations for modifying the procedural or substantive content of the BSP

The BSP has experienced significant developments in relation to the initial approach mentioned in the ERPD. This development has been based on consultation processes, technical analysis, and specialists' contributions as described in the 4th edition – Final BSP. The main adjustments and validations correspond to, i) the BSP will provide non-monetary benefits only; ii) allowance percentages corresponding to base allowances (50%) performance – based (20%) and buffer – based (10%) of the total ER Program funding; iii) the REDD+ Group of each region will be the main articulator during implementation; iv) variables for directing prioritized projects and tenders correspond to ecosystemic, indigenous, territorial, gender and socioeconomic.

### 5.2 Are there procedural or administrative obstacles to timely distribution of benefits (e.g., adequacy of financial channels, ability to use funds)? Are benefits distributed in a timely manner?

The change in political administration that will occur in Chile at the beginning of 2022 could delay the process of implementing the BSP.

#### 5.3 Is there evidence of other emerging risks that may affect the sustainability or effectiveness of the BSP?

Given that the BSP considers a public tender process, it is possible not to summon an adequate number of beneficiaries. This is intended to mitigate through mass dissemination processes on REDD + results-based payments. The country has made progress in this area through the development of a communication strategy within the framework of the GCF's results-based payments project.

The BSP will be disseminated in a timely manner so that the beneficiary population knows and can access the benefits of the BSP.

There could be other operational and administrative risks in the conformation of the REDD + groups or in the monitoring and follow-up platforms. However, the ENCCRV is piloting the distribution of benefits through the More Forests project (initiative of the Green Climate Fund (GCF)), so the BSP in August 2022 should be fully developed with the operating platforms and the Groups REDD + conformed.

Therefore, there should not be major problems in the distribution of benefits associated with ERP.

5.4 Provide a suggested timeline and an outline of administrative arrangements to introduce any recommended changes.

Not applicable.

# ANNEX 3: INFORMATION ON THE GENERATION AND/OR ENHANCEMENT OF PRIORITY NON-CARBON BENEFITS

#### 1. Priority Non-Carbon Benefits

The 2016 Emission Reduction Program Document (ERPD) introduced a potential non-carbon benefit scheme based on the implementation of the action measures (MDAs in Spanish) in the ENCCRV proposed by the ER program. In this document, a territorial methodological approach was carried out and determined which territorial components can be measured in a simple and straightforward manner by implementing these action measures that seek to reduce vulnerability and strengthen resilience. During this formulation and trial period, a participatory consultation process was conducted to define and validate the scope of this methodological first proposed in 2015, and an opportunity to incorporate key aspects such as gender, culture, and generational inclusion.

From this participatory consultation process, two priority areas of co-benefits with the ENCCRV were identified: a biophysical area and a socio-cultural area. The first one incorporated some elements related to the ecosystem services that vegetation resources provide and can be observed and monitored within the territory. At the same time, the second area incorporated elements related to social and cultural benefits generated from the ER program.

Regarding the two areas of co-benefits previously identified, five co-benefit aspects were proposed under a methodological framework that was still in formulation and design process until the publication of the ERPD in 2016. These five co-benefits aspects were prioritized at the national level (see table 16) according to their contribution to the evaluation of strategic activities to combat the causes of forest deforestation, degradation, and reduction of carbon stocks, as well as to contribute to sustainable development following international recommendations from the IPCC and studies focused on climate change vulnerability.

Area	Elements	Description
	Regulation of water resources	An environmental objective of the ENCCRV is to support the regulation of ecosystem services performed by forests and other plant resources through the interception and removal of rainwater and its re-entry into the water cycle in hydrographic basins.
Biophysical components	Soil resource conservation	An environmental objective of the ENCCRV is to support the regulation of ecosystem services performed by forests and other plant resources through soil formation and the incorporation of organic material, and the protection of the soil from erosion and desertification processes.
	Conservation of biodiversity	An environmental objective of the ENCCRV is to support the regulation of ecosystem services performed by forests and other plant resources by preserving the conditions and habitats necessary to develop the four levels of biodiversity: landscape, ecosystem, species, and genetics.
Socio-cultural components	Social equity	A sociocultural objective of the ENCCRV is to reduce the vulnerability of society to climate change, with a particular focus on ensuring that this reduction is carried out in an equitable manner, considering intrinsic and specific attributes present in Chile.
(Mainstream)  Development of local capacities for adaptation to climate change		A sociocultural objective of the ENCCRV is to expand the technical knowledge and capacities of all relevant actors (focus groups) to tackle climate change mitigation and adaptation actions.

**Table 16** Description of the priority aspects and variables for monitoring co-benefits presented in 2016.

However, the methodological approach presented here was reformulated in a new methodological proposal based on the implementation of a Co-Benefits System (hereinafter SCB) that would be integrated into the MRV and SIS System, after the submission of the ERPD in 2016. This system considers monitoring the generation and distribution

of non-carbon benefits of 7 out of the 26 action measures of the ENCCRV. The action measures selected correspond to those described in table 17.

ENCCRV action	Attribute	Description	Goal
MT.4. Afforestation and revegetation program	Direct action measure	This action measure contains afforestation and revegetation activities as main objectives to achieve permanent native vegetation covers to provide provisioning, regulation, and cultural ecosystem services.	140,000 ha
MT.5. and IF.2. Strengthening institutional ecological restoration program	Direct action measure	The main objective of these action measures is the recovery and enhancement of forests and other native vegetation formations that are undergoing degradation processes. These action measures contemplate that under this objective forest areas as well as xerophytic formations are included.	30,000 ha
IF.3. Preventive forestry program with emphasis on the rural urban interface	Direct action measure	This action measure is aimed at the implementation of preventive management cords in native forests, plantations, and other vegetation formations, located both in public and private areas with an emphasis on the rural-urban interface. It seeks to reduce the potential damage of fire by acting in advance on the vegetation, through the modification, ordering, or elimination of living or dead vegetation and plant residues, and waste to avoid and reduce the occurrence of these accidents. In addition, it seeks to slow and/or reduce the spread of areas affected by forest fires by implementing silvicultural activities, for instance, firebreak.	8,000 ha
US.1. Forest management and planning program	Direct action measure	This action measure envisages a functional model of forest management, consistent with the silvicultural requirements of forests and other vegetation resources. In the context of climate change, this action measure is aimed at combating desertification, land degradation and drought, and multi-causal pressure on vegetation resources.	70,000 ha, 100 Forestry Extensioni ts
US.3. Strengthening the wood energy program	Direct action measure	This action measure contains several projects and activities that aim to combat the unsustainable use of biomass, constituting a highly relevant instrument for the development of the new "Policy for the sustainable use of firewood and its derivatives for heating". Among the aspects contained in this action measure are included the following activities: i) development of property plans under a criterion of sustainable forest management; ii) implementation of firewood collection and drying centres; iii) development of production chains and fair-trade systems, and firewood certification.	16,000 ha
MG.1. Silvo-agricultural and livestock management program in priority sites	Direct action measure	This action measure reduces the livestock impact on the native forest and vegetation resources, with emphasis on protected wild areas and priority sites, by implementing a silvo-agricultural management program.	800 ha
IF.6. Program of management alternatives for the use of agricultural and forestry waste	Enabling action measure	This action measure formulates and implements a National Technology Transfer Program that provides technical advice to producers regarding profitable and technically feasible alternatives for the management and use of their agricultural and forestry residues, in replacement of the use of fire.	100% boroughs with the program in execution

 Table 17 Description of the ENCCRV action measures of interest. Source: ENCCRV, 2016.

The definition and identification of co-benefits and indicators in line with the ENCCRV's objectives took place after a review and analysis of international experiences regarding the definitions and monitoring of the different environmental and social co-benefits at the national level. In addition, lessons learned were identified in countries

of Latin America, the Caribbean, Africa, and Asia-Pacific regions with the objective of incorporating valuable inputs for the construction of the SCB in Chile.

Subsequently, the 8 action measures that had been selected from the ENCCRV were examined in detail, and their positive non-carbon externalities both in the environmental and social dimensions were analyzed. The SCB proposal developed a set of indicators that would account for the changes related to the ENCCRV at the local level since the action measures are widely developed in rural areas of the country to be aimed at small and medium landowners. It should be noted that, even though these changes are associated with a local spatial scale, the SCB proposal expects to address the entire national territory. Therefore, the availability of information and the scale of application were critical aspects in the development of this proposal.

In accordance with the above-mentioned information, the co-benefit selection criteria considered the territorial information unit, where the differences in scale could provide biased data as result. Therefore, the search for indicators that could be estimated on the most disaggregated scale possible was prioritized. An additional key criterion corresponds to the availability of official and public information to estimate the co-benefits at their baseline condition for subsequent monitoring.

Six indicators were established following the criteria indicated and considering the national and international review of the definition of non-carbon benefits of REDD+ activities, as well as the expert judgment. Three of them correspond to the social dimension that includes socio-cultural and socio-economic areas. In addition to these, three other indicators are added for the environmental dimension that encompasses the areas of soil resources, water resources, and biodiversity. This information is summarized in the following figure:

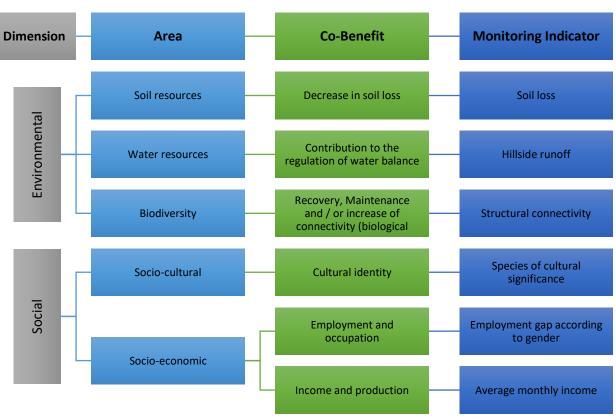


Figure 18 Selected Co-benefits and monitoring indicators (Source: Own elaboration).

On the other hand, the relationship between the proposed co-benefits with the selected ENCCRV indicators and action measures is summarized below:

Co-Benefit Indicator to be monitored		Restoration, afforestation, and revegetation of degraded ecosystems	Prevention fire silviculture / forestry	Sustainable productive management of native forests under forest management criteria	Livestock exclusion	Sustainable use of agricultural andr livestock waste
			ENG	CCRV'S action measure	es	
		MT.4 - MT.5 -IF.2	IF.3	US.1 - US.3	MG.1	IF.6
Decrease in soil	Soil loss	<b>√</b>	✓	✓	✓	*
Contribution to the regulation of water balance	Hillside runoff	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	*
Recovery, Maintenance and / or increase of connectivity (biological structure)	Structural connectivity	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	N/A
Cultural identity	Species of cultural significance	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>
Employment and occupation	Employment gap according to gender	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>
Income and production	Average monthly income	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>

Table 18 Summary of the relationship among the co-benefits, the indicators, and the action measures of the ENCCRV.

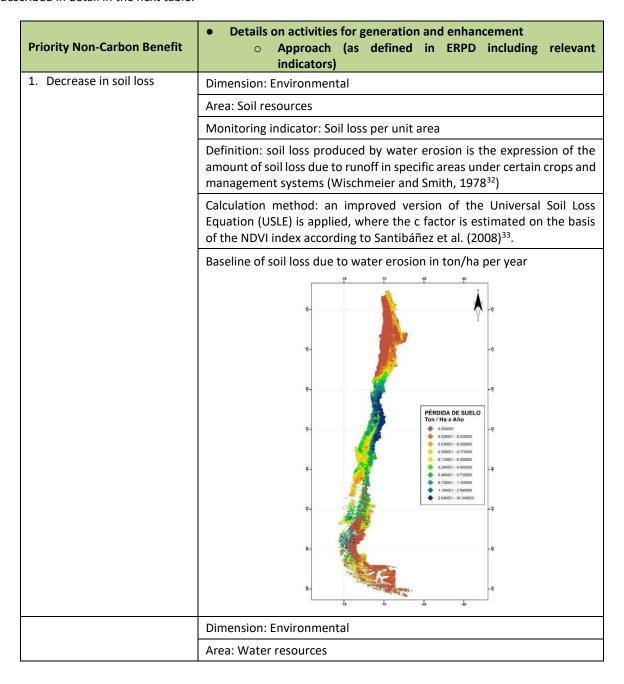
Note: ✓ Indicates that the co-benefit applies to the ENCCRV's action measure; ≈ Indicates that the co-benefit might apply to the ENCCRV's action measure; N/A Indicates that the co-benefit doesn't apply to the ENCCRV's action measure.

As an example, action measures MT.4, MT.5, and IF.2 can be considered under the Restoration, Forestry, and Revegetation Unit of Degraded Ecosystems, whose implementation may be reflected in non-carbon benefits associated with the following indicators that comprise the SCB.

- A decrease in soil loss.
- A decrease in hillside runoff due to an increase in interception capacity.
- An increase in structural connectivity.

- An increase in species of cultural significance.
- An increase in the average income of the silvo-agricultural sector.
- A decrease in the occupational gap of the silvo-agricultural sector.

The priority non-carbon benefits that are associated with the indicators established by the SBC methodology are described in detail in the next table:



<sup>&</sup>lt;sup>32</sup> Wischmeier, W.H. and Smith, D.D. (1978) Predicting Rainfall Erosion Losses. A Guide to Conservation Planning. The USDA Agricultural Handbook No. 537, Maryland. Retrieved from: https://naldc.nal.usda.gov/download/CAT79706928/PDF

<sup>33</sup> Santibáñez, F; Roa. P. and P. Santibáñez. 2008. Capítulo 1: El Medio físico. En: Biodiversidad en Chile. Patrimonio y Desafíos. Ministerio del Medioambiente. Santiago de Chile, Chile. pp: 21-45.

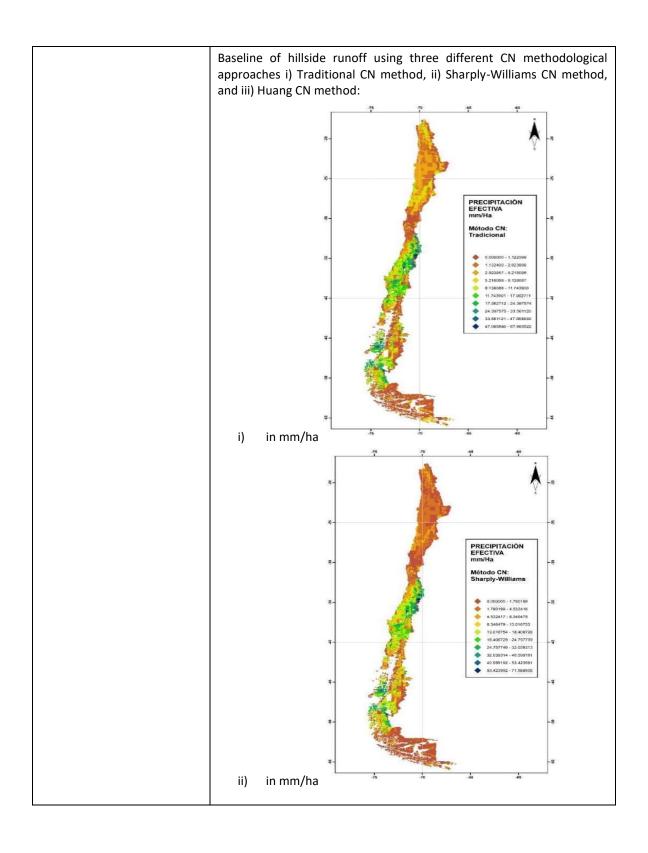
148

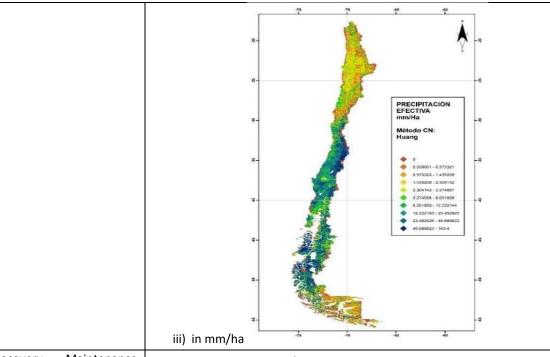
2. Contribution to the regulation of water balance

Monitoring indicator: Hillside runoff / Slope runoff using the SCS curve number method.

Definition: Hillside runoff, or slope runoff, or excess precipitation, or effective precipitation corresponds to equivalent terms understood as the portion of the precipitation available for direct runoff. As noted above, this indicator is estimated with the SCS curve number (CN) method, whose outcome is adjusted according to 2 existing methods. It should be noted that these curve number fitting methods have not been validated in Chile, except for some isolated applications in the national territory, so their application must be carefully studied.

Calculation method: The CN method was developed by the Soil Conservation Service (SVS) from the USDA in 1969. This approach allows to estimate the ratio of the rainfall that will be retained, evapotranspired, as well as the effective precipitation or slope runoff that will ultimately account for the flow of surface runoff, mainly conditioned by soil moisture conditions, soil type, use soil and vegetation cover.





 Recovery, Maintenance and / or increase of connectivity (biological structure)

Dimension: Environmental

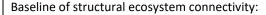
Area: Biodiversity

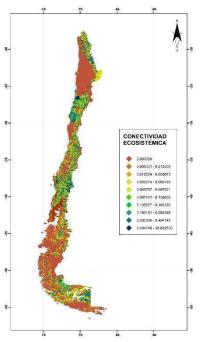
Monitoring indicator: Vegetation structural fragmentation - connectivity index.

Definition: The different current states (land uses) of natural vegetation formations respond directly to interventions, which can be natural or anthropogenic, historical, or recent. Therefore, these states constitute a habitat with either more or less conditions for the characteristic biota of any potential vegetation species in each of the ecological regions of the country. Thus, the areas with existing uses closest in structure to the potential vegetation constitute the habitat network for biodiversity and its fragmentation (degree of discontinuity or functional discretion) determining a greater or lesser risk for the conservation of ecosystems in a landscape.

Calculation method: Structural Fragmentation-Connectivity is calculated using the CONEFOR SENSINODE 2.2 computer program by measuring the distance between forest fragments to infer the significance of each patch in the network. To establish a difference between the capacity to sustain biodiversity of the different units, a value of "naturalness" is given to indicate its biogeographic context in which it is found.

1.0 values are for Native Forest units, 0.75 for Arborescent Thicket units and 0.5 for the Steppe and Thicket units.





## 4. Cultural identity

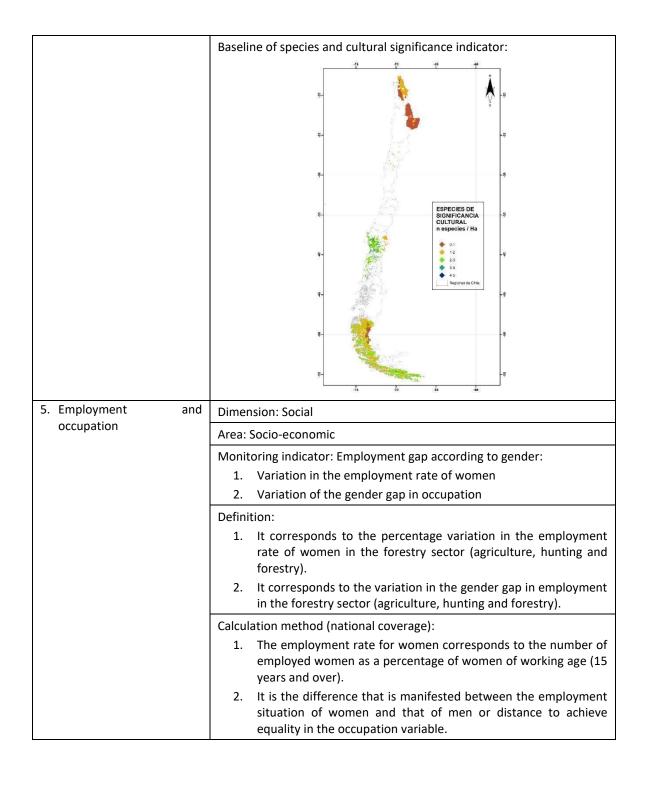
Dimension: Social.

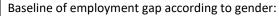
Area: Socio-cultural.

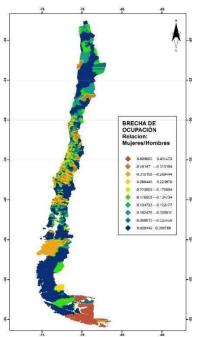
Monitoring indicator: Indicator of species of Cultural Significance (Rate of change of the richness of species of cultural significance).

Definition: this indicator corresponds to the rate of change, with respect to a base year, of the richness of species of cultural significance in "areas of cultural interest" product of projects benefited by the REDD+ activities of the ENCCRV, specifically linked to conservation, sustainable management and / or the coverage of species that generate cultural services valued by indigenous communities.

Calculation method: The cadastre allows obtaining species richness by area, identifying 1 to 6 dominant species in each polygon of the cadastre. Thus, wealth (estimated through frequency) will take values between 1 and 6 in the baseline condition. For the national baseline, the wealth in the polygons of "areas of cultural interest" is estimated, considering the culturally significant species for any of the 8 indigenous peoples identified in the CONAF "Plant Resources Management Plan on Indigenous Lands".







6. Income and production

Dimension: Social

Area: Socio-economic

Monitoring indicator: Average monthly income:

- 1. Percentage of variation in the average monthly income of workers in the forestry sector (agriculture, hunting and forestry) in year t = i with respect to the base year.
- 2. Interannual variation of the average income of workers in the forestry sector (agriculture, hunting and forestry).

Definition and calculation method (national coverage):

- 1. It corresponds to the quantification of the variation of the monthly average income in year t = i of workers whose main economic activity is agriculture, hunting and forestry with respect to the base year.
- 2. It corresponds to the annual variation of the average income of workers in the forestry sector (agriculture, hunting and forestry).

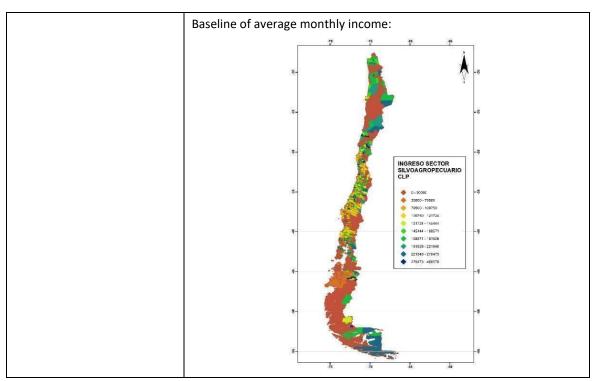


Table 19 Summary of the Priority non-carbon benefits and the information related to their methodological development.

The monitoring of the priority non-carbon benefits of the ENCCRV and generated by the activities considered in the ERPD implementation, will begin its execution once the country receives the funds corresponding to the payment for results associated with the ERPA and other funds. Currently, the system is established and the indicators are developed, but they have not been measured in the context of the results based payment phase, therefore, it is not possible to present quantitative information or direct results.

## 2. Other Non-Carbon benefits and additional information as linked to Monitoring and Evaluation Framework

If applicable linked to any other (non-priority identified) non-Carbon benefits, or if not already covered above linked to Priority Non-Carbon benefits, provide the following additional details:

## Livelihood enhancement and sustainability

2.1 Is your CF program testing ways to sustain and enhance livelihoods (e.g. one of your program objective/s is explicitly targeted at livelihoods; your approach to non-carbon benefits explicitly incorporates livelihoods)?

Yes, the specific objective N° 3 of the ENCCRV is "to manage mechanisms for the assessment and valorization of the environmental services provided by native vegetation resources, including payment systems for performance that respect the distribution of benefits and environmental and social safeguards." In addition, the benefits that are expected to be obtained with the implementation of the action measures of the ENCCRV and established in the SESA are organized in fundamental axes (a group of benefits interrelated among each other and can represent either a social or an environmental area), in which social and cultural benefits axes are considered, but also environmental benefits as well as Education and capacity building.

Under an ecosystem services approach, and in accordance with the Safeguards Report, an analysis was carried out regarding how the pilot projects implemented with international financing contribute to obtaining ecosystem services. For this analysis, the catalog of Ecosystem Services was incorporated as a basis, according to the guidelines

issued by the Ministry of the Environment (MMA) of Chile, which developed a classification of these services<sup>34</sup> in 2014, a classification that is aligned with the Ecosystem Assessment of the Millennium (2005), known as MEA by its acronym in English, and which defines ecosystem services as "the benefits that humans obtain directly or indirectly from ecosystems".

The following table shows the result of this analysis for the ecosystem services of the *Provision and Cultural* section that are related to livelihoods and that were generated by the reported activities.

Classification of Ecosystem Services			Socio-environmental benefits of "activities
(Haines-Young and Potschin, 2012).			financed by international agencies (ENCCRV pilot
Section	Division	Group	projects)"
Nutrition		Biomass	<ul> <li>✓ Post-forest fire restoration in the Andean foothills of the La Araucanía RegionSpecialized Regional Technical Units</li> <li>✓ Sustainable Land Management Project</li> </ul>
		Water	<ul> <li>✓ Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region, Chile</li> <li>✓ Sustainable Land Management Project</li> </ul>
		Biomass	<ul> <li>✓ Post-forest fire restoration in the Andean foothills of the La Araucanía Region</li> <li>✓ Specialized Regional Technical Units</li> <li>✓ Sustainable Land Management Project</li> </ul>
PROVISION	Materials	Fiber	<ul> <li>✓ Post-forest fire restoration in the Andean foothills of the La Araucanía Region</li> <li>✓ Specialized Regional Technical Units</li> <li>✓ Sustainable Land Management Project</li> </ul>
		Water	<ul> <li>✓ Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region, Chile</li> <li>✓ Sustainable Land Management Project</li> </ul>
	Energy Sources, mechanical energy		✓ Specialized Regional Technical Units
CULTURAL	Physical and intellectual interactions with ecosystems and landscapes /	Physical and experiential interactions	<ul> <li>✓ Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region, Chile</li> <li>✓ Actions to Strengthen the adaptability of the Quinquen Indigenous Community in response to its greater socio-environmental vulnerability because of Climate Change effects.</li> <li>✓ Sustainable Land Management Project</li> </ul>
seascapes (environmental settings)		Intellectual and representatio	✓ Actions to Strengthen the adaptability of the Quinquen Indigenous Community in response to

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<sup>34</sup> https://mma.gob.cl/servicios-ecosistemicos/https://mma.gob.cl/wp-content/uploads/2014/10/Propuesta-Marco-Conceptual-Definicion-y-Clasificacion-de-Servicios-Ecosistemicos V1.0 Alta.pdf

	Classification of Ecosystem Services (Haines-Young and Potschin, 2012).		Socio-environmental benefits of "activities financed by international agencies (ENCCRV pilot
Section	Division	Group	projects)"
		nal	its greater socio-environmental vulnerability
		interactions	because of Climate Change effects.
			✓ Sustainable Land Management Project
	Spiritual,		✓ Actions to Strengthen the adaptability of the
	symbolic, and	Spiritual or	Quinquen Indigenous Community in response to
	other	emblematic	its greater socio-environmental vulnerability
	interactions		because of Climate Change effects.
	with		✓ Sustainable Land Management Project
	ecosystems and		✓ Actions to Strengthen the adaptability of the
	landscapes /	Other cultural	Quinquen Indigenous Community in response to
	seascapes		its greater socio-environmental vulnerability
	(environmental	products	because of Climate Change effects.
	settings)		✓ Sustainable Land Management Project

**Table 20** Classification of Ecosystem Services that are related to livelihoods.

## **Biodiversity**

2.2 Is your CF program testing ways to conserve biodiversity (e.g. one of your program objective/s is explicitly targeted at biodiversity conservation; your approach to non-carbon benefits explicitly incorporates biodiversity conservation)?

Yes, the specific objective N° 1 of the ENCCRV is "to contribute to the fulfilment of the commitments assumed by Chile, in the field of vegetation resources, before the UNFCCC, UNCCD, CDB and other national and international cooperations", the reference in the objective of compliance with the Convention on Biological Diversity is relevant in this case because among the benefits that are expected to be generated with the implementation of the action measures of the ENCCRV, and provided for in the SESA, is the Environmental Benefits Axis.

Thus, using the guidelines issued by the Ministry of the Environment of Chile already applied in the previous point, an analysis of the ecosystem services that contributed to conservation was carried out in the case of the pilot projects, pilot projects implemented and reported.

The following table shows the result of this analysis for the ecosystem services of the area or *section Regulation and maintenance* that is directly related to the conservation of biodiversity.

Classification of Ecosystem Services (Haines-Young and Potschin, 2012)			Socio-environmental benefits of "activities financed by international agencies (ENCCRV pilot
Section	Division	Group	projects)"
	Flow Mediation	Liquid flows	✓ Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region, Chile
REGULATION AND MAINTENANCE	Maintenance of physical, chemical, biological conditions	Maintenance of life cycle, habitat, and protection of genetic material.	<ul> <li>✓ Post forest fire restoration in the Andean foothills of the La Araucanía Region.</li> <li>✓ Specialized Regional Technical Units</li> <li>✓ Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region, Chile.</li> <li>✓ Sustainable Land Management</li> </ul>

	Classification of Ecosystem Services (Haines-Young and Potschin, 2012)		Socio-environmental benefits of "activities financed by international agencies (ENCCRV pilot
Section	Division	Group	projects)"
		Control of pests and diseases	✓ Sustainable Land Management
		Soil composition and formation	✓ Post forest fire restoration in the Andean foothills of the La Araucanía Region.
		Water conditions	<ul> <li>✓ Post forest fire restoration in the Andean foothills of the La Araucanía Region.</li> <li>✓ Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region, Chile.</li> </ul>
		Climate regulation and atmospheric composition	✓ Post-forest fire restoration in the Andean foothills of the La Araucanía Region.

Table 21 Classification of Ecosystem Services that are related to the conservation of biodiversity.

## Protected/conserved areas

2.3 What amount (in ha) of protected or conserved areas are included in your CF program area? Has this amount increased or decreased in the last year? If so, by how much?

The number of hectares protected or conserved within the accounting area corresponds to more than 2.2 million hectares of private conservation initiatives and areas under the state's national system of protected wild areas (SNASPE). They will be distributed in the administrative regions of the PRE according to the following table, which will also identify those that will be located in more than one region. The number of hectares of the National System of Protected Wild Areas of the State (SNASPE), administered by CONAF, are in the accounting area equivalent to 6 regions of the country detailed in the following table.

Región	Total (ha)
Ñuble	24,128
Ñuble - Biobío	78,334
Araucanía	228,133
Araucanía - Los Ríos	53,343
Biobío	92,554
Biobío - Araucanía	37,151
Los Lagos	1,393,378
Los Ríos	194,548
Los Ríos - Los Lagos	115,381
Maule	50,967
Maule - Ñuble	3,756
Total ER Area	2,271,673
Total Chile	17,539,077
%	13%

Table 22 Area of protected areas in the accounting area<sup>35</sup>

From 2018 onwards, and for the PRE area, a protected area corresponding to Douglas Tompkins' Pumalín National Park was created in the Los Lagos region that covers an area of 402,392 ha. It is the largest Protected Wilderness Area in the Los Lagos region and in the PRE area.

<sup>&</sup>lt;sup>35</sup> Own elaboration based on official information from CONAF

## Re/afforestation and restoration

2.4 Total forest area re/afforested or restored through program

We currently don't have the data to report and address this specific question. The SCB developed by the country is projected to be implement by 2022. From that date on, the SCB will first establish monitoring activities to evaluate associated monitoring indicators and the completion of goals/objectives related to each of these aspects raised in this question.

## Finance and Private Sector partnerships

- 2.5 Update on CF program budget (as originally presented in ERPD), with updated detail on secured (i.e., fully committed) finance, in US\$
- 2.5.1 Detail the amount of finance received (including ER payments) in support of development and delivery of your CF program. Figures should only include secured finance (i.e., fully committed): ex ante (unconfirmed) finance or in-kind contributions should not be included:

Amount (M CLP\$)	Source (e.g., FCPF, FIP, name of gov't department)	Date committed (MM/YY)	Public or private finance? (Delete as appropriate)	ERP, grant, loan, equity or other (Delete as appropriate)
\$ 3,510,741*	Environmental Services and Climate Change Department	12/2018	Public	Grant
\$ 5,657,280*	Environmental Services and Climate Change Department	12/2019	Public	Grant

<sup>\*</sup>This financing corresponds to the total budget assigned for the annual operation of the GCEBX

2.5.2 <u>Not including ER payments from the FCPF Carbon Fund,</u> what is the value of REDD+ ER payments that your CF projects have received, and that your country has received overall?

	Total REDD+ ER payments received to date (\$US)
Carbon Fund project/s	
(i.e., ER payments from sources other than the	\$ 63,607,552 from the GCF by RBP Project
Carbon Fund)	
All other national REDD+ projects	-

- 2.5.3 How many formal partnerships have been established between your CF program and private sector entities? Formal partnerships are defined as:
  - The partnership is based on a written MoU (or equivalent), and/or
  - The partnership involves tangible financial exchange/s, and/or
  - The partnership involves tangible non-financial exchange/s (e.g., in-kind contributions)

	Established in the last year (Jul-Jun)	Total to date
Number of private sector partnerships involving financial exchange	-	-
Number of private sector partnerships involving non-financial exchange	2 (AGCID-INFOR)	2

## 3. Other Non-Carbon benefits and additional information

## Policy development

3.1 Is your CF program involved in training, education, or provision of capacity building opportunities to increase the capacity of institutions/people/systems? Please provide information on the approach and any other relevant or related indicators/results.

We currently don't have the data to report and address this specific question. The SCB developed by the country is projected to be implement by 2022. From that date on, the SCB will first establish monitoring activities to evaluate associated monitoring indicators and the completion of goals/objectives related to each of these aspects raised in this question.

## Capacity building

3.2 Is your CF program involved in training, education, or provision of capacity building opportunities to increase the capacity of institutions/people/systems? Please provide information on the approach and any other relevant or related indicators/results.

The ERP considers development the measure of action (MT.6.) ENCCRV's "Environmental Education and Dissemination Program". This Program includes activities such as: Activity planning at the regional level; Team contracting; Development of inputs and graphic; audiovisual material; Training workshops and sessions to achieve benefits such as: training, community empowerment on technical and environmental elements, reinforcement of local governance, improvement of community association and access to state promotion instruments.

## <u>Other</u>

3.3 Is your CF program involved in generation or enhancement of any non-carbon benefits not already covered in this annex? Please provide information on the approach and any other relevant or related indicators/results.

According to the information provided in the ERPA's Safeguards Report for the retroactive period 2018 - 2019, based on the review and analysis of the ENCCRV's Strategic Social and Environmental Assessment (SESA), it was possible to identify which non-carbon environmental and social benefits occurred from the activities implemented by CONAF. It should be noted that these implemented activities were financially supported by CONAF and by third-party funds without considering CF funds since Chile has not received any finances from the results-based payment for the implementation of the action measures of the ENCCRV. In this context, it was identified those most frequently non-priority non-carbon benefits generated through the activities implemented and financed by CONAF and other third parties:

## Non-priority Detail of the activities that generate non-priority non-carbon benefits and their value. non-carbon benefit Approach (as defined in ERPD, including relevant indicators) Safeguarding the Benefits generated from the implementation of 17 activities out of the 4 environmental and / Managements/Departments: sociocultural GASP (Inspection of concessions in the SNASPE; Preparation or updating of heritage. management plans with a strengthened planning method of the SNASPE; Implementation of conservation strategies in SNASPE units; Proposals for the creation, expansion, and re-categorization of protected wild areas; Preparation of education plans for conservation in protected wild areas with 84 plans as direct result). GBCC (Participatory Forestation Program; Arborization Program; Awarded management plans; Actions that promote the production of firewood and sustainable biomass; Trainings on caring for the environment and urban trees with 1,957 participants as direct result; Trainings on the benefits of Law 20,283 with 62 capacity building sessions as direct result) iii) GEPRIFF (Trainings for teachers in systematic environmental education with 425 teachers trained as direct results). iv) GEF (Inspection of complaints from third parties; Property inspections and compliance controls through SAT / LEMU; Controls to collection centers and roadways; Inspection of technical studies of native forest management approved by CONAF; Inspection of compliance with forest legislation; Inspection of extraction plans, simple tree felling, or work plans). ✓ Benefits generated in the implementation of the 5 pilot projects Pilot projects reported in the Report: Post forest fire restoration in the Andean foothills of the La Araucanía Region, Specialized Regional Technical Units (UTRE), iii) Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region Chile. iv) Actions to Strengthen the adaptability of the Quinquen Indigenous Community in response to its greater socio-environmental vulnerability because of Climate Change effects, v) Sustainable Land Management Project, 543 beneficiaries of which 50.9% were women and 78.5% indigenous people.

Non-priority non-carbon benefit	<ul> <li>Detail of the activities that generate non-priority non-carbon benefits and their value.</li> <li>Approach (as defined in ERPD, including relevant indicators)</li> </ul>
Exchange of knowledge	<ul> <li>✓ Benefits generated from the implementation of 12 activities by 3 Management departments:         <ol> <li>GASP (Preparation or updating of management plans with a strengthened SNASPE planning method; Implementation of conservation strategies in SNASPE units; Proposals for the creation, expansion and recategorization of protected wild areas; Preparation of education plans for conservation in protected wild areas).</li> <li>GBCC (Participatory Forestation Program; Tree planting program; Awarded management plans; Trainings on caring for the environment and urban trees with 1,957 participants; Trainings on the benefits of Law 20,283 with 62 capacity building sessions).</li> <li>GEPRIFF (Evaluation of large fires in the 2018-2021 period; Training and audits of the Controlled Burning Program with 5.329 beneficiaries; Training for teachers in systematic environmental education with 425 teachers trained).</li> <li>✓ Benefits generated in the implementation of 4 pilot projects:                  <ol></ol></li></ol></li></ul>
Stop desertification, land degradation, and drought.	<ul> <li>✓ Benefits generated in the implementation of 4 pilot projects:         <ol> <li>i) Post forest fire restoration in the Andean foothills of the La Araucanía Region,</li> <li>ii) Specialized Regional Technical Units (UTRE),</li> <li>iii) Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region Chile,</li> <li>iv) Actions to Strengthen the adaptability of the Quinquen Indigenous Community in response to its greater socio-environmental vulnerability because of Climate Change effects.</li> </ol> </li> </ul>
Climate Change Mitigation.	<ul> <li>✓ Benefits generated in the implementation of 4 pilot projects:         <ol> <li>i) Post forest fire restoration in the Andean foothills of the La Araucanía Region,</li> <li>ii) Specialized Regional Technical Units (UTRE),</li> <li>iii) Agroforestry Hydrological Recovery in the Purén Alto River basin, Araucanía Region Chile,</li> <li>iv) Actions to Strengthen the adaptability of the Quinquen Indigenous Community in response to its greater socio-environmental vulnerability because of Climate Change effects.</li> </ol> </li> </ul>

Non-priority non-carbon benefit	<ul> <li>Detail of the activities that generate non-priority non-carbon benefits and their value.</li> <li>Approach (as defined in ERPD, including relevant indicators)</li> </ul>	
Increase in forest areas	<ul> <li>✓ Benefit generated from the implementation of 12 activities out of the 3 Management departments:         <ul> <li>v) GASP (Inspection of concessions in the SNASPE; Preparation or updating of management plans with a strengthened planning method of the SNASPE; Implementation of conservation strategies in SNASPE units; Proposals for the creation, expansion, and re-categorization of protected wild areas; Preparation of education plans for conservation in protected wild areas with 84 plans as direct result).</li> <li>vi) GBCC (Participatory Forestation Program; Arborization Program; Awarded management plans; Actions that promote the production of firewood and sustainable biomass; Trainings on caring for the environment and urban trees with 1,957 participants; Trainings on the benefits of Law 20,283 with 62 capacity building sessions).</li> <li>vii) GEF (Inspection of complaints from third parties; Property inspections and compliance controls through SAT / LEMU; Controls to collection centers and roadways; Inspection of technical studies of native forest management approved by CONAF; Inspection of compliance with forest legislation; Inspection of extraction plans, simple tree felling, or work plans).</li> </ul> </li> </ul>	
Greater ecological awareness.	<ul> <li>✓ Benefit generated from the implementation of 9 activities out of the 3 Management departments:         <ol> <li>GASP (Inspection of concessions in the SNASPE; Preparation or updating of management plans with a strengthened planning method of the SNASPE; Implementation of conservation strategies in SNASPE units; Proposals for the creation, expansion, and re-categorization of protected wild areas; Preparation of education plans for conservation in protected wild areas with 84 plans as direct result).</li> <li>GBCC (Participatory Forestation Program; Arborization Program; Awarded management plans; Actions that promote the production of firewood and sustainable biomass; Trainings on caring for the environment and urban trees with 1,957 participants; Trainings on the benefits of Law 20,283 with 62 capacity building sessions).</li> <li>iii) GEPRIFF (Trainings on forest fire prevention with 295,260 beneficiaries as direct result; Trainings on preventive forestry with 5,757 beneficiaries; Trainings for teachers in systematic environmental educationwith 425 teachers trained).</li> </ol> </li> </ul>	

**Table 23** Summary of the non-priority non-carbon benefits.

The next table summarizes the non-priority non-carbon benefits generated from the implementation of activities, with international and national financing, and that were foreseen in the SESA. It should be noted that the methodological approach presented in the previous section of Priority Non-Carbon Benefits was developed through the FCPF financing support.

Non-priority non-carbon benefits were generated from the execution of national budget (CONAF) – based activities and initiatives:

Management/ Activities implemented by		Fundamental axis in which	Sociocultural and environmental		
Department	Management that contribute to the MDAs	the benefit is framed, as defined in the SESA.	benefits identified based on the list provided in the SESA.		
	Inspection of concessions in the SNASPE	Governance and operational management capabilities for the design	✓ State inter-institutional articulation.		
		and implementation of the ENCCRV.	✓ Better control and supervision.		
		Increase in the amounts and scope of the existing financing mechanisms and non-monetary compensation of benefits.	✓ Formal marketing.		
	1. Preparation or updating of management plans with a strengthened planning method of the SNASPE / 2. Implementation of Conservation Strategies in SNASPE units / 3. Proposals 3. Proposals for the creation, expansion and recategorization of protected wild areas (ASP in Spanish)	Governance and operational management capabilities for the design	✓ Institutional rapprochement and articulation with the different key actors, with an emphasis on consultation and work with indigenous communities and women.		
		and implementation of the ENCCRV.	✓ State inter-institutional articulation		
			✓ Better control and supervision.		
6160		Environmental benefits	✓ Increase and / or maintenance of biodiversity.		
			✓ Improvements in environmental services.		
GASP			✓ Sustainable forestry activities.		
		Social and cultural benefits	✓ Activities that respect the planet (Ñuke Mapu, Pachamama) - respect for ancestral beliefs linked to the Earth.		
			✓ Sense of belonging to the territory (cultural roots).		
			✓ Improvement of the quality of life and social well-being.		
			✓ Safeguarding the ancestral practices of indigenous peoples (e.g., collection of medicinal herbs) and the valuation and effective use of women's knowledge in relation to environmental issues.		
		Education and capacity building	<ul><li>✓ Better knowledge of the territorial reality.</li><li>✓ Promotion and</li></ul>		
			encouragement of research.  ✓ Information improvement		

Management/ Department	Activities implemented by Management that contribute to the MDAs	Fundamental axis in which the benefit is framed, as defined in the SESA.	Sociocultural and environmental benefits identified based on the list provided in the SESA.	
	00 000 00000	Environmental benefits	✓ Stop desertification, land degradation and drought.	
	Participatory Reforestation     Program / 2. Arborization     Program / 3. Awarded     Management Plans	Social and cultural benefits	✓ Safeguarding the ancestral practices of indigenous peoples (e.g., collection of medicinal herbs) and the valuation and effective use of women's knowledge in relation to environmental issues.	
		Education and capacity building	✓ Technical advice on forestry activities and forest management.	
GCEBX	Bonus for activities carried out within the framework of Law	Governance and operational management capabilities for the design and implementation of the ENCCRV.	✓ Institutional rapprochement and articulation with the different key actors, with an emphasis on consultation and work with indigenous communities and women.	
	No. 20,283	Increase in the amounts and scope of the existing financing mechanisms and non-monetary compensation of benefits.	✓ Access to promotion instruments.	
	Promotion of initiatives that consider productive chains	Increase in the amounts and scope of the existing financing mechanisms and non-monetary compensation of benefits.	✓ Rural development	
		Increase in the amounts	✓ Rural development	
	Actions that promote the production of firewood and sustainable biomass	and scope of the existing financing mechanisms and non-monetary compensation of benefits.	<ul> <li>Economically sustainable activities.</li> </ul>	
		Education and capacity building	✓ Information improvement	
GEPRIFF	Communities prepared for forest fires program / 2.     Preparation of community protection plans in risk areas	Environmental benefits	✓ Stop desertification, land degradation and drought.	
	Evaluation of large fires in the period 2018-2021	Education and capacity	✓ Information improvement	
	Training and audits of the Controlled Burning Program	building	✓ Technical advice on forestry activities and forest management.	
	Training on forest fire prevention /     Trainings on preventive forestry	Governance and operational management capabilities for the design and implementation of the ENCCRV.	✓ Institutional rapprochement and articulation with the different key actors, with emphasis on consultation and work with indigenous communities and women.	

Management/ Department	Activities implemented by Management that contribute to the MDAs	Fundamental axis in which the benefit is framed, as defined in the SESA.	Sociocultural and environmental benefits identified based on the list provided in the SESA.
		Environmental benefits	<ul> <li>✓ Stop desertification, land degradation and drought.</li> <li>✓ Reduction of natural risks.</li> </ul>
	Trainings for teachers in matters of systematic environmental education	Education and capacity building.	✓ Greater ecological awareness.
GEF	1. Inspection of complaints	Governance and operational management capabilities for the design and implementation of the ENCCRV.	✓ State inter-institutional articulation.
	from third parties / 2. Property inspections and compliance controls through SAT / LEMU / 3. Controls to collection centers and road operators / 4. Supervision of technical studies of native forest management approved by CONAF / 5. Supervision of compliance with forest legislation / 6. Inspection of extraction plans, simple cutting or work plans.		✓ Better control and supervision.
		Environmental benefits	✓ Increased resilience of ecosystems.
			✓ Increase and / or maintenance of biodiversity.
			✓ Improvements in environmental services
			✓ Sustainable forestry activities.
		Increase in the amounts and scope of existing financing mechanisms and non-monetary compensation of benefits	✓ Formal marketing.

**Table 24** Summary of other non-priority non-carbon benefits generated from the implementation of activities, with international and national financing.

Implemented activities that contribute to the ENCCRV MDAs	Fundamental axis in which the benefit is framed, as defined in the SESA	Sociocultural and environmental benefits identified based on the list provided in the SESA
Post-forest fire restoration in the Andean foothills of the La Araucanía Region	Governance and operational management capabilities for the design and implementation of the ENCCRV.	✓ Delivery of inputs.
	Increase in the amounts and scope of existing financing mechanisms and non-monetary compensation of benefits	✓ Rural development.
	Education and capacity building	<ul> <li>✓ Better knowledge of the territorial reality.</li> <li>✓ Technical advice on forestry activities and forest management.</li> </ul>
	. , .	✓ Promotion and encouragement of research. ✓ Information improvement.
Specialized Regional Technical Units (UTRE)	Environmental benefits	✓ Activities that respect the planet (Ñuke Mapu, Pachamama) - respect for ancestral beliefs linked to the Earth.
		✓ Reduction of natural risks.
	Social and cultural benefits	<ul> <li>✓ Sustainable forestry activities.</li> <li>✓ Safeguarding the ancestral practices of indigenous peoples (e.g., collecting medicinal herbs) and the valuation and effective use of women's knowledge in relation to environmental issues.</li> </ul>
		✓ State inter-institutional articulation.

Implemented activities that contribute to the ENCCRV MDAs	Fundamental axis in which the benefit is framed, as defined in the SESA	Sociocultural and environmental benefits identified based on the list provided in the SESA
	Governance and operational	✓ Better control and supervision.
	management capabilities for the design and implementation of the ENCCRV.	✓ Inter-state institutional articulation.
	Education and capacity building	✓ Technical advice on forestry activities and forest management.
Hydrological Agroforestry Recovery in the Purén Alto River	Social and cultural benefits	✓ Improvement of the quality of life and social wellbeing.
Basin, Araucanía Region		✓ Increased resilience of ecosystems
Chile	Environmental benefits	✓ Increase in forest areas.
	Environmental benefits	✓ Increase and / or maintenance of biodiversity.
		✓ Improvements in environmental services.
	Governance and operational management capabilities for the design and implementation of the ENCCRV.	✓ Institutional rapprochement and articulation with the different key actors, with an emphasis on consultation and work with indigenous communities and women.
		✓ Increased resilience of ecosystems
Actions to strengthen the		✓ Increase in forest areas.
adaptability of the	Environmental benefits	✓ Increase and / or maintenance of biodiversity.
Indigenous Community of Quinquen in response to its greater socio-		✓ Activities that respect the planet (Ñuke Mapu, Pachamama) - respect for ancestral beliefs linked to the Earth.
environmental		√ Water as a common good.
vulnerability due to the		✓ Improvements in environmental services.
effects of Climate Change		✓ Improvement of the quality of life and social wellbeing.
	Social and cultural benefits	✓ Safeguarding the ancestral practices of indigenous peoples (e.g., collection of medicinal herbs) and the valuation and effective use of women's knowledge in relation to environmental issues.
	Education and capacity building	✓ Greater ecological awareness.
	Governance and operational management capabilities for the design and implementation of the ENCCRV.	✓ State inter-institutional articulation.
	Education and capacity building	✓ Greater ecological awareness.
		✓ Increase in forest areas.
Sustainable Land Management		✓ Increased resilience of ecosystems.
	Environmental benefits	✓ Increase and / or maintenance of biodiversity.
		✓ Improvements in environmental services
		✓ Sustainable forestry activities.
	Increase in the amounts and scope of existing financing mechanisms and non-monetary compensation of benefits	✓ Formal marketing.

Table 25 Non-priority non-carbon benefits generated from the execution of activities financed by international agencies (pilot projects).

## ANNEX 4: CARBON ACCOUNTING – ADDENDUM TO THE ERPD

### **Technical corrections**

The technical corrections detailed in this Annex have been applied in the FCPF framework, therefore, this reference level has differences regarding the FREL/FRL valid before the UNFCCC. Nevertheless, the national monitoring system has planned for the consignment of updated reference levels before the Convention, considering these technical corrections as a base and expanding the regions containing forests in Chile. In this way, Chile will present a new FREL/FRL in 2023 whose validation process is expected to finish in the second semester.

On March 24, 2020, the FCPF was informed, by means of Official Letter No. 119/2020 about the application of technical improvements to the National Forest Monitoring System of Chile, in order to guarantee consistency on the implementation of technical corrections to the methods and data used for establishing the reference level included in the Emission Reduction Program.

The technical corrections implemented are considered in paragraph 3 of the Guidelines on the application of Methodological Framework No. 2 – Technical corrections. Corrections are specifically related to literal "a" replacement of emission factors, and literal "c" corrections to historical activity data. Also, new methodological findings and improvement opportunities were found during the correction application process.

The improvements mentioned in said letter were as such:

- Emission Factors Update (EF) an inconsistency in the value of Dead Organic Matter (DOM) used in the 1990
   2010 GHG inventory was corrected. This correction ensures consistency with the 1990 2013 GHG inventory, which uses EFs from the first Forest Inventory cycle, where this issue has been fixed.
- Regional EFs replaced with EFs by Forest Type from forest inventory data; Activity Data (AD) must also be adjusted in order to have spatially explicit information by forest type.
- Improvements to EFs used in the estimation of degradation emissions, replacing EFs belonging to the model for one forest cover type (Roble-Raulí-Coihue, RORACO), with data modelled for at least eight additional forest cover types.
- Inclusion of spatially explicit activity data for the historical period used for FREL/FRL estimations (2001 2013), replacing the interpolation data being currently used.

## Summary of technical corrections

As mentioned in the previous section, four points have been incorporated into and informed to the FCPF to be considered in the FREL/FRL update. Also, new improvement opportunities were detected as part of the complete reference level estimation process during the implementation process for said technical corrections. Among them, some parameters were updated to achieve more consistency with more recent GHG reports in Chile, and unintended errors identified during the process were also corrected.

## - Emission Factors (EF) Update

An inconsistency in the value of the Dead Organic Matter (DOM) used in the 1990 - 2010 GHG inventory was detected after the FREL/FRL consignment. This correction was updated in the Chilean updated INGEI reports, also applying the corrected value to the reference line and ensuring consistency with the 1990 - 2018 GHG inventory.

DOM values are estimated from the Forest Inventory (IFN). Chile considered regional values in the FREL/FRL, but DOM estimated for the following forest types has been applied to make such values consistent with the EF update. DOM data are estimated for the forest types Alerce, Araucaria, Lenga, Coihue de Magallanes, Roble-Raulí-Coihue, Coihue-Raulí-Tepa, Esclerófilo, Siempreverde. The remaining forest types: Ciprés de las Guaitecas, Ciprés de la Cordillera and Roble-Hualo do not have any estimated data, so approximations based on other forest types have been applied for them.

- Regional EFs replaced with EFs by Forest Type from the national forest inventory data

The reference level applied regional values for emission factors; nevertheless, Chile has biomass content estimates (above and root) for most forest types in native forests, also having biomass estimates in secondary forests for some forest types. All forest types have information about periodic annual increments (PAI) in volume, used to estimate absorption in areas with carbon content increases.

- Improvements in EFs used in the estimation of degradation emissions

The reference level estimation considered the use of degradation thresholds belonging to the model of one forest cover type (RORACO) for estimating degradation. Chile has made progress in this area and today it has modelled data for the following forest types: Siempreverde with Canelo Forest subtype, Lenga, Roble-Hualo (RoHua), Coihué-Raulí-Tepa (CoRaTe), Coihue de Magallanes, Esclerófilo with Espinal forest subtype and Esclerófilo.

These degradation thresholds are represented through density management diagrams (DMD), which have been integrated and used for the development of a new CO2 stock and flux map, the main input for estimating degradation.

- Inclusion of spatially explicit data for the historic reference period

Emissions in the 2001 – 2013 reference period for land use changes and 2001 – 2010 for forest remaining forests were estimated through interpolated activity data, as Chile did not have land use map data for the initial and final years in all the regions of the accounting area. Other improvements have been incorporated into the process by implementing this correction, starting with the use of multi – pixel mosaics from Landsat images for the initial and final years (2001, 2010 and 2013), as the main input for the development of the previously mentioned maps. In this way, activity data for changes in land use and degradation estimation are calculated based on multipixels with specific dates for the relevant year. With the correction phase, it is possible consider that each reference period includes the entire initial and final years in its analysis, therefore 13- and 10-year values are used as the divisor in order to obtain annualized rates for activities generating changes in land use and activities that occur in forests that remain as such, respectively.

- Considerations on arborescent scrubs

The reference level consigned in 2016 considered that land uses classified as arborescent scrubs in the native forest cadastre may constitute forests in accordance with the definition of other wooded lands. As a legal definition of forest was established according to Law 20,283 starting in 2008, it was possible to apply this definition to the updates to the native forest cadastre. In this way, those surfaces classified as arborescent scrubs will not constitute forests in accordance with the legal definition. With this, arborescent scrubs are considered as such and therefore not counted in the native forest surface for reference level updates.

- Improvements in the degradation estimation algorithm

For the specific case of degradation estimations, improvements were made to the algorithm, specifically increasing the K value from 5 to 7 by implementing the nearest k – neighbors' model (k – NN).

- Improvements in the changes in land use detection method

For the development of spatially explicit activity data, it is worth mentioning the application of the Multi – Index Integrated Change Analysis (MIICA) methodology (Jin et al. 2013), which was already used for the development of the REDD+ Technical Result Annex submitted to the UNFCCC.

- Accuracy of reservoir identification per REDD+ activity

Inconsistencies in reservoirs estimated by REDD activity and those informed in the FREL/FRL were found during the reference line update process. For example, dead organic matter is estimated in the Deforestation, Substitution and Fire activities and not all activities as previously declared.

- Carbon fraction value adjustment

Carbon factor is corrected from 0.5 to 0.47 to be consistent with 2006 IPCC Guidelines.

## - Integration process

The integration of results for emissions and captures for the current reference level of Chile was conducted through simple Excel spreadsheets separated by REDD+ activity. By implementing corrections, with the purpose of increasing the transparency and replicability of such results, these spreadsheets were updated to use semi – automated tools based on PostgreSQL, odbc and database type resources, which allow to handle larger quantities of information without impacting the performance of work system. In this way, it is possible to follow up on new calculations by integrating results from the reference level and monitoring periods into a single tool per REDD+ activity.

### - Uncertainty estimation

On the other hand, uncertainty has been estimated through the Monte Carlo Method in order to comply with indicators 6.1, 7.1 and 7.2.

## - Emissions estimation by forest degradation

The estimation of emissions and captures in forest remaining forest areas affected by mega drought and climate change has been identified appling a segregation of pixels where vegetation phenologic anomalies have been cuantified. A detailed study carries on by chilean experts teams identified areas by forest type where the vegetation anomalies were frequently between 2001 and 2021, defining anomalies threshold for exclusion areas. These areas of phenological anomalies were the basis for excluding non-anthropogenic emissions in the NREF.

The presentation of this reference level, updated together with the results of the estimations for the monitoring period, will be made publicly available on the ENCCRV website once the validation process has been completed. This, in order to favor the transparency of the process and disseminate the results of the NFMS.

## Start Date of the Crediting Period

The proposed date to start the crediting period is January 1st, 2018. This date is after the date on which the first PRE activities began to be executed in 2016-2017. In addition, this date largely excludes the event of mega fires or firestorms that affected more than 580 thousand hectares, whose emissions of anthropic origin could not have been reduced by the PRE. On the other hand, the date is after January 1, 2016, it is not within the reference period either, and it meets the requirements for the application of safeguards, carbon accounting and avoiding double accounting.

## 7 CARBON POOLS, SOURCES AND SINKS

## 7.1 Description of Sources and Sinks selected

The reference level of Chile considers emissions from forest degradation and deforestation and captures or removals from stock enhancements in forest remaining forests and lands transformed from non – forest to forest, along with forest conservation activities. Captures and emissions from sustainable forest management activities are not estimated, as Chile does not have the spatial information needed to geographically separate these areas.

Sources/Sinks	Included?	Justification/Explanation
Emissions from deforestation	Yes	Emissions from deforestation are considered in Chile's ER Program, although they are not the most significant source of emissions.
Emissions from forest degradation	Yes	Emissions from degradation in native forests that remain as such and emissions from native forests converted into exotic plantations are included. The emissions from exotic plantations as such, are not included in Chile's ER Program (Cano and Sartori, 2015). Emissions from forest fires are also included in forest degradation, as those do not constitute changes in land use.
Absorptions from forest carbon stock enhancements	Yes	Absorptions from native forests that remain as such and other lands converted into forests are included, including restoration of forest plantations.
Emissions and absorptions from forest carbon stock conservation	Yes	All carbon stock emissions and absorptions are estimated in conservation areas, whether public or private, for this activity. Carbon fluxes in these areas are usually caused by forest grow, wood legal and illegal extraction, selective logging, firewood extraction, forest fires, overgrazing, natural regeneration or anthropogenic plantation/enrichment.
Emissions and absorptions from sustainable forest management	No	Sustainable forest management activities as defined by Cano and Sartori (2015) must include all carbon fluxes in native forests that are subject to the formal management process. Under these considerations, Cano and Sartori cite the three different CONAF approved and reviewed management plans: i) native forest management plan, ii) native forest organization management plan, and iii) forest management plan for small areas. CONAF currently has a system that reviews, approves and registers such plans called Forestry Administration and Control System (SAFF, acronym in Spanish) and while this system details the activities implemented in the various management plans (for example selective logging in the basal area, enrichment of plantations with exotic species, etc.) and provides the total areas on which these activities must be conducted, it does not locate them spatially within the Chilean territory.  Since this area cannot be geographically separated, carbon fluxes generated by management actions will be within the degradation baseline or carbon stock enhancements in forests that remain as such, as long as efforts to geographically locate management plans are not implemented.
Non-anthropogenic emissions	Yes	Areas affected by browning effect is considered as a non-anthropogenic emission source because the carbon fluxes that occurs on these areas are not related to human activities as the deforestation activity. It is a non-anthropogenic source because it is generated by the decreases in precipitation falls, and water availability. Regarding the phenological anomalies analysis, the analysis carried out considered that the anomalies percentiles from 5 & 10 could be included in the source, but for conservative effects, just the forest remaining forest cover under the percentile 5 in included in the segregation areas proposal. They correspond to areas of native forest between the regions of Maule and La Araucanía, together with the area of the RORACO forest type extended to the region of Los Ríos.

To clarify, the areas affected by browning correspond to those areas of forest that remain as forests that present phenological anomalies because of the impact of the megadrought. These anomalies were detected in the integral productivity of native forest in the ERP implementation area and are under the 5th percentile data productivity anomaly. The phenological anomaly results in the desiccation of the crowns, loss of foliage and even the mortality of individuals. These areas are under the impact of the megadrought, so the emissions and removals that come from them have been segregated from the accounting system, to facilitate their monitoring.

However, the forest stratum affected by browning is not excluded from the ERP implementation and monitoring area, but its treatment is maintained continuously and at the same level of scope as those areas outside this stratum.

## 7.2 Description of carbon pools and greenhouse gases selected

Regarding carbon pools included for reference level estimations, technical corrections are applied with the purpose of defining which pools are considerations related to REDD+ activities and sub activities, aside from including below ground biomass reservoirs in activities taking place in forests that remain as such.

Above and below ground biomass is estimated for all REDD+ activities and sub activities, except degradation from forest fires where below ground biomass is not considered. Necromass or dead organic matter is included just for deforestation and degradation from substitution. It is not included in enhancement activities, as there is no information available regarding DOM accumulation rate in areas converted into forest lands. It is not included in forest remaining forest activities either, as in that case selective logging is applied and those deposits are not extracted.

As indicated in the ERPD, soil organic carbon is excluded based on the fact that land carbon stock largely depends on local conditions (climate, soil type and management factor related). As a result, the more general default values are not realistic. Besides, Chile does not have official geo – referenced information that allows to estimate the relationship between land and activities. In order to solidify this decision, an estimation of emissions caused by the deforestation of the previously mentioned reservoir was conducted using a Tier 1 based methodology, which determined emissions from this source to be 128,005 equivalent CO2 tons/year, on the 1,653,819 equivalent CO2 tons/year from living Biomass and DOM emissions from Deforestation, representing 7.7% of the total.

Considering that Chile is one of the countries participating in the Carbon Fund, the exclusion of this group is additionally justified regarding compliance with Criterion 4, indicator 4.1.i of the FCPF Methodological Framework. In addition, this decision is explained by indicator 4.2.ii "The excluded reservoir underestimates emission reductions". The following tables, explain the carbon pools and GHG selection, and a summary considering REDD+ activities reported by the country.

Carbon Pools	Selected?	Justification/Explanation
Above and below ground biomass	Yes	Above and below ground biomass is included in all REDD+ activities (Except for emissions associated to forest fires, which do not consider below ground biomass) in the Chilean ER Program.
Dead Organic Matter	Yes	Included in deforestation and degradation from substitution and fires. Not included in conservation or enhancement of forest carbon stocks, as the DOM accumulation rate in forest converted lands is unknown. It is included neither in degradation and enhancement estimations in forest remaining forests, nor forest conservation.
Soil organic carbon	No	Specifically, the decision to exclude this sink is based on the values being largely dependent on local conditions (climate, land type and management factor related). Default values are not realistic as a result. On the other hand, Chile does not have geo – referenced official information that allows a relationship between lands and activities.

GHG	Selected?	Justification/Explanation
CO <sub>2</sub>	Yes	CO <sub>2</sub> is accounted in all REDD+ activities included in the Chilean ER Program.
CH <sub>4</sub>	Yes	Methane gas is released from the burning of organic matter. Therefore, CH <sub>4</sub> emissions from forest fires are accounted in degradation.
N₂O	Yes	Nitrous oxide is released from the burning of organic matter. Therefore, $N_2O$ emissions from forest fires are accounted in degradation.

REDD+ Activity	Sub-activity	Tier	Carbon Pools	GHG
		3	Above ground biomass	
Deforestation	N/A	2	Below ground biomass	CO <sub>2</sub>
		3	Dead Organic Matter	]
	Forest remaining forest	3	Above ground biomass	60
	Degradation	2	Below ground biomass	CO <sub>2</sub>
		3	Above ground biomass	
Forest Degradation	Substitution	2	Below ground biomass	CO <sub>2</sub>
		3	Dead Organic Matter	
		3	Above ground biomass	CII N C
	Forest Fires	3	Dead Organic Matter	CH <sub>4</sub> - N <sub>2</sub> O
Carbon Stock Conservation	N/A	3	Above ground biomass	
	Degraded Forest	3	Above ground biomass	
Stock	Restoration	2	Below ground biomass	CO <sub>2</sub>
Enhancement	Doot a wati a w	3	Above ground biomass	
	Restoration	2	Below ground biomass	

## 8 REFERENCE LEVEL

The FREL/FRL of Chile describes absorptions and emissions generated in Temperate Native Forests during the reference period for the Deforestation, Degradation, Forest Conservation and Carbon Stock Enhancement activities based on the concepts shown in Figure 34 which are described below.

For this update, according to the guidelines established by the FCPF, REDD+ activities and sub activities along with their definitions adopted by Chile, the reference period and definition are maintained. An important change though is the consideration on arborescent scrubs, which may be separated as since 2008 Law 20,283 establishes a legal concept for the definition of forests, which is applied to native forest Cadastre updates since then. In this way, the arborescent scrub land use type, which was originally considered native forest, can be separated.

As explained before the NFMS does not consider the estimation of emissions or captures from Forest Sustainable Management activities and therefore FREL/FRL has not been calculated, as official data that allow for the spatial delimitation of the surface subject to this condition is not yet available as of today. On the other hand, and if the information were to be available, incorporating a new REDD+ activity to accounting is not a correction allowed by the Carbon Fund.

## 8.1 Reference Period

The reference period used for the estimation of the national FREL/FRL of Chile was determined by the availability of the information needed for its development, along with the various methodologies applied for estimating emissions and absorptions from various activities and sub - activities. As indicated, the update reference period is the same applied in the ERPD development.

Considering the circumstances established in the previous paragraph, two differentiated reference periods are established, one for activities or sub activities related to changes in land uses or sub – uses and another for activities or sub activities taking place in forest remaining forests.

i. Changes in land use or sub use

Activities and sub activities related to changes in land use or sub use include:

- Deforestation: Native forest transformed into non forest, other land uses.
- Substitution: Native forest transformed into forest plantation, corresponding to Degradation activities.
- Carbon stock enhancements due to forest converted into other land uses: other land uses transformed into
  native forest lands, corresponding to carbon stock enhancement activities. Includes the restoration,
  conversion of lands forested with exotic plantations into forest lands with native forest.

The Cadastre and Evaluation of Vegetation Resources of Chile, which is updated at different times for each region, was the source of activity data for activities and sub activities related to changes in land use or sub use. Said input has a more recent coverage, dated 2013, for most regions and coverages for different years in previous periods, so interpolations were made in the original NR version in order to adjust all regions to a historic period between 2001 and 2013. Forest cover change maps have been developed to update the reference level in the selected periods, which avoids the use of any extrapolation or interpolation technique.

## ii. Forest remaining forest

The activities and sub activities that occur in forest remaining forests are:

- Degradation in forest remaining forests: emissions in forests that remain as such, caused by degradation including forest fires, wood and non timber product extraction, and others.
- Carbon stock enhancements from degraded forest restorations: enhancement in carbon stock from degraded forest restoration corresponds to forest carbon stock enhancement activities.
- Forest conservation: net emission flux in forest remaining forests including degradation and absorptions from the restoration of degraded forests, in formal conservation areas.

The methodology generates carbon content maps for the years with ground measurements from the Continuous Forest Inventory. Registered plots are extrapolated to the entire forest remaining forest using the K-nn method. The first measurement cycle of the Continuous Forest Inventory corresponds to the 2001 - 2010, with measurements for the years mentioned. Extrapolation was applied to satellite images corresponding to those years, therefore the reference period for these activities and sub activities is that of 2001 - 2010.

The selected Reference Period is from 01-01-2001 to 31-12-2013 and the accounting area is 13,232,401ha.

## 8.2 Forest definition used in the construction of the Reference Level

Law 20,283 on restoration of Native Forests and Forestry Development defines **Forests** as "Site inhabited by plant formations dominated by trees and which occupies a surface of at least 5.000 square meters with a minimum width of 40 meters, treetop cover above 10% of said total surface in arid and semi-arid conditions, and 25% in more favorable conditions".

Law 20,283 also establishes a definition of **Native Forests**: "Forest formed by native species of natural generation, natural regeneration, or plantation under canopy with the same species existing in the original distribution area, with accidental presence of randomly distributed exotic species".

Law 20,283 does not establish any definition for Forest Plantations. According to FAO (2015)<sup>36</sup> **Forest Plantations or Planted Forests are**: "Forests mainly comprised of trees established by deliberate plantation and/or seeding", taking into account that: "1) For the most part, means planted or seeded trees are expected to constitute over 50% of mass in mature stage; 2) Includes regrowth of originally planted or seeded trees; 3) Includes rubber, cork oak and Christmas tree plantations 4) Excludes trees of naturally regenerated introduced species". In the same document, FAO describes **Exotic Forest Plantations as**, "Forest Plantations comprised of more than 50% exotic species in its adult development stage".

The combination of these definitions would be able to fully cover the national reality including Native Forests and Plantations, containing a subcategory for Exotic Species Forest Plantations and Native Species Forest Plantations. Currently, practically all Forest Plantations in Chile are single – species plantations with exotic species and a logging – productive objective, with little representation in number and sizes of Native Forest Plantations<sup>37</sup>. One of the few recorded instances of forest plantations with native species are the Tamarugo forest plantations, located in the Tarapacá and Antofagasta regions<sup>38</sup>.

In this regard, this document will consider, in a practical manner and based on available data up to date (Historic reference), the total Forest Plantations recorded up to the last Cadastre update as a uniform set, as single – species plantations with exotic species and a logging – productive objective represent practically the entire national reality.

Nevertheless, this stratification is recorded to unequivocally identify it in subsequent monitoring milestones, in terms of contributions to carbon capture with their respective spatial representation, in the face of future interventions based on potential ENCCRV strategic options. According to this, the significant increase and

<sup>&</sup>lt;sup>36</sup>http://www.conaf.cl/cms/editorweb/transparencia/potestades/Ley-20283 bn.pdf http://www.fao.org/docrep/017/ap862e/ap862e00.pdf

<sup>&</sup>lt;sup>37</sup> According to the Continuous Inventory by INFOR (2014) 2.7% of plantations correspond to other species, where both exotic and native species are included. Source: INFOR http://mapaforestal.infor.cl/phocadownload/Informe Inventario Continuo 2014.pdf

<sup>&</sup>lt;sup>38</sup> The Continuous Forest Inventory of INFOR does not collect information of the regions to the north of the Coquimbo Region, also, due to the differences in methodologies and the frequency of information, there are variations between data from this source and data from the Cadastre. This information comes from the monitoring and update of the 2015 Cadastre in the Antofagasta Region. Source: CONAF.

establishment of the surface and number of Native Forest Plantations, along with those with objectives and processes aimed towards Climate Change mitigation and adaptation, fighting against desertification, and preserving Biological Diversity could be included, even more so as it is one of goals stated by Chile in its Nationally Determined Contribution (NDC) regarding the afforestation of 200,000 hectares, which will be mainly carried out with native species.

All lands defined as Native Forest by the current Chilean legislation will be considered as forests under the context of REDD+, which governs the estimation of the Reference Level:

#### Comments:

- 1. Those lands defined as Native Forest or Mixed Forest by the Cadastre will be considered as forest under the operability concept of this document.
- 2. In line with the environmental goals the ENCCRV seeks to promote, the reference level will not consider carbon fluxes generated in areas considered by the Cadastre as Forest Plantations, which are associated to planted forests of exotic species with an industrial logging objective, in its accounting.
- 3. To comply with the concept of completeness, carbon fluxes in forest plantations will continue to be reported in the INGEI. New forest plantations will be included in future estimates, in the event that such plantations are meant for preserving permanent cover and consistent with the objectives established in the NDC.

The definition applied in the FREL/FRL of Chile's Native Forest varies regarding the definition applied in INGEI for Forest Lands which integrate both Native Forests and Forest Plantation, which based on the previously redacted description was taken into consideration to comply with the REDD+ safeguards agreed in the Cancun CoP 16<sup>39.,</sup> described in Annex I, specifically points 2.e<sup>40</sup> and 2.a<sup>41.</sup>

In this regard, it is fundamental to follow the ENCCRV objective, which seeks to support the restoration and protection of Native Forests and xerophytic formations, along with enhancing the appearance of plant formations in lands likely to be planted as mitigation and adaptation measures against the effects of climate change, and the fight against desertification. This is expected to be achieved through the design and implementation of a state mechanism that facilitates the access of communities and forest owners, xerophytic formations and lands likely to be planted, to the benefits associated to the environmental services of these ecosystem, also complying with the international commitments entered into by Chile regarding climate change and fighting against desertification.

In this way, and as can be concluded from the "ENCCRV Participation and Formulation Workshops" <sup>42</sup>, there is a general approach among the various stakeholders in the territory towards promotion and development to increase the sustainably managed native forest surface, along with surfaces covered by this resource over industrial exotic plantations, as the latter are assumed to be overseen by companies that obtain profits on their own.

# 8.3 Average annual historical emissions over the Reference Period Description of method used for calculating the average annual historical emissions over the Reference Period

The reference level of Chile was calculated through the estimation of the historic average for the reference periods indicated in the previous section. It refers to the absorption and emission average for the 10 or 13-year period, which allows to average intertemporal variability and assess the achievement of REDD+ measures relative to this historic period.

<sup>39</sup> http://unfccc.int/resource/docs/2010/cop16/spa/07a01s.pdf

<sup>&</sup>lt;sup>40</sup> "The compatibility of measures with the conservation of natural forests and biological diversity, ensuring that the measures indicated in paragraph 70 of this decision are not used for the conversion of natural forests, but rather to incentivize the protection and conservation of said forests and services derived from those ecosystems, to foster other environmental and social benefits."

<sup>&</sup>lt;sup>41</sup> "The complementarity or compatibility of these measures with the objectives of national forest programs, along with international conventions and agreements on the matter"

<sup>42</sup> http://www.conaf.cl/cms/editorweb/ENCCRV/PLAN-SALVAGUARDAS-ENCCRV.pdf

The estimation of the historic mean has been executed based on the guidelines provided by the Intergovernmental Panel on Climate Change IPCC of 2006, where the equations indicated below have been taken from, along with default values for some parameters without specific data at the national level.

IPCC Guidelines for GHG inventories present different approaches and levels for representing various degrees of complexity used in the methodology. REDD+ activities included in FREL use information derived from a IPCC Approach 3, that is, geographically explicit data. Degrees used are often a mix of 2 and 3, since the necessary information that allows to meet specific Level 3 requirements is not available.

According to the activity and sub activity structure, FREL/FRL has been constructed and developed from two different methodologies, one for activities that imply a change in land use or sub use, where the gains and losses method is applied, and another for activities that occur in forest remaining forests, where the stock change method is applied. This configuration remains just as the one described in the ERPD.

- i. Activities and sub activities related to changes in land use or sub use
  - Deforestation

The methodology for calculating the deforestation FREL of Chile is based on the equations from IPCC 2006<sup>43</sup> for forest lands converted into other lands, which are also used in the INGEI for calculating emissions in forests converted to other land uses. Reservoirs of above ground biomass, below ground biomass and DOM are included. The following equation is used to calculate FREL in tonnes of equivalent CO<sub>2</sub>:

$$FREL_{Def} = \frac{\sum_{t}^{n} \Delta C_{B_{t,Def}}}{p} * \frac{44}{12}$$

## **Equation 20 Deforestation reference level**

Where:

 $FREL_{Def}$  = average annual carbon stock losses in forest lands converted into non – forests during the reference period, in tonnes CO<sub>2</sub>e year<sup>-1</sup>.

 $\Delta C_{B_{t,Def}}$  = changes in carbon stock for forest lands converted into non – forests during year t of the reference period, in tonnes C. Reservoirs included are mentioned below.

p = years of the reference period.

 $\frac{44}{12}$  = factor to convert carbon into equivalent carbon dioxide, ton CO<sub>2</sub>e ton C<sup>-1</sup>.

IPCC methodology Tier 3 is used in estimations of emissions from deforestation, as carbon stocks in land use pre and post conversion are specific to Chile, with conversion areas broken down by cover type of the original land (Sidman et al., 2015).

As recommended in IPCC (2006), Equation 2.15 is used to calculate annual changes of carbon stocks in wooded lands converted to other land use categories (In the case of deforestation, any forest area converted into non – forest):

<sup>&</sup>lt;sup>43</sup> Intergovernmental Panel on Climate Change (IPCC) (2006). Guidelines for National Greenhouse Gas Inventories, Volume 4: Agriculture, Forestry and Other Land Use

$$\Delta C_{B_{t,Def}} = \Delta C_{G_t} + \Delta C_{CONVERSION_t} - \Delta C_{L_t}$$

## Equation 21 (Ec. 2.15 IPCC, 2006)

Where:

 $\Delta C_{B_{t,Def}}$  = annual changes to carbon stocks in forest lands converted into non – forest in year t under deforestation (Def) activities, in ton C.

 $\Delta C_{G_t}$  = annual increases in carbon stocks due to growth in forest lands converted to non – forest in year t, ton C.  $\Delta C_{CONVERSION_t}$  = initial change in carbon stocks in biomass of forest lands converted to non – forest in year t, ton C.  $\Delta C_{L_t}$  = annual carbon stock losses in biomass due to firewood extraction, wood harvesting and other disturbances in forest areas converted into non – forest in year t, ton C.

In this equation, changes in carbon stocks from gains and losses due to any activity other than conversion ( $\Delta$ CG and  $\Delta$ CL) are added to net gains or losses directly caused by conversion ( $\Delta$ CCONVERSION; in the case of deforestation, generally results in a negative value due to forest carbon stock losses) in order to calculate total changes in carbon stocks.

ΔCG is included for the deforestation FREL of Chile, representing carbon captures in non-forest uses after conversion (agricultural, urban, others). This variable will be given a value of zero, as it has no influence on the analysis of losses caused by deforestation.

Equation 22 (Equation 2.16 of IPCC, 2006) calculates parameter ΔCONVERSION for it to be included in Equation 21:

$$\Delta C_{CONVERSION_t} = \sum_{i} \left\{ \left( B_{AFTER_i} - B_{BEFORE_i} \right) * \Delta A_{TO_{OTHERS_{i,t}}} \right\} * CF$$

Equation 22 (Ec. 2.16 IPCC, 2006)

Where:

 $\Delta C_{CONVERSION}$  = initial change in biomass carbon stocks in forest lands converted into non - forest, in ton C year<sup>-1</sup>.  $B_{AFTER_i}$  = biomass stock per non – forest land use type *i* after conversion, in tons of dry biomass per hectare.  $B_{BEFORE_i}$  = biomass stock per forest land use before conversion, in tons of dry biomass per hectare.

 $\Delta A_{TO_{OTHERS_{it}}}$  = *i* forest type area converted into non – forest in year t, in ha.

**CF** = carbon fraction in dry biomass, in tonnes of carbon per tonnes of dry biomass.

In the case of deforestation, these equations can be represented with two essential inputs, forest area converted into other uses ( $\Delta A_{TO\_OTHERSi}$ ), frequently called activity data (AD), and the amount of carbon stocks issued due to conversion ( $B_{AFTERi}$  -  $B_{BEFOREi}$ ), frequently called emission factors (EF). Parameters  $B_{AFTERi}$  y  $B_{BEFOREi}$  only include above and below ground biomass, so DOM is included by adding parameter  $\Delta$   $C_{DOM}$  calculated according to Equation 23:

$$\Delta C_{DOM_t} = \frac{(C_n - C_o) * A_{on_t}}{T_{on}}$$

Equation 23 (Ec. 2.23 IPCC, 2006)

Where:

 $\Delta C_{DOM_t}$  = changes in carbon stocks in DOM for year t, ton C.

 $C_n$  = carbon stocks in forest DOM and dead wood in non – forest land use after conversion, ton C year<sup>-1</sup>.

 $C_o$  = carbon stocks in forest DOM and dead wood before conversion into non – forest, ton C year<sup>-1</sup>.

 $A_{on_t}$  = forest area converted into non – forest in year t, hectares.

 $T_{on}$  = period of time for the forest into non – forest transition.

In this equation, Aon corresponds to activity data or  $\Delta A_{TOOTHERSi,t}$ , according to the parameter in equation 3 described above. In order to simplify accounting, DOM emissions will be accounted in the year of conversion (where Ton is assumed to have a value of 1).

## Degradation from substitution

The equation used for estimating deforestation is used to estimate degradation of native forests converted into plantation, as it is assumed that the entire carbon content in the preceding native forest must be reduced to zero to establish a plantation. Equation 1 is used to calculate FREL in equivalent CO2.

## • Forest Surface Enhancement and Restoration

As in other activities, FREL methodology for enhancements in other lands converted into forests is consistent with the methodology used in INGEI, which is based on equations 2.9, 2.10, and 2.15 of the IPCC (2006).

The general equation corresponding to Tier 2 and 3 is 2.15 of IPCC (2006), used to calculate annual changes of biomass stocks in above and below ground biomass (The only reservoirs included in enhancement estimations) and lands converted into other land uses (In this case, from non – forest to forest):

$$\Delta C_{B_{t,\Delta NFF}} = \Delta C_{G_t} + \Delta C_{CONVERSION_t} - \Delta C_{L_t}$$

## Equation 24 (Ec. 2.15, IPCC 2006)

Where:

 $\Delta C_{B_{t,ANFF}}$  = changes in carbon stocks in year t, from non – forest lands converted into forests during the reference period, under the stock enhancement activity (A), in ton C.

 $\Delta C_{G_r}$  = carbon stock increase due to growth of non – forest lands converted into forest in year t, in ton C.

 $\Delta C_{CONVERSION_t}$  = initial change in carbon stock in non – forest lands converted into forest in year t, in ton C.

 $\Delta C_{L_t}$  = annual decrease in carbon stocks due to wood harvesting, firewood extraction and disruptions in non – forest lands converted into forest in year t, ton C.

In the FREL for enhancements,  $\Delta$ CL assumed to be zero, due to the lack of sufficient data to quantify losses in non – forest areas converted into forests. Equation 2.16 of IPCC (2006) is used for parameter  $\Delta$ CONVERSION:

$$\Delta C_{CONVERSION_t} = \sum_{i} \left\{ \left( B_{AFTER_i} - B_{BEFORE_i} \right) * \Delta A_{TO_{OTHERS_{i,t}}} \right\} * CF$$

## Equation 25 (Ec. 2.16 (IPCC 2006)

Where:

 $\Delta C_{CONVERSION_t}$  = initial carbon changes in non – forest lands converted into forest in year t, ton C

 $B_{AFTER_i}$  = biomass stocks in forest type i immediately after conversion, ton m.s ha<sup>-1</sup>

 $B_{BEFORE}$  = biomass stocks in land type i before conversion, ton d.m. ha<sup>-1</sup>

 $\Delta A_{TO_{OTHERS_{i,t}}}$  = use surface in non – forest land converted into forest in year t, ha CF = carbon fraction in dry matter, ton C (ton m.s.)<sup>-1</sup>

For parameter  $\triangle$ CG (increase due to forest growth), INGEI uses IPCC 2006 Equation 2.9 in a Tier 2 – 3 calculation. Nevertheless, INGEI only uses it for forest – converted lands in the conversion year. In the FREL for enhancements, converted areas are accounted for the entire period, in this way enhancements continue to accumulate accounted under the stock enhancement activity and do not move to the category of forests that remain as such in INGEI.

IPCC (2006) Equation 2.9 calculates annual carbon increases. But Equation 26 does not consider captures that continue to accumulate in strata "i" converted for previous years. So equation 2.9 of IPCC (2006) must be modified in the following way to achieve a correct accounting:

$$\Delta C_{G_t} = \sum_{i} \sum_{x} (A_{i,x} * G_{TOTAL_i} * CF)$$

## Equation 26 (Adapted from Equation 2.9 IPCC, 2006)

Where:

 $\Delta C_{G_{i,t}}$ = increase in carbon stocks for year t, due to growth in non – forest lands converted into i forest type during the reference period, in ton C.

 $A_{i,x}$ = Area converted into forest *i* for year *k* of the reference period, ha.

 $G_{TOTAL_i}$  = annual biomass growth average in non – forest lands converted into forest type i, ton d. m. ha<sup>-1</sup> year<sup>-1</sup>. CF = carbon fraction in dry matter, ton C (ton d.m.)<sup>-1</sup>.

Equation 26 considers that in order to calculate  $\Delta_{CGI}$ , t in year t, it is necessary to add captures from areas converted in each year x before year t of the reference period, along with captures from areas converted in year t. In the event that a forest reaches adulthood and finishes capturing CO2 from the atmosphere, it should be removed from enhancement accounting. Nevertheless, this is not supposed to occur during the reference period.

- ii. Activities in forest remaining forest
  - Degradation in Forest remaining forest

IPCC (2006) Equation 2.8 is used to estimate changes in carbon stocks for forest lands that remain as such due to degradation:

$$\Delta C_{B_{t,DegFF}} = \frac{(C_{t_2} - C_{t_1})}{(t_2 - t_1)}$$

## Equation 27 ([IPCC 2006] Ec. 2.8)

Where:

 $\Delta C_{B_{t,Deg}}$  = annual changes in carbon stocks for forest lands that remain as such, considering total area under degradation activity (*DegFF*), ton C.

 $C_{t_2}$ = total forest carbon in year t<sub>2</sub>, ton C.

 $C_{t_1}$ = total forest carbon in year t<sub>1</sub>, ton C.

For the accounting of the reference level described in Bahamondez *et al.* (2009)<sup>44</sup>. This methodology counts carbon stocks at different points in time, where differences in carbon stocks in forest lands are considered degradation in case of losses. On their part, INGEI uses a gain – loss method, Equation 2.7 of the IPCC (2006) instead of the stock difference method in equation 2.8 of IPCC 2006, where INFOR tabular data is integrated to estimate volume extracted through selective logging, INFOR and MINENERGIA firewood statistics, and CONAF tabular data for native forest and forest plantation fire surface area. According to national experts, data on firewood extraction isn't very robust and representative of degradation in a comprehensive manner. The methodology used in FREL allows to reach Approach 3 outcomes, spatially explicit data, and is based on robust, independent sources of information.

IPCC equation 2.8 is used to calculate carbon stocks at the initial and final moments of the reference period (C1 and C2 in Equation 28):

$$C_t = A_{Deg} * EF * CF$$

## Equation 28 (IPCC, 2006 Ec. 2.8)

Where:

 $C_{t,i}$ = total forest carbon in year t, ton C  $A_{Deg}$ = degradation area in forest that remains as such, ha EF= carbon stocks in forest that remains as such, biomass ton ha $^{-1}$  CF= carbon fraction, t carbon t biomass $^{-1}$ 

## Degraded Forest Restoration

The methodology described in the forest remaining forest degradation areas was used. IPCC (2006) equation 2.8 was used to calculate enhancements in annual stocks:

$$\Delta C_{B_{t,AFF}} = \frac{(C_{t_2} - C_{t_1})}{(t_2 - t_1)}$$

## Equation 29 (Ec. 2.8, IPCC 2006)

Where:

 $\Delta C_{B_{t,AFF}}$  = annual changes in carbon stocks in forest lands that remain as such, considering total area under stock enhancement activity (*DegFF*), ton C year<sup>-1</sup>.

 $C_{t_2}$ = total forest carbon in year t<sub>2</sub>, ton C.

 $C_{t_1}$  = total forest carbon in year  $t_1$ , ton C.

Carbon contents in t1 (2001) and t2 (2010) were obtained from the results of applying the methodology that allows the identification of areas that are below the threshold of line B at the start of the reference period.

Forest Conservation

<sup>&</sup>lt;sup>44</sup> Bahamóndez, C., Martin, M., Muller-Using, S., Rojas, Y., Vergara, G., 2009. Case Studies in Measuring and Assessing Forest Degradation: An Operational Approach to Forest Degradation. (Forest Resources Assessment Working Paper). Forestry Department, Food and Agriculture Organization of the United Nations

As explained in previous chapters, Reference Level for Forest Conservation is estimated by adding emissions from forest degradation in forest remaining forests and absorptions from restoration of degraded forests in forest areas under formal conservation processes.

$$\Delta C_{B_{t,ConFF}} = \Delta C_{B_{t,AFF}} - \Delta C_{B_{t,DegFF}}$$

## Equation 30 (Ec. 2.8 IPCC, 2006)

Where:

 $\Delta C_{B_{t,C}}$  = annual changes in carbon stocks in forest lands subject to formal conservation processes in year t, ton C

 $\Delta C_{B_{t,AFF}}$  = annual changes in carbon stocks due to recovery of degraded forests in areas subject to formal conservation processes, in ton C year<sup>-1</sup>.

 $\Delta C_{B_{t,DegFF}}$ = annual changes in carbon stocks due to forest degradation in forest lands subject to formal conservation processes, in ton C year<sup>-1</sup>.

Non – CO2 emissions from Forest Fires

Bahamondez' methodology estimates  $CO_2$  emissions, so IPCC (2006) Equation 2.27 is used to calculate non  $-CO_2$  emissions from forest fires:

$$L_{fire} = A * M_B * C_f * G_{ef} * 10^{-3}$$

Equation 31 (Ec. 2.27 IPCC, 2006)

Where:

 $L_{fire}$  = amount of greenhouse gas emissions caused by fire, ton of each GHG gas year-1.

A = burnt surface, ha year<sup>-1</sup>.

 $M_B$ = available fuel mass for combustion, ton ha<sup>-1</sup>.

 $C_f$  = combustion factor, no magnitude.

 $G_{ef}$  = emission factor, g kg<sup>-1</sup> of burnt dry matter.

Equation 32 is used to convert Lfire to CO<sub>2e</sub> which is necessary for Equation 12:

$$GEI_{fire} = L_{fire} * CF$$

## Equation 32

Where:

CF = non-CO<sub>2</sub> into CO<sub>2</sub>e conversion factor, ton gas non-CO<sub>2</sub> ton CO<sub>2</sub>e<sup>-1</sup>.

# Activity data and emission factors used for calculating the average annual historical emissions over the Reference Period

## Activity data Deforestation

Parameter:	$\Delta A_{TO\_OTHERSi,t}$ = Areas of different Forest Types(i) converted to another category of land use during the 2001 – 2013 period.			
Description:	Chile has eleven different Native Forest Types in the PRE area.			
Data unit:	Total hectares (ha/year) of the 2001-2013 period.			
Value monitored during this	Forest Type Area			
Monitoring / Reporting Period:	Alerce	119.3		
3	Araucaria	337.3		
	Ciprés de la Cordillera	35.4		
	Ciprés de las Guaitecas	9.2		
	Coihue - Raulí - Tepa	653.3		
	Coihue de Magallanes	143.9		
	<u>Esclerófilo</u>	407.2		
	Lenga	2,519.3		
	Roble - Hualo	104.4		
	Roble - Raulí - Coihue	1,714.6		
	Siempreverde	1,873.9		
	Without Forest Type	144.6		
	Total (ha)	8,062.4		
measurement/calculation methods and procedures applied:	mosaics are used for the detection of changes in land use, focused in polygons of native forest. From the mosaic, the MIICA method is applied for the identification of spectral gains and losses, which through different techniques of post-processing allow identifying areas of forest losses and gains. The application of method establishes a series of requirements for the tiles, related to the removal of clouds, cloud shadows and artifacts. In addition, the map of use and change of use is linked to the information that comes from the Native Forest Cadastres of CONAF, therefore, the map of Land Use Change is the result of the application of the MIICA method, and the Cadastre of Native Forest. The Land Use Change Map is presented as a product through a coverage geographical area called "Traceability", which has as a characteristic the monitoring periods available for a certain region, which allows giving monitoring of historical land uses for each polygon.  The final product is regional, characterized by stunted, mature, young mature, mixed and young forests converted into areas with no vegetation, urban and industrial areas, waterbodies, areas where succulents, wetlands, scrubland, perennial snow and glaciers, grasslands and farmland have formed.			
QA/QC procedures applied:	For the generation of deforestation activity data and as part of the QA / QC process, the different procedures implemented are documented in SOPs that allow the estimates to be standardized over time.  SOP_01: Selection of REDD+ satellite mosaics  SOP_02: Elaboration of LULUCF maps			
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice			

	Guidelines for estimating the precision of change of use described in Olofsson et al. (2013).  Error = Maule 111.3%, Ñuble 72.3%, Biobío 109.6%, Araucanía 191.6%, Los Ríos 96.6%, Los Lagos 89.1%
Any comment:	Link: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/deforestacion/Herramienta D">https://plataforma.enccrv.cl/static/erpa/mr1/deforestacion/Herramienta D</a> eforestacion NR2 MR1 v016.xlsx

## a) Degradation – forest remaining forest

Parameter:		degradation of forests od, in areas not affecte	_	_
Description:	We have 6 regions for the PRE area, Maule, Ñuble, Biobio, La Araucanía, Los Ríos and Los Lagos. The Biobio Region was divided in two Region. The province of Ñuble, which was part of Biobio Region, is a new Region. The surface of the total area remains equal.  The surface area was described by degradation of native forest that remains as such.			
Data unit:	Total hectares (ha	a/year) of the 2001-20	10 period.	
Value monitored during this		Region	Area	
Monitoring / Reporting Period:		Maule	73,201	
		Ñuble	29,480	Ī
		Biobío	50,825	
		La Araucanía	57,880	
		Los Ríos	29,709	•
		Los Lagos Total	116,395 357,490	•
Source of data and description of measurement/calculation methods and procedures applied:	The data comes from INFOR's National Forest Inventory (IFN) plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare, basal area and volumes recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.			
QA/QC procedures applied:	Since one input for AD on degradation are the Land Use Change Maps in order to define areas of forest remaining forest, same QA/QC procedures and SOP are used during the process. Additional procedures are applied, and proper SOP were developed.  SOP_05_ Method for estimating in forest remaining forests the carbon variation.  SOP_06: Field Operations Manual			
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR. Error: 32.8%			
Any comment:	Link: permanente/Her	https://platafor ramienta_BP_No_Con		tic/erpa/mr1/bosque- MR1_v09.xlsx

## b) Degradation - Substitution activity

Parameter:	A <sub>DegNFF</sub> = Surface of degradation areas resulting from the conversion of forests into plantations during the 2001-2013 period.		
Description:	The total of areas by forest type that was degradation to plantation were registered		
Data unit:	Total hectares (ha/year) of the period 200	1-2013	
Value monitored during this	Forest Type	Area	
Monitoring / Reporting Period:	Alerce	0.6	
3, the g	Araucaria	8.1	
	Ciprés de la Cordillera	15.2	
	Ciprés de las Guaitecas	0.8	
	Coihue - Raulí - Tepa	335.3	
	Coihue de Magallanes	2.5	
	Esclerófilo	1,423.8	
	Lenga	186	
	Roble - Hualo	523.1	
	Roble - Raulí - Coihue	2,881.3	
	Siempreverde	1,376.2	
	Without Forest Type	1,577.6	
	Total (ha)	8,330.4	
Source of data and description of measurement/calculation methods and procedures applied:	To estimate degradation of native forests converted to plantations, the equation used to estimate deforestation is applied, since it is assumed that, to establish a plantation, all the carbon content present in the preceding native forest must be reduced to zero.		
QA/QC procedures applied:	For the generation of degradation by substitution activity data and as part of the QA / QC process, the different procedures implemented are documented through a series of protocols or SOPs that allow the estimates to be standardized over time.  SOP_01: Selection of REDD+ satellite mosaics SOP_02: Elaboration of LULUCF maps		
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013).  Error = Maule 191.8%, Ñuble 139.9%, Biobío 134.9%, Araucanía 113.4%, Los Ríos 138.6%, Los Lagos 100.0%		
Any comment:	Link: <a href="https://plataforma.enccrv.cl/static/erpa/mr1/sustitucion/Herramienta_Sustitucion/NR2_MR1_v007.xlsx">https://plataforma.enccrv.cl/static/erpa/mr1/sustitucion/Herramienta_Sustitucion_NR2_MR1_v007.xlsx</a>		

## c) Degradation – Forest fire activity

Parameter:	,	hotwoon	2001.20	110 in the ED	D Pogions		
		A = Area burned between 2001-2010 in the ERP Regions.					
Description:	The surface of bunative forest.	The surface of burned areas was recorded to estimate the degradation of the native forest.					
Data unit:	Total hectares (h	a) of the	2001-20	10 period.			
Value monitored during this Monitoring / Reporting Period:	Region/Year	Maule	Biobío	La Araucanía	Los Lagos	Los Ríos	Ñuble
moments, reporting remoun	2001	25	69	64	9	1	20
	2002	147	7,443	18,765	2,552	904	117
	2003	504	30	226	27	3	129
	2004	171	197	268	91	175	15
	2005	140	118	72	47	19	278
	2006	62	57	73	207	7	90
	2007	9	747	39	52	5	199
	2008	344	144	307	4,234	119	87
	2009	3,999	898	726	598	271	59
	2010	432	581	42	1	1	399
	Total period	5,834	10,285	20,581	7,819	1,504	1,392
Source of data and description of measurement/calculation methods and procedures applied:	The Forest Fire Protection Department and its Digital Information System for Operations Control provides annualized statistical information on the occurrence of forest fires for the entire country, which in recent years it has been improved by adding the spatial location of fires.						
QA/QC procedures applied:	SOP_05_ Method for estimating in forest remaining forests the carbon variation.						
Uncertainty for this parameter:	Area burned uncertainty estimated by INGEI (2020) Error: 15%						
Any comment:	Link: https://plataforma.enccrv.cl/static/erpa/mr1/incendios/Herramienta_Incendios_NR2_MR1_v014.xlsx						

## d) Enhancement activity – No forest to native forest

a) Limancement activity is	o lorest to hative lorest		
Parameter:	$\Delta A_{TOOTHERSi, t}$ = Area of used non-forest land converted into forest during the reference level		
Description:	The areas that correspond to non-forest la	ands were quantified in hectares to	
	later estimate the carbon capture balance	es of these changes in land use. In	
	this data forest plantations with exotic spe	cies are included as non-forest land.	
Data unit:	Total hectares (ha/year) of the period 200	1-2013	
Value monitored during	Forest Type	Area	
thisMonitoring / Reporting	Alerce	23	
Period:	Araucaria	103	
	Ciprés de la Cordillera	125	
	Ciprés de las Guaitecas	21	
	Coihue - Raulí - Tepa	202	
	Coihue de Magallanes	13	
	Esclerófilo	4,863	
	Lenga	320	
	Roble - Hualo	490	
	Roble - Raulí - Coihue	3,585	

	Siempreverde	1,417				
	Without Forest Type	2,360				
	Total (ha)	13,522				
Source of data and description of	A semi-automated technique is applied t	to detect changes using satellite				
measurement/calculation	images. The Multi-index method or MIICA (Jin et al., 2013) detects changes in					
methods and procedures	land use for the period under study.					
applied:	The MIICA methodology is based on the	combination of 2 spectral indices				
	(dNBR, dNDVI) which, through integration r	ules, provide coverage of land use				
	change, indicating the magnitude and direc	tionality of the change. (Profit and				
	loss).					
	The MIICA methodology used images from	m the Landsat 8 sensor and was				
	applied through a series of codes in prog					
	complemented with Google Earth Engine of	complemented with Google Earth Engine cloud processing, in GIS programs				
	and R software, with the objective of obtaining an efficient land use change					
	map.					
QA/QC procedures applied:	For the generation of enhancement (non-forest to forest) activity data and as					
	part of the QA / QC process, the different	·				
	documented through a series of protocols of	or SOPs that allow the estimates to				
	be standardized over time.					
	SOP_01: Selection of REDD+ satellite mosai	CS				
	SOP_02: Elaboration of LULUCF maps,					
Uncertainty for this parameter:	The uncertainty of land-use change maps					
	results of the land-use change category on the map and the reference					
	observations corresponding to a sample of visually interpreted points. The					
	errors related to land-use change are calculated following the Good Practice					
	Guidelines for estimating the precision of change of use described in Olofsson					
	et al. (2013).					
	Error = Maule 80%, Ñuble 50.5%, Biobío 136.5%, Araucanía 192.2%, Los Ríos					
	65.1%, Los Lagos 138.5%					
Any comment:	Link: https://plataforma.enccrv.cl/static/erp	pa/mr1/aumento/Herramienta A				
	umentos NR2 MR1 v028.xlsx					

## e) Enhancement activity – forest remains forest in non-conservation areas

-, =				
Parameter:	$A_{EnhFF}$ = Areas of non-conservation native forest that remains forest during the 2001–2010 period for the Sixth Region of the ERP, in areas not affected by browning (NBA).			
Description:	The areas that in 2001-2010 are forest in non-conservation area and remain as such, the hectares were estimated.			
Data unit:	Total hectares (ha/year) of t	the 2001-2010 p	eriod.	
Value monitored during this		Region Area		
Monitoring / Reporting Period:	Maul	e	88,778	
	Ñuble	e	33,323	
	Biobí	0	65,805	
	La Araucanía 87,901			
	Los Ríos 47,402			
	Los L	agos	139,986	
	Total	(ha)	463,195	
Source of data and description of	The data comes from INFOR's National Forest Inventory (IFN) plots, combined			
measurement/calculation	with spectral information from the Landsat series. This information integrates			
methods and procedures applied:	the variables of the state o	f the forests on	the number o	f trees per hectare

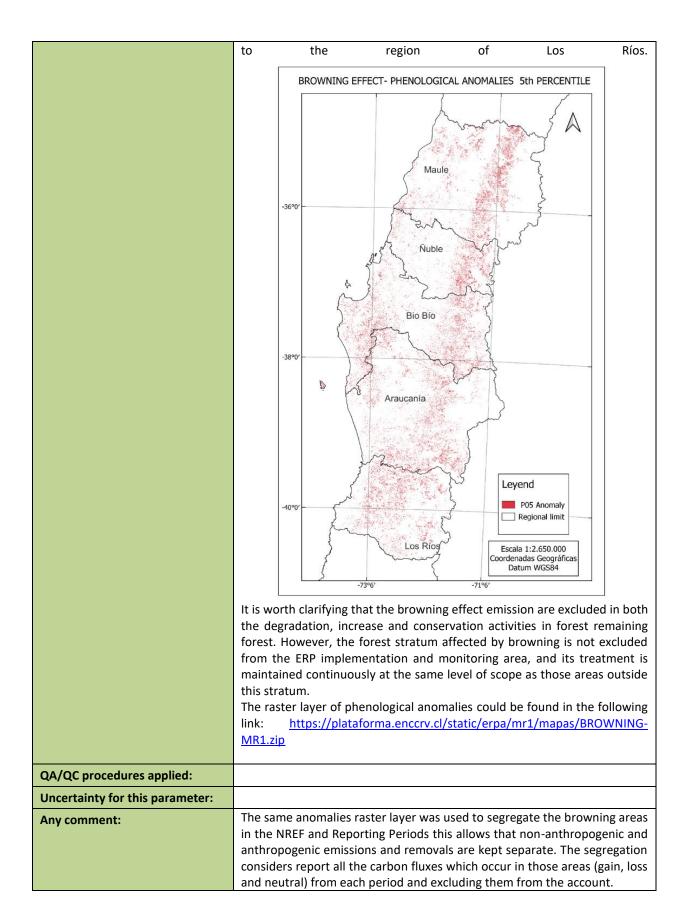
	and basal area recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.
QA/QC procedures applied:	Since one input for AD on enhancement in areas of forest that remain as forest are the Land Use Change Maps in order to define areas of forest remaining forest, same QA/QC procedures and SOP are used during the process. Additional procedures are applied, and proper SOP were developed. SOP_05: Method for estimating in forest remaining forests the carbon variation.  SOP_06: Field Operations Manual Inventory
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR. Error: 32.8%
Any comment:	Link:https://plataforma.enccrv.cl/static/erpa/mr1/bosque- permanente/Herramienta BP No Conservacion NR2 MR1 v09.xlsx

## f) Conservation activity

i) Conservation activity	T			
Parameter:	$\Delta A_{TO\_OTHERSi,t}$ = Areas of conservation native forest that remains as such during the 2001-2010 period in the Six Region of the ERP, in areas not affected by browning (NBA).			
Description:	The areas that in 2 such	001 to 2010 are fore	est in conservatio	n area and remain as
Data unit:	Total hectares (ha)	of the period 2001-2	2010	
Value monitored during this Monitoring / Reporting Period:		Region	Area	
		Maule	3,315	-
		Ñuble	8,882	-
		Biobío	15,509	-
		La Araucanía	27,794	_
		Los Ríos	26,371	
		Los Lagos	88,396	
		Total (ha)	170,267	-
Source of data and description of measurement/calculation methods and procedures applied:	The data comes from INFOR's National Forest Inventory (IFN) plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.			
QA/QC procedures applied:	Since one input for AD on enhancement in areas of forest that remain as forest are the Land Use Change Maps in order to define areas of forest remaining forest, same QA/QC procedures and SOP are used during the process. Additional procedures are applied, and proper SOP were developed. SOP_05: Method for estimating in forest remaining forests the carbon variation.  SOP_06: Field Operations Manual			
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.  Error: 32.8%			
Any comment:		orma.enccrv.cl/static mienta BP Conserv		

## g) Emissions and removals from browning affected forest area

Parameter:	Browning = Areas of native forest that remains as such affected by browning during the 2001–2010 period in the six Region of the ERP.			
Description:	The areas that in 2001 to 2010 are forests affected by browning in forest areas that remain as such.			
Data unit:	Total hectares (ha) o	f the 2001-2010	period.	
Value monitored during this Monitoring / Reporting Period:	Region Degradation Enhancement Conservation (Ha) (Ha) (Ha)			
	Maule	24,394	31,978	976
	Ñuble	7,157	8,850	1,342
	Biobío	10,009	14,065	2,666
	La Araucanía	7,725	11,916	776
	Los Ríos	3,323	6,640	299
	Los Lagos	1	0	0
	Total (ha)	52,609	73,449	6,059
Source of data and description of measurement/calculation methods and procedures applied:	As could be seen in certain tables by activity data described above, emissions accounting in forest remaining forest was carried out in areas not affected by browning (NBA) excluding the areas affected by browning (BA). This occurs due to areas affected by browning are considered as a non-anthropogenic emission source because the carbon fluxes that occurs on these areas are not related to human activities as the deforestation activity. It is a non-anthropogenic source because it is generated by the decreases in precipitation falls, and water availability. The areas affected by browning correspond to those areas of forest that remain as forests that present phenological anomalies because of the impact of the megadrought. These anomalies were detected in the integral productivity of native forest in the ERP implementation area and are under the 5th percentile data productivity anomaly (GAC,2023). The phenological anomaly results in the desiccation of the crowns, loss of foliage and even the mortality of individuals.  Down below is a map with the areas of the 5th percentile anomalies distribution. These areas were used in each monitoring report to exclude the emission related to the non-anthropogenic emissions in each period. They correspond to areas of native forest between the regions of Maule and			arried out in areas not ted by browning (BA). considered as a non-fluxes that occurs on deforestation activity. ed by the decreases in affected by browning forests that present megadrought. These of native forest in the ntile data productivity is in the desiccation of individuals. percentile anomalies ing report to exclude sions in each period.



#### **Emission factors**

## i. Changes in land use or sub use

#### Deforestation

Carbon stocks before deforestation (BBEFORE)

Forest carbon stocks before deforestation were obtained from the information databases of the Chilean INGEI. These numbers are derived from the INFOR Continuous Forest Inventory to achieve an estimation of Tier 3 living above ground biomass. Estimations are stratified by forest type to obtain carbon contents before deforestation. The information of changes in land use was updated to include forest type data.

Above and below ground biomass (BBEFORE in equations 4 and 8), and DOM (Co in Equation 5) are obtained from the GHG national inventory. Under deforestation accounting, carbon stocks of Harvested Wood Products (HWP) is supposed to be zero, due to the lack of reliable data sources for distinguishing between HWP from deforestation and HWP due to degradation.

Carbon stocks after deforestation (BAFTERI)

The INGEI uses IPCC (2006) default values for  $B_{AFTERi}$ , but these values are supposed to be from non-forest land use growth that actually corresponds to  $\Delta_{CG}$ . For FREL estimations, carbon stocks directly after deforestation are assumed to be zero.

Changes in carbon stocks other than deforestation events ( $\Delta_{CG}$  and  $\Delta_{CL}$ )

Post – deforestation carbon stocks ( $\Delta_{CG}$ ) are determined in one of two ways:

- Values taken from a literature review of non forest carbon stocks, preferably studies conducted within Chile (Such as Gayoso 2006). If such studies are not available, data from other regional studies can be used (Temperate South America with similar management systems). This is the preferred method, representing a Tier 2 or 3 approach.
- When these values are not available, IPCC (2006) default values can be used. This is the method currently used by the INGEI but represents a Tier 1 method.

Losses due to wood harvesting, firewood extraction and disturbances ( $\Delta_{CL}$ ) are supposed to be zero in deforestation areas, using the same INGEI assumption.

### **Degradation from Substitution**

For emission factors due to changes from native forest to plantation, estimations of carbon stocks derived from plots in the Continuous Forest Inventory and other carbon stock studies for other land uses are used. Biomass stock in plantation estimation is assumed to be zero (0) as stocks in native forest before plantations are established are assumed to go down to zero.

Forest Surface Enhancement and Restoration

In equation 6, the value of B<sub>AFTER</sub> is supposed to be zero for agricultural lands and industrial/urban areas, since carbon stocks from land use in non – forest converted into forest have been eliminated before the establishment of forests. For natural land uses, mainly meadows and scrubs, B<sub>AFTER</sub> is supposed to be equal to B<sub>BEFORE</sub>, since clearing or cleaning processes are not supposed to take place in those lands before the establishment of forests, but rather naturally

become forests without losing initial carbon stocks. Carbon stocks from B<sub>BEFOREi</sub> to carbon stocks for non – forest land use. Scientific regional or national reports such as Gayoso (2006) which have estimated carbon stocks in non – forest land uses are utilized for these stocks.

In equation 7, G<sub>TOTAL</sub>, average biomass annual growth per hectare for each forest type is calculated through Equation 33 (Modified from Equation 2.10 in IPCC 2006)

$$G_{TOTAL} = \Sigma_i (I_{Vi} \cdot BCEF_i \cdot (1+R_i))$$

Equation 33 (Ec. 2.10 IPCC, 2006)

Where:

 $G_{TOTAL}$  = below ground and above ground biomass average annual growth, ton d. m.  $ha^{-1}$  year<sup>-1</sup>

 $I_i$  = average annual net increase for one forest type, m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>

 $BCEF_l$  = biomass expansion and conversion factor for conversion from annual net volume increase (Including bark) to above ground biomass growth for one forest type, aerial bark growth tonnes (m³ of average annual increase)<sup>-1</sup> R = relation between above and below ground biomass for one forest type in ton m.s of below ground biomass (ton m.s. of above ground biomass)<sup>-1</sup>.

Annual net average increase values, I, are gathered in the INGEI data set based on data from the INFOR forest inventory, which estimates values for the following forest types: Alerce, Ciprés de las Guaitecas, Araucaria, Ciprés de la Cordillera, Lenga, Coihue de Magallanes, Roble Hualo, Roble-Raulí-Coihue, Coihue-Raulí-Tepa, Esclerófilo, and Siempreverde. Equation 34 is used to calculate BCEFI:

$$BCEF_{l} = BEF_{l} \cdot D_{i}$$

## **Equation 34**

Where:

 $BEF_i$ = Expansion factor for the biomass of one forest type. This factor expands the total above ground biomass volume to compensate for the non – marketable components of the increase, no scale D = basic wood density value, ton m<sup>-3</sup>

The biomass expansion factor, BEF<sub>1</sub>, and basic wood density value, D, come from the INGEI data set, having a BEF<sub>1</sub> value for native forests, non – broken down per forest type, by Gayoso et al (2002).

The relation between above and below ground biomass for native forests, R, was estimated by Gayoso et al (2002) and can be found in the INGEI data set.

## ii. Forest remaining forest

Emission factors for degradation in forests that remain as such, carbon content enhancements from degraded forest restoration and forest conversion all use the same methodology.

Emission factors come from the INFOR continuous forest inventory, which is the base for the methodology.

$$Vol = KAB^{\beta}$$

### **Equation 35**

## Where:

*Vol*= Volume of trees in forest, cubic meters ha<sup>-1</sup>.

AB= Basal Area square meters ha<sup>-1</sup>.

K= constant, value of 2.9141.

 $\beta$  = constant, value 1.2478.

For converting volume to CO<sub>2</sub> for its use in the equation:

$$EF = Vol * D * BEF$$

## **Equation 36**

## Where:

EF = carbon stocks in forest that remains as such, ton biomass ha<sup>-1</sup>

Vol= volume of trees in forest, cubic meters ha-1

D= average forest density, tonnes meters<sup>-3</sup>

*BEF*= biomass conversion and expansion factor for the conversion of annual volume net increase (Including bark) to above ground biomass growth for one forest type, aerial bark tonnes growth (m³ of average annual increase

## **Emission factors**

Parameter:	Root-to-shoot ratios of native forest (R factor)
Description:	Relationship between below ground and above ground biomass
Data unit:	t d.m. m <sup>-3</sup>
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	R factor comes from information collected in the country (Gayoso et al., 2002; INGEI, 2020). This value is within the range of values indicated in the 2006 IPCC Guidelines for temperate forests (between 0.20 and 0.46, according to Table 4.4; Chapter 4; Volume 4) and within the values available worldwide, which provide R factors that range between 0.09 and 0.33. This value is for native species and has a national spatial level.
Value applied:	0.2869
QA/QC procedures applied	These are reference national values obtained from Gayoso et al. (2002) and INGEI (2020) as was mentioned before.
	QA / QC applied in documentation process "Estimación de valores de fracción de carbono, relación tallo raíz" ("Estimation of carbon fraction values, stem-root relation").
Uncertainty associated with this parameter:	Error calculation based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chile (UACh).  Error: 9.4%
Any comment:	

a) Deforestation activity

a) Delotestation activity	
Parameter:	Biomass expansion factor (BEF) for the native forest
Description:	This factor expands the total volume of above ground biomass to compensate for non-marketable aspects of the increase.
Data unit:	Non-dimensional
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	The Biomass expansion factor becomes from information collected in the country from the study of Gayoso et al. (2002) and used in INGEI (2020). This value is for native species and has a national spatial level.
Value applied:	1.75
QA/QC procedures applied	This are reference national values obtained from Gayoso et al. (2002) and INGEI (2020) as was mentioned before.
Uncertainty associated with this parameter:	Error calculation based on statistical data from the Biomass Inventory and Carbon Accounting of the Universidad Austral de Chile (UACh).  Error: 18%
Any comment:	

Parameter:	Basic wood density value (D)
Description:	Calculated using basic density data collected from native species growing in Chile.
Data unit:	t d.m. m <sup>-3</sup>
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	A bibliographic review of basic densities of forest species in Chile was carried out and there were no modifications for the value exposed in Gayoso et al. (2002) and INGEI (2020).
Value applied:	0.496166
QA/QC procedures applied	These are reference national values obtained from Gayoso et al. (2002) and INGEI (2020) as mentioned before.
Uncertainty associated with this parameter:	It was calculated using basic density data collected from native and exotic species growing in Chile.  Error: 5.6%
Any comment:	

Parameter:	Above and below ground biomass	of other uses	
Description:	Above and below ground biomass of Urban and Industrial Areas, agricultural land, grassland, scrub, arborescent scrub, shrub planting, succulent scrub, succulent formations, plantations, wetlands, areas deprived of vegetation, eternal snows and glaciers, waterbodies and unrecognized areas		
Data unit:	Tons of dry biomass ha <sup>-1</sup> (t d.m. ha <sup>-</sup>	-1)	
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	<ul> <li>Urban and Industrial Areas</li> <li>Agricultural land (INGEI, 20)</li> <li>Grassland (Gayoso et al., 20)</li> <li>Grassland - Scrub (Gayoso et al., 2006)</li> <li>Arborescent scrub (Gayoso et al., 2006)</li> <li>Arborescent scrub (Gayoso et al., 2006)</li> <li>Schrub with succulent (Gayoso et al., 2006))</li> <li>Succulent formations (assu 2006))</li> <li>Schrub planting (Gayoso et al., 2006)</li> <li>Plantation (Expert national et al., 2006)</li> <li>Wetlands (assumption)</li> <li>Areas deprived of vegetation et al., 2006</li> <li>Waterbodies (assumption)</li> <li>Unrecognized areas (assum these are reference national value (2020), an expert national panel ar</li> </ul>	20) 206) et al., 2006) et al., 2006) oso et al., 2006) mption of grassland al., 2006) panel) on (assumption) (assumption) eption) s obtained from Gayo	oso et al. (2006), INGEI
Value applied:	Hee / sub was	t dry above ground	t dry below ground
	Use / sub use		
		biomass ha <sup>-1</sup>	biomass ha-1
	Urban and Industrial Areas	biomass ha <sup>-1</sup>	biomass ha <sup>-1</sup>
	Urban and Industrial Areas Agricultural land	biomass ha <sup>-1</sup>	biomass ha <sup>-1</sup>
	Urban and Industrial Areas	biomass ha <sup>-1</sup> 2 10	0 2
	Urban and Industrial Areas Agricultural land Grassland	biomass ha-1 2 10 4.73	biomass ha <sup>-1</sup> 0 2 8.13
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub	biomass ha-1  2  10  4.73  9.04	biomass ha <sup>-1</sup> 0 2 8.13 14.99
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub	biomass ha-1  2  10  4.73  9.04  9.04	biomass ha-1  0  2  8.13  14.99  14.99
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations	biomass ha-1  2  10  4.73  9.04  9.04  21.78	biomass ha-1  0  2  8.13  14.99  14.99  35.25
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04	biomass ha-1  0 2 8.13 14.99 14.99 35.25 14.99
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers Waterbodies	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0  0  0	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0  0
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0
QA/QC procedures applied	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers Waterbodies	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0  0  0  s obtained from Gayo	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0  0  0  0  0  0  0  0  0  0
QA/QC procedures applied	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers Waterbodies Unrecognized areas These are reference national value (2020), an expert national panel are	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0  0  0  s obtained from Gayond assumption as menus	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0  0  0  0  0  0  0  0  0  0
	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers Waterbodies Unrecognized areas These are reference national value	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0  0  0  0  s obtained from Gayon assumption as menus	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0  0  0  0  0  0  0  0  0  0
QA/QC procedures applied  Uncertainty associated with this	Urban and Industrial Areas Agricultural land Grassland Grassland - Scrub Scrub Arborescent scrub Scrub with succulent Succulent formations Shrub planting Plantation Wetlands Areas deprived of vegetation Eternal snows and glaciers Waterbodies Unrecognized areas  These are reference national value (2020), an expert national panel are	biomass ha-1  2  10  4.73  9.04  9.04  21.78  9.04  4.73  9.04  0  0  0  0  0  o  o  s obtained from Gayond assumption as mentioned as	biomass ha-1  0  2  8.13  14.99  14.99  35.25  14.99  8.13  14.99  0  0  0  0  0  ooso et al. (2006), INGEI ntioned before.

	Grassland	27.7%
	Grassland – Scrub	34.6%
	Scrub	34.6%
	Arborescent scrub	22.4%
	Scrub with succulent	34.6%
	Succulent formations	27.7%
	Shrub planting	34.6%
	propagation error estimate follow Arborescente AGB error (22.42%) a	s (BGB) of Non-Forest lands is based on ing IPCC approach 1 of Matorral- nd Root shoot ratio -R Factor error (2002), resulting in total uncertainty of
Any comment:		

Parameter:	Above and below ground	d biomass of native	forest	
Description:	Above and below grou classified by different fo biomass value in some c	rest type and structure ases, depending or	cture. Each fore	est type has its own
Data unit:	Tons of dry biomass ha-1	Tons of dry biomass ha <sup>-1</sup> (t d.m. ha <sup>-1</sup> )		
Source of data or description of	Native forest data are national data and published in INGEI (2020)			
the method for developing the	The mixed forest is calcu			
data including the spatial level of	present in the region an	_	_	
the data (local, regional,	deforestation activity of	the period.		
national, international):	For missing biomass val weighted average value forest type surface prese	was calculated in	the region ar	nd according to the
Value applied:				
	Forest type	Structure	AGB	BGB
			(t d.m. ha <sup>-1</sup> )	(t d.m. ha <sup>-1</sup> )
	Alerce	Mature	339.1	97.3
	Alerce Alerce	Young Mature/Young	203.6 203.6	58.4 58.4
	Alerce	Stunted	339.1	97.3
	Ciprés de las	Stantea	333.1	37.3
	Guaitecas	Mature	198.0	56.8
	Ciprés de las			_
	Guaitecas	Young	198.0	56.8
	Ciprés de las		100.0	56.0
	Guaitecas Ciprés de las	Mature/Young	198.0	56.8
	Guaitecas	Stunted	198.0	56.8
	Araucaria	Mature	421.4	120.9
	Araucaria	Young	219.1	62.9
	Araucaria	Mature/Young	219.1	62.9
	Araucaria	Stunted	421.4	120.9
	Ciprés de la Cordillera	Mature	124.0	35.6
	Ciprés de la Cordillera	Young	124.0	35.6
	Ciprés de la Cordillera	Mature/Young	124.0	35.6
	Ciprés de la Cordillera	Stunted	124.0	.35.6
	Lenga	Mature	198.5	56.9
	Lenga	Young Mature/Young	237.2 237.2	68.1 68.1
	Lenga Lenga	Stunted	198.5	56.9
	Coihue de Magallanes	Mature	129.1	37.1
	Coihue de Magallanes	Young	129.1	37.1
	Coihue de Magallanes	Mature/Young	129.1	37.1
	Coihue de Magallanes	Stunted	129.1	37.1
	Roble - Hualo	Mature	114.9	33.0
	Roble - Hualo	Young	114.9	33.0
	Roble - Hualo	Mature/Young	114.9	33.0
	Roble - Hualo	Stunted	114.9	33.0
	Roble - Raulí - Coihue	Mature	178.6	51.2
	Roble - Raulí - Coihue Roble - Raulí - Coihue	Young Mature/Young	152.8 152.8	43.8 43.8
	Roble - Raulí - Coinue	Stunted	178.6	51.2
	Coihue - Raulí - Tepa	Mature	377.0	108.2
	Confac Madii Tepa	matare	377.0	100.2

	Coihue - Raulí - Tepa	Young	377.0	108.2
	Coihue - Raulí - Tepa	Mature/Young	377.0	108.2
	Coihue - Raulí - Tepa	Stunted	377.0	108.2
	Esclerófilo	Mature	18.6	5.3
	Esclerófilo	Young	18.6	5.3
	Esclerófilo	Mature/Young	18.6	5.3
	Esclerófilo	Stunted	18.6	5.3
	Siempreverde	Mature	361.6	103.8
	Siempreverde	Young	127.3	36.5
	Siempreverde	Mature/Young	127.3	36.5
	Siempreverde	Stunted	361.6	103.8
QA/QC procedures applied	The SOP_06: Field Operagather information in the			
Uncertainty associated with this parameter:	For the Forest types w values where directly Quantification of uncert For the forest types w propagation with Monte measurement error (0.2 data of INFOR; ii. Volu Continuous Forest Invererror (18.0%), BEF come study of Gayoso et al. (2 species and has a nation data from the Biomass I Austral de Chile (UACh) density data collected uncertainties are combi resulting in total uncertainty for Below estimate following IPC (18.85%) and Root shoo al. (2002), resulting in total	used in the Monta cainty in Reference I ith a limited numb e Carlo analysis uses 1%), calculation base ime estimation errotory data of INFOR, es from information 2002) and used in IN nal spatial level. Errotorentory and Carbot it; and iv. Wood Der from native specie ned following IPCC a ainty of 18.85% Ground Biomass BC C approach 1 of A it ratio -R Factor errotore	ecarlo Methodever Setting, for of sampling the following ed on Continuous or (0.07%), callii. Biomass Extended in the following of (2020). The calculation is on Accountance in Sity (5.6%) cast growing in approach 1 (processed of the following of (40.0%) estimated in the following of (40.0%) estimated in the following of (40.0%) estimated in the following of the	d (see section 12.2 for detail). g plots, uncertainty information: i. DBH ous Forest Inventory alculation based on pansion Factor (BEF) he country from the his value is for native is based on statistical by of the Universidad alculated using basic Chile. Finally, these ropagation of error, in propagation error Biomass-AGB error
Any comment:				

Parameter:	Dead organic matter of native forest (DOM)
Description:	The native forest is classified by different forest type and structure. Each forest type has its own dead organic matter value in some cases, depending on data availability.
	The mixed forest is calculated as a weighted average value of the forest types present in the region and according to the forest surface present for the activity of deforestation of the period.
	For missing DOM value of the forest types and some of the structures was calculate a weighted average value in the region and according to the forest type surface present at the final year in the reference level (2013).
Data unit:	Tons of carbon in dead organic matter ha <sup>-1</sup> (tC ha <sup>-1</sup> )
Source of data or description of the method for developing the	Forest native data are national data and published in INGEI (2020)

ational, international):			1
alue applied:	Forest type	Structure	AGB (tC ha <sup>-1</sup> )
	Alerce	Mature	121.4
	Alerce	Young	121.4
	Alerce	Mature/Young	121.4
	Alerce	Stunted	121.4
	Ciprés de las Guaitecas	Mature	62.11
	Ciprés de las Guaitecas	Young	62.11
	Ciprés de las Guaitecas	Mature/Young	62.11
	Ciprés de las Guaitecas	Stunted	62.11
	Araucaria	Mature	133.4
	Araucaria	Young	133.4
	Araucaria	Mature/Young	133.4
	Araucaria	Stunted	133.4
	Ciprés de la Cordillera	Mature	62.11
	Ciprés de la Cordillera	Young	62.11
	Ciprés de la Cordillera	Mature/Young	62.11
	Ciprés de la Cordillera	Stunted	62.11
	Lenga	Mature	43.4
	Lenga	Young	43.4
	Lenga	Mature/Young	43.4
	Lenga	Stunted	43.4
	Coihue de Magallanes	Mature	140.1
	Coihue de Magallanes	Young	140.1
	Coihue de Magallanes	Mature/Young	140.1
	Coihue de Magallanes	Stunted	140.1
	Roble - Hualo	Mature	62.11
	Roble - Hualo	Young	62.11
	Roble - Hualo	Mature/Young	62.11
	Roble - Hualo	Stunted	62.11
	Roble - Raulí - Coihue	Mature	52.9
	Roble - Raulí - Coihue	Young	52.9
	Roble - Raulí - Coihue	Mature/Young	52.9
	Roble - Raulí - Coihue	Stunted	52.9
	Coihue - Raulí - Tepa	Mature	74.4
	Coihue - Raulí - Tepa	Young	74.4
	Coihue - Raulí - Tepa	Mature/Young	74.4
	Coihue - Raulí - Tepa	Stunted	74.4
	Esclerófilo	Mature	16.7
	Esclerófilo	Young	16.7
	Esclerófilo	Mature/Young	16.7
	Esclerófilo	Stunted	16.7
	Siempreverde	Mature	64.8

	Siempreverde	Young	64.8
	Siempreverde	Mature/Young	64.8
	Siempreverde	Stunted	64.8
QA/QC procedures applied		ons Manual describes in det field for the Forest Ecosyste	-
Uncertainty associated with this parameter:	Error estimated from per Inventory Error 28.4%	rmanent plots of the INF	OR Continuous Forest
Any comment:			

## b) Degradation activity

b) Begradation activity	
Parameter:	Combustion factor
Description:	Emission factor for degradation due to forest fires
Data unit:	Non-dimensional
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Intergovernmental Panel on Climate Change (IPCC). 2006. 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Volume 4, Chapter 2, Table 2.6 International - Extra tropical forest
Value applied:	0.45
QA/QC procedures applied	
Uncertainty associated with this parameter:	Error estimated using the standard deviation and median default emission factor of the IPCC 2006.  Error: 36%
Any comment:	

Parameter:	CH <sub>4</sub> emission factor
Description:	Emission factor for degradation due to forest fires
Data unit:	g kg <sup>-1</sup> of burned dry material
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Intergovernmental Panel on Climate Change (IPCC). 2006. 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Volume 4, Chapter 2, Table 2.5 International - Extra tropical forest
Value applied:	4.7
QA/QC procedures applied	
Uncertainty associated with this parameter:	Error estimated using the standard deviation and median default emission factor of the IPCC 2006.  Error: 29.0%
Any comment:	

Parameter:	N₂O emission factor
Description:	Emission factor for degradation due to forest fires
Data unit:	g kg <sup>-1</sup> of burned dry material
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Intergovernmental Panel on Climate Change (IPCC). 2006. 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Volume 4, Chapter 2, Table 2.5 International - Extra tropical forest
Value applied:	0.26
QA/QC procedures applied	
Uncertainty associated with this parameter:	Error estimated using the standard deviation and median default emission factor of the IPCC 2006. Error: 43.8%
Any comment:	

c) Enhancement activity

c) Enhancement activity						
Parameter:	Periodic annual increment (PAI) according to forest type					
Description:	Periodic annual increment (PAI) according to forest type and structure. Each forest type has its own biomass value in some cases, depending on data availability  For the missing PAI value of the forest types and some of the structures, a weighted average value was calculated in the region and according to the forest type surface present at the final year in the reference level (2013).					
Data unit:	Cubic meter per hectare and year (m <sup>3</sup> ha <sup>-1</sup> year <sup>-1</sup> )					
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Native forest data are national data and published in INGEI (2020)					
Value applied:	Forest type	Structure	PAI (m³ ha-¹ year- ¹)			
	Alerce	Mature	0.5			
	Alerce	0.5				
	Alerce Young 0.5  Alerce Mature/Young 0.5					
	Alerce	0.5				
	Ciprés de las Guaitecas	Mature	3.9			
	Ciprés de las Guaitecas	Young	3.9			
	Ciprés de las Guaitecas	Mature/Young	3.9			
	Ciprés de las Guaitecas	Stunted	3.9			
	Araucaria	Mature	4.6			
	Araucaria	Young	4.6			
	Araucaria	Mature/Young	4.6			
	Araucaria	Stunted	4.6			
	Ciprés de la Cordillera Mature 5					
	Ciprés de la Cordillera Young 2.7					

	Ciprés de la Cordillera	Mature/Young	2.7	
	Ciprés de la Cordillera	Stunted	5	
	Lenga	Mature	5.8	
	Lenga	Young	3.9	
	 Lenga	Mature/Young	3.9	
	Lenga	Stunted	5.8	
	Coihue de Magallanes	Mature	2.6	
	Coihue de Magallanes	Young	3.7	
	Coihue de Magallanes	Mature/Young	3.7	
	Coihue de Magallanes	Stunted	2.6	
	Roble - Hualo	Mature	5.1	
	Roble - Hualo	Young	3.5	
	Roble - Hualo	Mature/Young	3.5	
	Roble - Hualo	Stunted	5.1	
	Roble - Raulí - Coihue	Mature	6.6	
	Roble - Raulí - Coihue	Young	4.1	
	Roble - Raulí - Coihue	Mature/Young	4.1	
	Roble - Raulí - Coihue	Stunted	6.6	
	Coihue - Raulí - Tepa	Mature	5.8	
	Coihue - Raulí - Tepa	Young	4.9	
	Coihue - Raulí - Tepa	Mature/Young	4.9	
	Coihue - Raulí - Tepa	Stunted	5.8	
	Esclerófilo	Mature	1.5	
	Esclerófilo	Young	1.6	
	Esclerófilo	Mature/Young	1.6	
	Esclerófilo	Stunted	1.5	
	Siempreverde	Mature	6	
	Siempreverde	Young	4.1	
	Siempreverde	Mature/Young	4.1	
	Siempreverde	Stunted	6	
QA/QC procedures applied	The SOP_06: Field Operations Manual describes in detail the entire process of gather information in the field for the Forest Ecosystem Inventory.			
Uncertainty associated with this parameter:	Forest types with field plots available, PDF were assessed and used directly in the Montecarlo Method (see section 12.2 Quantification of uncertainty in Reference Level Setting, for detail).  Error 28.7%			
Any comment:				

Parameter:	Periodic annual increment (PAI) for mixed forest				
Description:	The mixed forest is calculated as a weighted average value of the forest types present in the region and according to the forest surface present for the activity of enhancement of the period (from no forest to native forest).				
Data unit:	Cubic meter per h	nectare and year (m³ ha-1 ye	ar <sup>-1</sup> )		
Source of data or description of the method for developing the data including the spatial level of the data (local, regional, national, international):	Forest native data are national data and published in INGEI (2020)				
Value applied:		Region	PAI NREF (m³ ha <sup>-1</sup> year <sup>-1</sup> )		
		Maule	2.1		
		Ñuble	2.8		
		Biobío	3.7		
	Araucanía         4.2           Los Ríos         4.1           Los Lagos         4.2				
QA/QC procedures applied	SOP_06_Field Operation Manual				
Uncertainty associated with this parameter:	SOP_06 Field Operations Manual was implemented during fieldwork to estimate PAI in the National Forest Inventory, for some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the calculation of the measurement uncertainty for PAI based on the 95% CI of the removal rate by forest type, calculated with Continuous Forest Inventory data of INFOR.  • PAI Mixed Forest = 28.7% (Average of PAI error for all forest types given lack of data.)				
Any comment:					

## 8.4 Estimated Reference Level

ER Program Reference level, considering only the areas of permanent forest not affected by browning.

Year of Monitoring/Reporting period t	Average annual historical emissions from deforestation over the Reference Period (tCO <sub>2</sub> . e/yr)	If applicable, average annual historical emissions from forest degradation over the Reference Period (tCO <sub>2</sub> . e/yr)	If applicable, average annual historical removals by sinks over the Reference Period (tCO <sub>2</sub> . <sub>e</sub> /yr)	Adjustment, if applicable (tCO <sub>2</sub> . <sub>e</sub> /yr)	Reference level (tCO <sub>2-e</sub> /yr)
2018	5,140,727	11,914,436	-10,740,394	NA	6,314,770
2019	5,140,727	11,914,436	-10,740,394	NA	6,314,770
2020	5,140,727	11,914,436	-10,740,394	NA	6,314,770
2021	5,140,727	11,914,436	-10,740,394	NA	6,314,770
2022	5,140,727	11,914,436	-10,740,394	NA	6,314,770
2023	5,140,727	11,914,436	-10,740,394	NA	6,314,770
Total	30,844,362	71,486,616	-64,442,364	0	37,888,620

## Calculation of the average annual historical emissions over the Reference Period

# 8.5 Upward or downward adjustments to the average annual historical emissions over the Reference Period (if applicable)

## Explanation and justification of proposed upward or downward adjustment to the average annual historical emissions over the Reference Period

The entity has not considered applying an adjustment rate (%) to the historical emissions average over the reference period.

## Quantification of the proposed upward or downward adjustment to the average annual historical emissions over the Reference Period

The entity has not considered applying an adjustment rate (%) to the historical emissions average over the reference period. However, the consultancy on the Browning Project that is expected to carry out this year, could collect enough evidence and results to recommend the application of an adjustment rate or factor to the emissions produced over the relevant period.

# 8.6 Relation between the Reference Level, the development of a FREL/FRL for the UNFCCC and the country's existing or emerging greenhouse gas inventory

The updated reference level has been presented in this Annex, to be subject to the validation and review process by the Fund evaluation team. With this, Chile has the intent to submit the updated reference level to the UNFCCC in 2022, which will also be expanded to forest regions not addressed in the ER Program and therefore neither the

current FREL/FRL. In this way, Chile expects to have an updated and expanded to a national scale reference level by the end of 2022, with validation from the FCPF and the UNFCCC.

The FREL/FRL submitted for the native forest of Chile remains highly consistent with the national INGEI. Nevertheless, it is important to consider the various intrinsic differences that exist between a reference level and a GHG inventory.

In Chile, the Ministry of Agriculture is the institution responsible for the Agriculture, Forestry and Land Use sector for the INGEI, and CONAF and INFOR are responsible for the specific calculations of the Land Use and Changes in Land Use sector, both institutions with responsibilities in the development of the Chilean forest FREL/FRL.

Carbon flux accounting is considered in forest plantations of exotic species included in the INGEI and not in the reference level as part of such intrinsic differences. On the other hand, degradation activities and stock enhancements in forest remaining forests would be integrated in the calculation of emissions and absorptions generated in forest lands that remain as such in the INGEI. On the other hand, deforestation activity and stock enhancements from non – forest to forest uses would be associated to changes in land use from forest use to Other Uses and from Other Uses to Forest, respectively.

### Deforestation

The GHG Inventory considers the following categories for deforestation emissions: forest lands converted into farmlands, forest lands converted into pastures, forest lands converted into settlements and forest lands converted into other lands (Including areas deprived of vegetation, snows and glaciers, waterbodies and non – recognized areas). All final land use classes resulting from deforestation are specified in the FREL estimation.

Information from use change maps developed based on the Cadastre for activity data generation is used for the GHG Inventory and FREL/FRL. While both previously considered information from the Cadastre, Chile developed the method based on multi pixel mosaics and MIICA for the development of change maps. In this way, the product of semi-automated change maps for changes in land uses can be utilized in both reports.

Biomass stocks in land use before conversion consider the same information in both reports, applying stock values per forest type according to their structure. Post – deforestation biomass content in both INGEI and FREL considers IPCC default values in some non – forest land use classes. Also, the reference level uses specific values for Chile from Gayoso (2006) for scrubs, arborescent scrubs, grasslands and succulent formations to have more emission factors that are specific for Chile.

Regarding the estimation of changes in carbon stocks other than deforestation events, both INGEI and FREL consider losses due to wood harvesting, firewood extraction and disturbances to be zero in deforestation areas.

The GHG inventory in Chile considers commercial wood harvesting activities for native and exotic species, wood harvesting, and fires within forest lands that remain as such. Such activities can be homologated to degradation in forest remaining forests in the NFMS. In order to count forest degradation, INGEI uses a gain – loss method based on IPCC (2006) Equation 2.7; nevertheless, the FREL/FRL uses the stock difference method based on IPCC (2006) Equation 2.8.

INGEI uses tabular data from INFOR for forest removals, and statistics from INFOR and the Ministry of Energy for firewood along with surface of fires in native forest and forest plantations tables from CONAF to count emissions from forest. The same accounting as that of the INGEI of Chile is used for non - CO<sub>2</sub> GHG from forest fire combustions.

Firewood extraction data are highly complex, as most extractions are informal making it more difficult to estimate the accuracy and reliability of this information. Due to this a different methodology, considered to be more robust, is used for the degradation reference level accounting.

The fact that INGEI counts carbon enhancements in forest plantations, while the FREL assumes carbon stocks in plantations to be zero for the native forests converted into forest plantations activity, is another reason that explains the difference between annual emissions.

Accounting for the enhancement in carbon stocks varies between what is applied in the Gas Inventory and FREL, nevertheless, both consider lands converted into forest lands and biomass expansion in forests that remain as such to be enhancements. The FREL/FRL also accounts for the transition lands category.

The differences in results for removal estimations are mainly because the FREL does not account for enhancements from forest plantations, aside from differences in the methodology applied for enhancements in forests that remains as such. The FREL/FRL only includes biomass enhancements in native forests.

The NGHGI uses IPCC 2006 Equation 2.9 for Tier 2 – 3 calculations in enhancements from forest growth. Nevertheless, INGEI only uses it for lands converted into forests in the conversion year. Afterwards, these lands move to the in-transition category, where their enhancements are accounted.

The stock enhancement FREL continues counting absorptions coming from areas converted into forests during the reference period, for the entire period. For example, an area converted into forest in the first year of the reference period continues increasing its carbon stock in the second, third, and subsequent years in the reference period. Second year enhancements coming from areas planted/seeded in the first year are counted in the second year, along with increases from areas seeded/restored in the second year. In this way, enhancements continue to accumulate, always counted under the stock enhancement activity.

For NGHGI, the estimation of activity data for enhancements in non – forest areas converted into forests and forests that remain as such comes from use change maps, likewise for the enhancement FREL. The annual average net increase values used as Absorption Factor are the same ones used in the INGEI, based on INFOR data. There are values for the following forest types: Alerce, Ciprés de las Guaitecas, Araucaria, Ciprés de la Cordillera, Lenga, Coihue de Magallanes, Roble Hualo, Roble-Raulí-Coihue, Coihue-Raulí-Tepa, Esclerófilo, and Siempreverde.

Likewise, the biomass expansion factor and basic wood density value from NGHGI are used as values for calculating the conversion factor and biomass expansion for the conversion of the annual net volume increase (Bark included) into above ground biomass growth for one forest type.

## 9 APPROACH FOR MEASUREMENT, MONITORING AND REPORTING

The national forest monitoring system must provide data and information that are transparent, consistent over time and suitable for measuring, reporting and allowing emission verification from sources and absorptions from sinks considered in the ER Program of Chile.

The MRV system is methodologically consistent with the FREL/FRL, considering the same sinks and sources, deposits and gases, to guarantee the comparability of estimations between the historical reference period and the performance period.

The monitoring frequency and subsequent data generation is homogeneous for each MRV event. Activity data, or surface subject to anthropogenic actions delimiting each REDD+ activity is calculated through information from coverage and changes in coverage maps developed through the methodologies described in the FREL; monitoring can take place on a biennial basis in accordance with the requirements of the Methodological Framework. Annual data from CONAF historical forest fire statistics are used to account for the surfaces affected by forest fires, the same source of information that feeds into the INGEI and was used on the development of the FREL/FRL.

The ERPD of Chile considered a Monitoring Plan based on three MRV monitoring events for the years 2017, 2019 and 2023, along with the corresponding reports for the years 2018, 2020, 2022 and 2024. Nevertheless, the ERPA of Chile was signed on December 2019 considering the administrative regions from Maule to Los Lagos and the 4 REDD+ activities reported in the FREL/FRL for the 2018-2023 period.

The agreement pledges the transaction of 5.2 MtCO2e in two differentiated trenches A and B distributed in three monitoring milestones as per the following table, where the first monitoring milestone corresponds to a Fund approved retroactive period. In this way, the second monitoring milestone shall represent the greatest effort Chile must make to reduce 2.5 M – tonnes of equivalent CO2.

Monitoring Milestone	Years	Report *	Trench A ER (TonCO₂eq)	Trench B ER (TonCO₂eq)	Total ER (TonCO₂eq)
1	2018-2019	2021	75,000	1,425,000	1,500,000
2	2020-2021	2023	125,000	2,375,000	2,500,000
3	2022-2023	2025	60,000	1,140,000	1,200,000
	Total		260,000	4,940,000	5,200,000

Table 26 ER Distribution by period and section. \*April of that period

On the other hand, there are ER amounts reserved and pledged in the Payment Agreement, which are associated to mitigating the risk of data Reversals and Uncertainty. These reserves come from percentages related to the total reversal risk estimation (21%), and a factor related to the uncertainty of total estimations (8%).

Monitoring Milestone	Buffer TA TonCO2eq	Buffer TB TonCO2eq	Total Buffer TonCO2eq	Total ER TonCO2eq
1	20,490	389,310	409,800	1,909,800
2	34,150	648,850	683,000	3,183,000
3	16,392	311,448	327,840	1,527,840
Total	71,032	1,349,608	1,420,640	6,620,640

**Table 27** Total ER reserves by period and sections.

The makeup and details of each milestone are detailed below.

Monitoring milestone time planning

Carbon accounting in the area of the Emission Reduction Program consists of three main stages:

- 1. Reference Period: Historical stage where average emissions are estimated then projected as a future Reference Level. The Reference Level of Chile considers the 2001 2010 period for activities that occur in forest remaining forests and 2001 2013 for activities that generate changes in land use and have been reported by the country in 2016.
- Exclusion Period: Intermediate stage between the end of the Reference Period and the beginning of the implementation of the Emission Reduction Program. Emissions and/or absorptions produced during this stage are estimated and excluded from the results of the program. Corresponds to the period between years 2014 and 2017 for use change activities and 2011 – 2017 for activities that occur in forest remaining forests.
- 3. Monitoring Period: Implementation stage of the Emission Reduction Program, divided into two periods; the regular and retroactive periods as detailed in Figure 19 between 2018 and 2025. Emission reductions and/or absorption enhancements regarding the current Reference Level of the country are estimated in both stages, with results obtained based on flux measurements between each Monitoring event with regards to the base year.

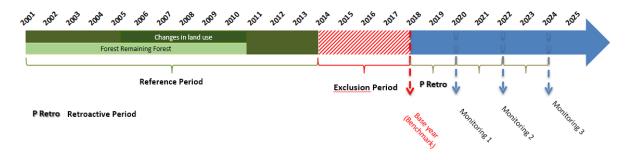


Figure 14 Emission Reduction Program Timeline.

- ✓ Monitoring 1: Considers the use of Cadastre update data generated through spectral analysis during the 2017/2018 dry seasons as the beginning and 2019/2020 dry season data for the end of the period, which together with information from the Forest Inventory will allow to estimate Emission Reductions associated to calendar years 2018 and 2019.
- ✓ Monitoring 2: Considers the use of Cadastre update data generated through spectral analysis during the 2019/2020 dry seasons as the beginning and 2021/2022 dry season data for the end of the period, which together with information from the Forest Inventory will allow to estimate Emission Reductions associated to calendar years 2020 and 2021.
- ✓ Monitoring 3: Considers the use of Cadastre update data generated through spectral analysis during the 2021/2022 dry seasons as the beginning and 2023/2024 dry season data for the end of the period, which together with information from the Forest Inventory will allow to estimate Emission Reductions associated to calendar years 2022 and 2023.

### **Activity summary**

#### i. Imaging

Corresponds to the development of digital multi pixel mosaics for obtaining activity data on changes in land use and stable land uses. It is necessary to generate two multi pixel mosaics, initial and final years, to develop the monitoring report of a given period. For example, the initial year mosaic for the 2018 – 2019 retroactive period covers the dry season window from October 2017 to March 2018, while the time window for the final year is from October 2019 to March 2020.

A requirement for the mosaics is for them to be formed by pixels free of clouds and shadows so change detection analysis methodologies can be applied, which is why the time window must consider dry season months in Chile, between October and March, especially in the southern regions of the country.

### ii. Development of in Land Use Changes Maps

The map of changes in land use is one of the main inputs for result estimation, and its development requires the application of specific change detection methodologies. During its development, it is necessary to test and validate methodologies for defining which land uses change and which uses remain stable, a process which includes attributing and verifying changes through the analysis of high-resolution images.

A database called "Traceability", which contains the definition of REDD+ activities for polygons in the Native Forest Cadastre, is obtained from this task. This database is used to determine which surface changes its use and the direction of such changes, along with the forest surface that remains as such, on which the Forest Institute then applies the forest degradation methodology.

## iii. Accuracy/error evaluation

Accuracy evaluation encompasses the estimation of the accuracy of land use change maps in ER Program regions, which must be evaluated by a third party by applying the Oloffson (2013 and 2014) methodology. This information is integrated into other sources of error that allow calculating the final uncertainty of each report.

### iv. Public Conservation Areas

It addresses the collection and systematization of official information about existing conservation areas (Old and new) in the regions of the ER Program, especially spatial representation of updated boundaries of properties/conservation areas in mapped format. The Ministry of National Assets of Chile, institution in charge of surveying and updating information about state owned property boundaries, is responsible for generating and publishing this information.

Once the geographic information layers are available, the necessary geoprocessing must be conducted to add this information as attributes to changes in land use and forest degradation (forest remaining forest) data sets.

#### **Private Conservation Areas**

Private Conservation Initiatives incorporated as attributes to activity data correspond to private owned property surfaces under conservation regimes. This information is managed by the Ministry of the Environment, which is in charge of generating spatial information for the property limits in these areas, then processed with activity data to be added to data sets as attributes.

## v. Carbon Maps

The development of carbon maps corresponds to the forest degradation methodology conducted by the Forest Institute. It consists in processing multi pixel mosaics with stand state variables by forest type, in order to aggregate information at the pixel level regarding position in the stock chart, carbon content in the period and flux compared to the previous period. This stage includes the analysis of results in forest remaining forests regarding the reference level and uncertainty estimation.

### vi. Fire statistics

Addresses the integration of fire statistical information, which is generated by CONAF and delivered in July every year. These data are used to estimate degradation emissions in forests due to fires, calculating methane and nitrous oxide emissions.

### vii. ER calculation and information integration

Estimations of carbon emissions/absorptions into the atmosphere calculations can be conducted once systematized and updated activity data information is available for the entire study area, which covers the use of the traceability database, emission factors and the application of a degradation methodology. Both result calculation and integration are organized in Excel spreadsheets named "Tools", spreadsheets where IPCC equations are entered to obtain result estimations, according to the determined scale and scope.

### viii. Report Development

The last stage for reporting monitoring milestone results covers the development of the document which compiles and systematizes results, providing information regarding work methods, information sources and considerations for obtaining results. Includes the full uncertainty calculation chapter.

## Activity integration and planning

The activities described above are organized over time according to Table 28

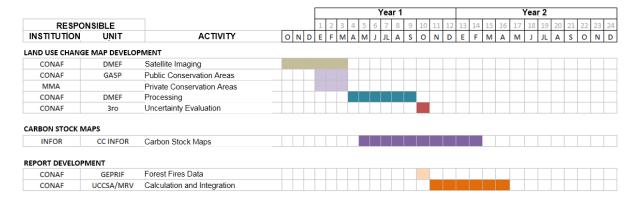


Table 28 Activity Gantt Chart

The activities described and organized in the previous Gantt chart are highlighted as shown in Figure 20, highlighting the dependence between obtaining satellite data and developing maps of changes in land use; the same satellite data and definition of forest remaining forest areas for the development of Forest Carbon Maps.

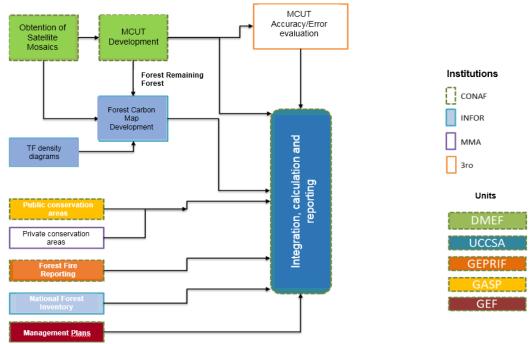


Figure 15 REDD+ reporting process general flow.

#### **Buffer Reserves**

As mentioned in the buffer guidelines of the program, there are 3 equivalent CO<sub>2</sub> allowances to be used as buffer reserves:

- 1. <u>Uncertainty Buffer</u>: Reserve associated to the uncertainty from ER estimations, which allow to manage risks from overestimated emission reductions during previously reported periods;
- 2. Reversal Buffer: Reserve that allows to offer guarantees in the face of potential reversals;
- 3. <u>Grouped Buffer.</u>: Reserve that allows to offer guarantees in the face of potential large scale reversals that exceed the amount of buffer ERs that are set aside in the reversal buffer account

Each of these percentages is calculated according to FCPF guidelines and reported in  $tonCO_2$  for each transaction, in separate accounts, by reserve type and trenches.

# 9.1 Measurement, monitoring and reporting approach for estimating emissions occurring under the ER Program within the Accounting Area

The approach applied by the country is based on the preparation of land use / land use change maps, from which the stable and changing forest areas are defined for both the reference period and the period of follow-up. The first step in the process is the preparation of the land use change map and the second is the preparation of the carbon stock map, for monitoring degradation. These are the most important points in the process. Then the information on forest fires is included, to end with the monitoring of emission factors.

## Line Diagram

A line diagram outlining the important monitoring points, parameters monitored and the integration process according to the two areas of result estimation is shown below. In addition, specific diagrams according to REDD + activity are presented in the text. The next figure shows in a summarized way, the sources of information for the generation of emissions and absorption estimations.

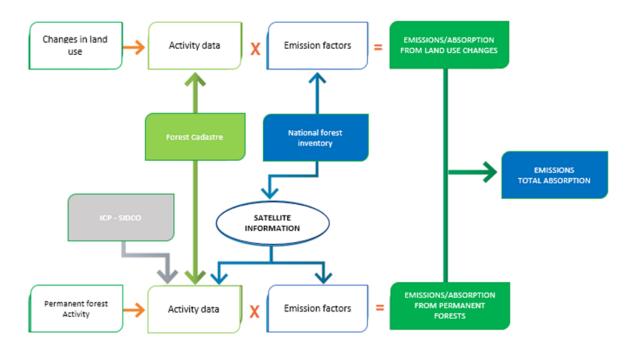


Figure 16 Sources of information for the generation of forest carbon emissions and absorption estimations.

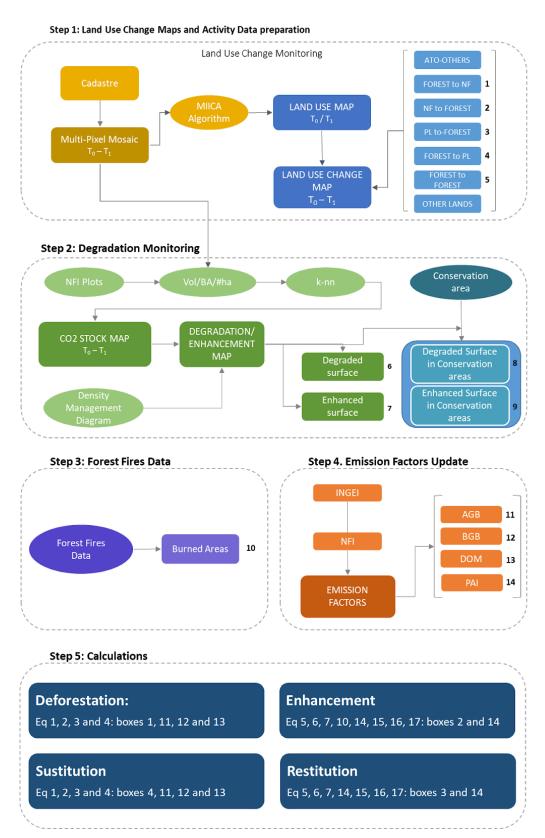


Figure 17 Methodological diagram of the Measurement and Monitoring process

## Calculation Steps

Captures and emissions are estimated in the NFMS by applying the IPCC 2006 equations, in accordance with the methodology applied by INGEI. The equations applied are the same ones presented in the ERPD, both for the reference period and the result monitoring. They are detailed below, by REDD+ activity:

### Deforestation

The methodology for calculating deforestation is based on the IPCC 2006 equations for forest lands converted into other lands, which are also used in the INGEI for calculating emissions from forests converted into other land uses. Above ground biomass, below ground biomass and DOM reservoirs are included.

## **Equation 37. Estimation of Deforestation**

$$FREL_{Def} = \frac{\sum_{t}^{n} \Delta C_{B_{t,Def}}}{p} * \frac{44}{12}$$

#### Where:

- Def = annual average carbon stock losses in forest lands converted into non − forest during the reference and monitoring period, in ton CO₂e year¹
- CBt, Def = carbon stock change in forest lands converted into non forest in year t of the reference and monitoring period, in ton C
- p = years of the reference and monitoring period
- 44/12 = factor for converting carbon into equivalent carbon dioxide, ton CO₂e ton C¹

Tier 3 of the IPCC methodology is used in estimations of emissions from deforestation, as carbon stocks in land uses before and after conversion are specific to Chile, with conversion areas being broken down by original land cover type (Sidman et al., 2015).

As recommended in IPCC (2006), Equation 2.15 is used to calculate annual carbon stock changes in wooded lands converted into other land use categories (in the case of deforestation, any forest area converted into non – forest)

## Equation 38 [Eq. 2.15 of IPCC (2006)]

$$\Delta C_{B_{t,Def}} = \Delta C_{G_t} + \Delta C_{CONVERSION_t} - \Delta C_{L_t}$$

## Where:

- $\Delta C_{B_{t,Def}}$  = annual carbon stock change in forest lands converted into non forest in year t under deforestation activity (Def), in ton C
- $\Delta C_{G_t}$  = annual enhancement in carbon stocks due to growth in forest lands converted into non forest in year t, ton C
- $\Delta C_{CONVERSION_t}$  = initial change in biomass carbon stocks in forest lands converted into non forest in year t, in ton C
- $\Delta C_{L_t}$  = annual loss of biomass carbon stocks due to wood harvesting, firewood extraction and disturbances in forest lands converted into non forest in year t, in ton C

In this equation, changes in carbon stocks from gains and losses due to any activity other than conversion ( $\Delta C_G$  and  $\Delta C_L$ ) to net gains and losses directly due to conversion ( $\Delta C_{CONVERSION}$ ; in case of deforestation, as it generally results in a negative value due to the loss in forest carbon stocks) to calculate total changes in carbon stocks.

The NFMS of Chile includes  $\Delta C_{G_i}$ , which represents carbon captures for non – forest uses after conversion (agricultural, urban, others). This variable will be given a value of zero, as it does not impact the deforestation loss analysis.

## Equation 39 [Eq. 2.16 of IPCC (2006)]

$$\Delta C_{CONVERSION_t} = \sum_{i}^{n} \left\{ \left( B_{AFTER_i} - B_{BEFORE_i} \right) * \Delta A_{TOOTHERS_{i,t}} \right\} * CF$$

### Where:

- ΔC<sub>CONVERSION</sub> = initial change in biomass carbon stocks in forest lands converted into non forest, in ton C vear<sup>1</sup>.
- ullet  $B_{AFTER_i}$  = existence of biomass in non forest land use type i after conversion, in dry biomass tons per hectare
- $B_{BEFORE_i}$  = existence of biomass in forest type before conversion, in dry biomass tons per hectare.
- $\Delta A_{TOOTHERS_{it}}$  = forest type *i* area converted into non forest in year t, in ha.
- CF = carbon fraction in dry biomass, in tons of carbon by tons of dry biomass. 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

In the case of deforestation, these two equations can be represented with two essential inputs ( $\Delta A_{TO\_OTHERSi}$ ), frequently called activity data (AD) and the amount of carbon stocks emitted due to conversion ( $B_{AFTERi}$  -  $B_{BEFOREi}$ ), frequently called emission factors (EF). Parameters  $B_{AFTERi}$  and  $B_{BEFOREi}$  only include above and below ground biomass, so DOM is included by adding parameter  $\Delta C_{DOM}$  calculated according to the following equation:

### Equation 40 [Eq. 2.23 of IPCC (2006)]

$$\Delta C_{DOM_t} = \frac{(C_n - C_o) \times A_{on_t}}{T_{on}}$$

### Where:

- $\Delta C_{DOM_t}$  = DOM carbon stock change in year t, ton C.
- $C_n$  = dead wood and DOM carbon stocks in non forest land use after conversion, ton C year<sup>-1</sup>.
- C<sub>o</sub> = dead wood and DOM carbon stocks in forest before conversion into non forest, ton C year<sup>-1</sup>.
- $A_{on_t}$  = area converted from forest into non forest in year t, hectares.
- $T_{on}$  = time period for the forest into non forest transition.

In this equation  $A_{on}$  corresponds to activity data, or  $A_{TOOTHERS_i}$ , according to the parameter of previously described Equation 3. In order to simplify accounting, DOM emissions will be counted in the year of conversion (meaning  $T_{on}$  is supposed to have a value of 1).

The process for calculating emissions from deforestation is summarized in the following diagram:

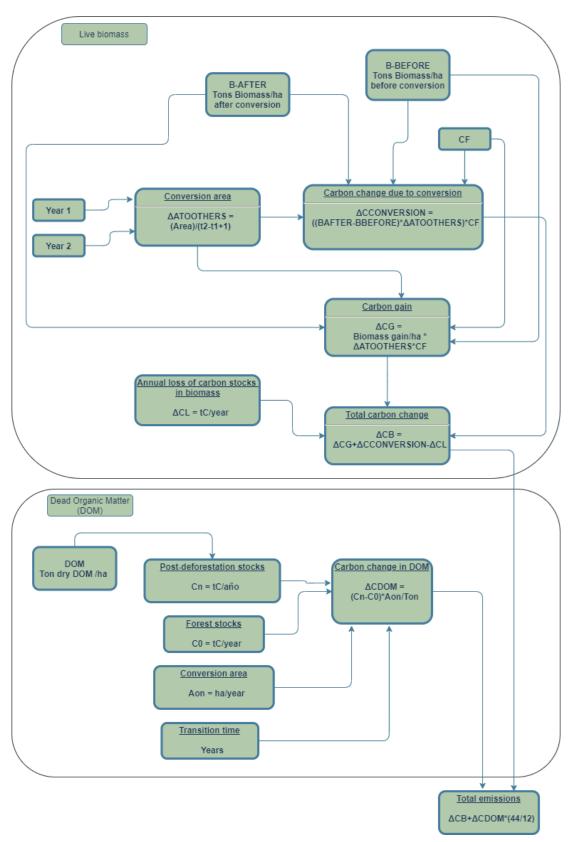


Figure 18 Step-by-step diagram for estimating emissions from deforestation.

# **Degradation from substitution**

The equation used for estimating deforestation is applied to estimate degradation in native forests converted into plantations, as it is assumed that for a plantation to be established, all carbon content in the preceding native forest must be reduced to zero. Equation 1 is used to calculate the reference and monitoring period in  $CO_2e$ . Step-by-step diagram summarizing the process is presented below:

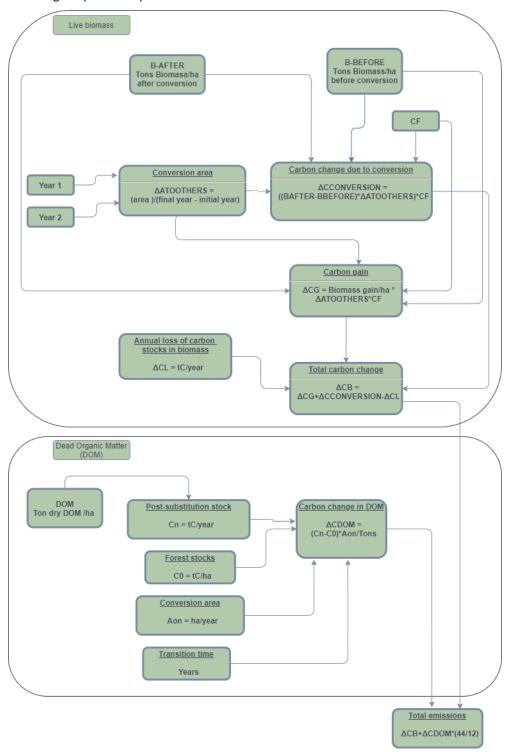


Figure 19 Step-by-step diagram for estimating emissions from Substitution.

## Forest area Restitution and carbon stock Enhancement

As in the other calculated activities, the methodology for enhancements in other lands converted into forests is consistent with the methodology used in INGEI which is based on equations 2.9, 2.10 and 2.15 of IPCC (2006).

The general equation corresponding to Tiers 2 and 3 of IPCC (2006) is 2.15, used for calculating annual changes in carbon stocks in above and below ground biomass (the only reservoirs included in enhancement estimations) and lands converted into other land uses (In this case, non – forest into forest):

#### Equation 41 [Eq. 2.15 of IPCC (2006)]

$$\Delta C_{B_{t,ANFF}} = \Delta C_{G_t} + \Delta C_{CONVERSION_t} - \Delta C_{L_t}$$

#### Where:

- $\Delta C_{B_{t,ANFF}}$  = carbon stock enhancements in year t, from non forest lands converted into forests during the reference period, under the stock enhancement activity (A), in ton C.
- $\Delta C_{G_t}$  = carbon stock enhancement due to growth in non forest lands converted into forest year t, in ton C.
- $\Delta C_{CONVERSION_t}$  = initial carbon stock change in non forest lands converted into forests in year t, in ton C.
- $\Delta C_{L_t}$  = annual carbon stock decrease due to wood harvesting, firewood extraction and disturbances in non forest lands converted into forest in year t, in ton C.

The estimations for enhancements assumes  $\Delta CL$  to be zero, due to the lack of sufficient data to quantify losses in non – forest areas converted into forest. Equation 2.16 of IPCC (2006) is used for the parameter  $\Delta C_{CONVERSION_t}$ :

## Equation 42 [Eq. 2.16 of IPCC (2006)]

$$\Delta C_{CONVERSION_t} = \sum_{i}^{n} \left\{ \left( B_{AFTER_i} - B_{BEFORE_i} \right) * \Delta A_{TOOTHERS_{i,t}} \right\} * CF$$

#### Where:

- $\Delta C_{CONVERSION_t}$  = initial carbon change in non forest lands converted into forest in year t, ton C.
- $B_{AFTER_i}$  = biomass stocks in forest type i immediately after conversion, ton m.s. ha<sup>-1</sup>.
- $B_{BEFORE}$  = biomass stocks in land type i before conversion, ton d.m. ha<sup>-1</sup>.
- $\Delta A_{TO_{OTHERS}_{i,t}}$  = non forest land use surface converted into forest in year t, ha.
- CF = carbon fraction in dry matter, ton C (ton m.s.)<sup>-1</sup> 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

For parameter  $\Delta$ CG (enhancement due to forest growth), INGEI uses IPCC 2006 Equation 2.9 for a Tier 2 – 3 calculation. Nevertheless, INGEI only uses it for lands converted into forest in the year of conversion.

Equation 2.9 of IPCC (2006) calculates annual carbon enhancements. But Equation 6 [(Eq. 2.16 of IPCC (2006)] does not consider captures converted in previous years that keep accumulating in strata "i". So it is necessary to modify equation 2.9 of IPCC (2006) in the following manner to achieve a correct accounting:

# Equation 43 [adapted from Eq. 2.9 of IPCC (2006)]

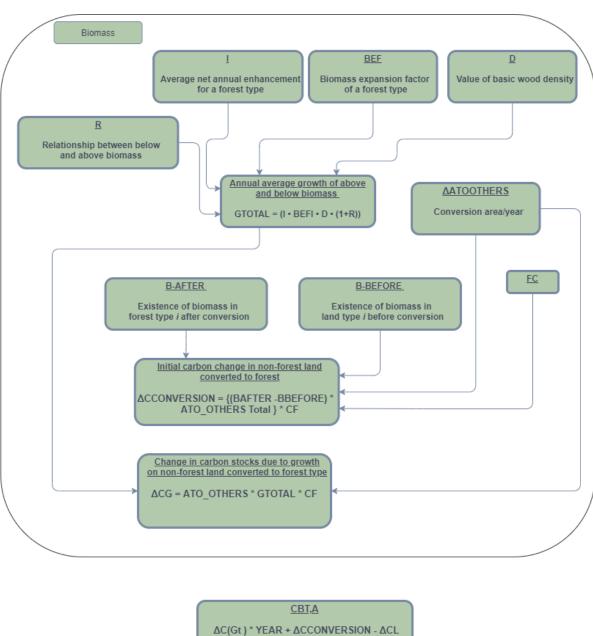
$$\Delta C_{G_t} = \sum_{i}^{n} \sum_{x}^{m} (A_{i,x} * G_{TOTAL_i} * CF)$$

# Where:

- $\Delta C_{G_t}$ = carbon stock enhancement in year t, due to growth in non forest lands converted into forest type i during the reference period, in ton C.
- $A_{i,x}$ = Area converted into forest i in year x of the reference period, ha.
- $G_{TOTAL_i}$ = annual average biomass growth in non forest lands converted into forest type i, ton d. m. ha<sup>-1</sup> year<sup>-1</sup>.
- CF = dry matter carbon fraction, ton C (ton d.m.)<sup>-1</sup> · 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

Equation 7 considers that for calculating  $\Delta C_{G_t}$  in year t, it is necessary to add captures from areas converted in each year x before year t in the reference and monitoring period, to captures from areas converted in year t. In case a forest reaches adulthood and stops capturing  $CO_2$  from the atmosphere, it should be removed from enhancement accounting. Nevertheless, this is not supposed to happen during the reference and monitoring period.

The following diagram represents step by step the calculation of removals due to increases from non-forest to forest and restitution (plantation to native forest). In the lower part, the number of years considered in the reference period is represented (13 in total), indicating that forests grow cumulatively from year 1 to year 13.



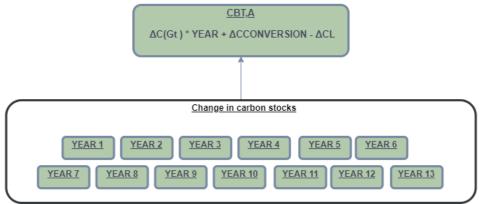


Figure 20 Step-by-step diagram for estimating removal from Enhancement.

# Forest Remaining Forest Degradation

Equation 2.8 of IPCC (2006) is used to estimate changes in carbon stocks in forest lands that remain as such due to degradation:

# Equation 44 [Eq. 2.8 of IPCC (2006)]

$$\Delta C_{B_{t,DegFF}} = \frac{\left(C_{t_2} - C_{t_1}\right)}{\left(t_2 - t_1\right)}$$

Where:

- $\Delta C_{B_{t,Deg}}$  = annual carbon stock change in forest lands that remain as such considering total area under degradation activity (*DegFF*), ton C.
- $C_{t_2}$ = total forest carbon in year t<sub>2</sub>, ton C.
- $C_{t_1}$  = total forest carbon in year  $t_1$ , ton C.

The equation is applied for the reference level accounting described in Bahamondez *et al.* (2009)<sup>45</sup>. This methodology accounts for carbon stocks at different points in time, where the difference in carbon stocks in forest lands is considered degradation in case of losses. On the other hand, INGEI uses a loss – gain method, Equation 2.7 of IPCC (2006) instead of the stock difference method found in IPCC 2006 equation 2.8, where tabular data from INFOR is integrated to estimate volume extracted through selective logging, INFOR and MINENERGIA firewood statistics, and CONAF tabular data for the surface of fires in native forest and forest plantations. According to national experts, firewood extraction data are not very robust or representative of degradation in a comprehensive manner. The methodology used in NFMS allows to achieve Approach 3 results, spatially explicit data, and is based on robust and independent sources of information.

IPCC equation 2.8 is used to calculate carbon stocks in the initial and final moments of the reference period ( $C_1$  and  $C_2$  in Equation 8):

# Equation 45 [Eq. 2.8 of IPCC (2006)]

$$C_t = A_{Dea} * EF * CF$$

# Where:

- $C_{t,i}$ = total forest carbon in year t, ton C.
- $A_{Deg}$  = degradation area in forest that remains as such, ha.
- EF= carbon stocks in forest that remains as such, ton biomass ha<sup>-1</sup>.
- *CF* = carbon fraction, t carbon t biomass<sup>-1</sup>. 0.47 is the default value as per IPCC AFOLU guidelines 2006, Table 4.3.

<sup>&</sup>lt;sup>45</sup> Bahamóndez, C., Martin, M., Muller-Using, S., Rojas, Y., Vergara, G., 2009. Case Studies in Measuring and Assessing Forest Degradation: An Operational Approach to Forest Degradation. (Forest Resources Assessment Working Paper). Forestry Department, Food and Agriculture Organization of the United Nations

# **Stock Enhancement in Forest remaining forest**

IPCC (2006) equation 2.8 was used to calculate annual stock enhancements:

# Equation 46 [Eq. 2.8 of IPCC (2006)]

$$\Delta C_{B_{t,AFF}} = \frac{\left(C_{t_2} - C_{t_1}\right)}{(t_2 - t_1)}$$

#### Where:

- $\Delta C_{B_{t,AFF}}$  = annual carbon stock change in forest lands that remain as such, considering total area under stock enhancement activity (*DegFF*), ton C year<sup>-1</sup>.
- $C_{t_2}$ = total forest carbon in year t<sub>2</sub>, ton C.
- $C_{t_1}$  = total forest carbon in year  $t_1$ , ton C.

Carbon contents in year  $t_1$  (2001) and  $t_2$  (2010) were obtained from the results of applying the methodology that allows to identify areas that were below threshold or line B at the start of the reference and monitoring period.

#### **Forest Conservation**

As explained in previous sections, emissions and removals for Forest Conservation is estimated by adding emissions from forest degradation in forest remaining forest and absorptions from the restoration of degraded forests in forest areas under formal conservation processes.

#### **Equation 47**

$$\Delta C_{B_{t,ConFF}} = \Delta C_{B_{t,AFF}} - \Delta C_{B_{t,DegFF}}$$

## Where:

- $\Delta C_{B_{t,C}}$  = carbon stock annual change in forest lands subject to formal conservation processes in year t, in ton C
- $\Delta C_{B_{t,AFF}}$  = annual changes in carbon stocks due to recovery of degraded forests in areas subject to formal conservation processes, in ton C year<sup>-1</sup>.
- $\Delta C_{B_{t,DegFF}}$  = annual changes in carbon stocks due to forest degradation in forest lands subject to formal conservation processes, in ton C year<sup>-1</sup>.

In the following diagram the summary of steps for estimating emissions and captures in forest remaining forest is presented, both for increases, degradation and conservation. As can be seen, the conservation areas correspond to a part of the forest remaining forest that is under protection. It is defined by the geographic limit that delimits the area.

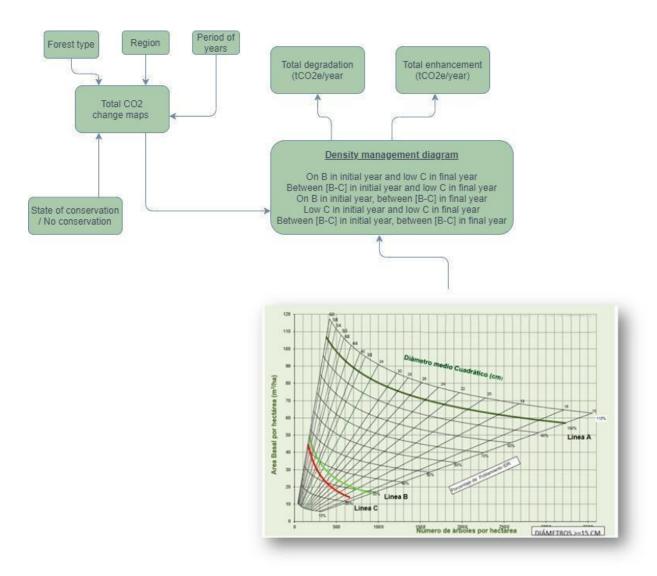


Figure 21 Step by step diagram for estimating emissions and removals from forest remaining forest.

## Non -CO<sub>2</sub> emissions from Forest Fires

The methodology by Bahamondez et al. (2009) estimates  $CO_2$  emissions in forest remaining forests. Therefore, Equation 2.27 of IPCC (2006) is used to calculate non –  $CO_2$  emissions from forest fires:

# Equation 48 [Eq. 2.27 of IPCC (2006)]

$$L_{fire} = A * M_B * C_f * G_{ef} * 10^{-3}$$

#### Where:

- $L_{fire}$  = amount of greenhouse gas emissions caused by fire, ton of each GHG gas year<sup>-1</sup>
- $A = burned surface, ha year^{-1}$
- M<sub>B</sub> = fuel mass available for combustion, ton ha<sup>-1</sup>.
- $C_f$ = combustion factor, no dimension. The value applied is 0.45 according to IPCC 2006.
- $G_{ef}$  = emission factor, g kg<sup>-1</sup> of burned dry matter. Emission factors used for the equation is 4.7 for CH<sub>4</sub> and 0.26 for N<sub>2</sub>O.

Equation 14 is used to convert  $L_{fire}$  into CO<sub>2</sub>e which is necessary for Equation 13:

# **Equation 49**

$$GEI_{fire} = L_{fire} * CF$$

# Where:

• CF = conversion factor of non no-CO<sub>2</sub> gas into CO<sub>2</sub>e, ton gas no-CO<sub>2</sub> ton CO<sub>2</sub>e<sup>-1</sup>. CF value is 25 for CH<sub>4</sub> and 298 for N<sub>2</sub>O, according to IPCC 2006.

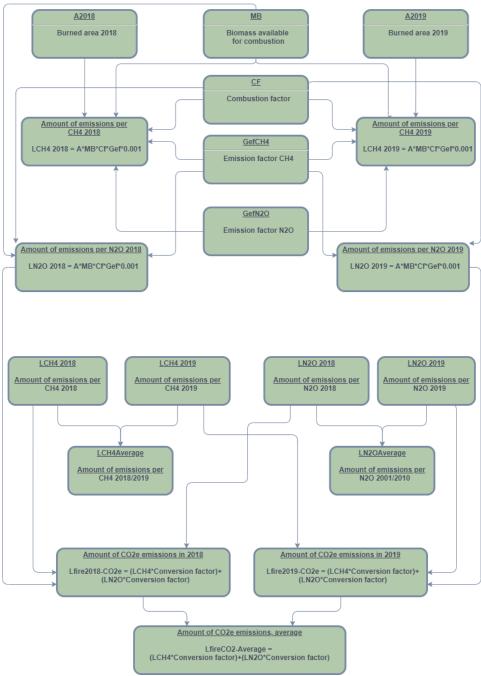


Figure 22 Step by step diagram for estimating emissions from forest fires.

#### **Emission Factors**

#### **Deforestation**

# Carbon stocks before deforestation (BBEFORE)

Forest carbon stocks before deforestation were obtained from the information base of the INGEI of Chile. These numbers are derived from the national forest inventory in order to reach a Tier 3 living Above ground biomass estimation. Estimations are stratified by forest type to obtain carbon contents before deforestation. Information of changes in land use was updated to include forest type data.

Above and below ground biomass (BBEFORE in Equation 3 and 6) along with DOM (Co in Equation 4) are obtained from the GHG national inventory. Under deforestation accounting, harvested wood products (HWP) carbon stocks are supposed to be zero, due to the lack of reliable sources of data for distinguishing between HWP due to deforestation and HWP due to degradation.

## Carbon stocks after deforestation (BAFTERi)

INGEI uses IPCC (2006) default values for BAFTERi, but these values are supposed to be the non – forest land use growth that really corresponds to  $\Delta$ CG. For FREL estimations, carbon stocks directly after deforestation in deforested lands will be assumed to be zero.

#### Changes in carbon stocks other than deforestation events (ΔCG and ΔCL)

Post – deforestation carbon stocks ( $\triangle$ CG) are determined in one of two ways:

- Values taken from a literature review of non forest carbon stocks, ideally studies conducted in Chile (such
  as Gayoso 2006). If these studies are not available, data from other regional studies (Temperate South
  America under similar management regimes) can be used. This is the preferred method, representing a Tier
  2 or 3 approach.
- When these values are not available, IPCC (2006) default values can be used. This is the method being currently used by the INGEI but represents a Tier 1 method.

Losses due to wood harvesting, firewood extraction and disturbances ( $\Delta$ CL) are supposed to be zero in deforestation areas, using the same assumption as INGEI

#### **Degradation from Substitution**

Carbon stock estimations derived from National Inventory plots and other carbon stock studies in other land uses are utilized for the emission factors in changes from native forest into plantation. Biomass stock estimations in plantations are assumed to be zero (0), as stocks in the native forest are supposed to have been reduced to zero before the establishment of the plantation.

# **Forest Surface Enhancement and Restitution**

The value of  $B_{AFTER_i}$  in Equation 7 is supposed to be zero for agricultural lands and urban – industrial areas, as carbon stocks in non – forest land use converted into forest have been removed before forests are established. For natural land uses, mainly grasslands and scrubs,  $B_{AFTER_i}$  is supposed to be equal to  $B_{BEFORE_i}$ , as no clearing or cleaning processes are supposed to take place in those lands before the forest is established, but rather are naturally converted into forest without losing initial carbon stocks. Carbon stocks in  $B_{BEFORE_i}$  are equivalent to carbon stocks in non – forest land use. National or regional scientific reports such as Gayoso (2006) which have estimated carbon stocks in non – forest land uses are used for these stocks.

In Equation 7,  $G_{TOTAL_i}$ , average annual biomass growth per hectare for each forest type is calculated through Equation 14 (modified from Equation 2.10 in IPCC 2006).

# Equation 50 [adapted from Eq. 2.10 of IPCC (2006)]

$$G_{TOTAL} = \sum_{i} (I_{vi} * BCEF_i * (1 + R_i))$$

#### Where:

- Gtotal = average annual above and below ground biomass growth, ton d. m. ha<sup>-1</sup> year<sup>-1</sup>.
- I = annual average net increase for one forest type, m³ ha⁻¹ year⁻¹.
- BCEF<sub>1</sub> = biomass expansion and conversion factor for the conversion of annual net volume increase into Above ground biomass growth for one forest type, tons of aerial growth (m³ of annual average increase)<sup>-1</sup>.
- R = relation between above and below ground biomass for one forest type in ton m.s of below ground biomass (ton m.s. of Above ground biomass)<sup>1</sup>.

Annual average net increase values, I, are gathered in the INGEI data set, based on data from national forest inventory, which estimates values for the following forest types: Alerce, Ciprés de las Guaitecas, Araucaria, Ciprés de la Cordillera, Lenga, Coihue de Magallanes, Roble Hualo, Roble-Raulí-Coihue, Coihue-Raulí-Tepa, Esclerófilo and Siempreverde. Equation 15 is used for calculating BCEF:

# **Equation 51**

$$BCEF_i = BEF_i * D_i$$

#### Where:

- BEF<sub>i</sub>= Biomass expansion factor for one forest type. This factor expands total above ground biomass value to offset the non marketable components of the increase, no dimension.
- $D = \text{basic wood density value, ton m}^{-3}$ . The value applied for D is 0.496166.

The biomass expansion factor, BEF<sub>I</sub>, and wood basic density value, D, come from the INGEI data set, having a BEF<sub>I</sub> value for native forests, not classified by forest type, by Gayoso et al (2002). Likewise, there is only one wood density value for native species, without INGEI defining the original source, which is used for ensuring consistency with the INGEI. The relation between above and below ground biomass in native forests, R, was estimated by Gayoso et al (2002) and is found in the INGEI data set. The value applied for R is 0.2869.

#### Forest remaining forest

Emission factors for degradation in forests remaining forests, carbon content enhancement from restoration of degraded forests, and forest conservation all use the same methodology.

The emission factors come from the national forest inventory, which is the basis for the methodology. The methodology determines a basal area or each forest hectare in  $t_1$  and  $t_2$ . The total volume of each hectare is calculated based on this data:

# **Equation 52**

$$Vol = KAB^{\beta}$$

# Where:

- Vol= Volume of trees in forest, cubic meters ha<sup>-1</sup>.
- AB= Basal area square meters ha<sup>-1</sup>.
- K= constant, value of 2,9141.

•  $\beta$  = constant, value of 1,2478.

To convert volume into CO<sub>2</sub> for its use in equation:

#### **Equation 53**

$$EF = Vol * D * BEF$$

Where:

- EF = carbon stocks in forests that remain as such, ton biomass ha<sup>-1</sup>.
- Vol = Volume of trees in forest, cubic meters ha<sup>-1</sup>.
- D = average forest density, tons meters<sup>-3</sup>.
- *BEF* = biomass expansion and conversion factor for the conversion of annual net volume increase (bark included) into above ground biomass growth for one forest type, above ground biomass growth in tons (m<sup>3</sup> of average annual increase)<sup>-10</sup>.

#### CALCULATION OF ANNUAL EMISSION HISTORICAL AVERAGE DURING THE REFERENCE PERIOD

# **Forest Degradation**

There are two sub activities under the degradation activity, according to the definitions:

- 3. Degradation in forest remaining forest.
- 4. Degradation from Substitution.

Various methodologies are used for each sub – activity type as previously described and justified, to calculate FREL, adding different methodologies and reference periods in ton CO<sub>2e</sub>, using the following equation:

# **Equation 54**

$$FREL_{Deg} = \frac{(\sum_{t}^{n} \Delta C_{B_{t,DegFF}} + \sum_{t}^{n} \Delta C_{B_{t,DegFNF}}) * \frac{44}{12} + \sum_{t}^{n} GEI_{fire}}{p}$$

Where:

- $FREL_{Deg}$  = carbon stock annual average losses due to forest degradation during the reference period, in ton C year<sup>-1</sup>.
- $\Delta C_{B_{t,DegFF}}$  = carbon stock change in forest lands that remain as such in year t of the reference period, in ton C.
- $\Delta C_{B_{t,DegFNF}}$  = carbon stock change in forest lands converted into arborescent scrub or plantations in year t of the reference period, in ton C.
- $GEI_{fire}$  = Amount of non-CO<sub>2</sub> gas emissions from forest fires, ton CO<sub>2</sub>e.
- p = years of the reference period.
- $\frac{44}{12}$  = factor for converting carbon into equivalent carbon dioxide, ton CO<sub>2</sub>e ton C<sup>-1</sup>.

#### **Forest Carbon Stock Enhancement**

Captures associated to areas that change from non – forest into forest, along with captures from forest areas that remain as such are accounted under the stock enhancement category.

- 3. Forest surface restitution and enhancement.
- 4. Restoration of degraded forests

Likewise, FREL activity data regarding forest carbon stock enhancements is estimated using differentiated methodologies for forests that remain as such and the identification of non – forest areas converted into forests, just as in the degradation FREL.

# **Equation 55**

$$FRL_A = \frac{\left(\sum_{t}^{n} \Delta C_{B_{t,ANFF}} + \sum_{t}^{n} \Delta C_{B_{t,AFF}}\right) * \frac{44}{12}}{p}$$

Where:

- $FRL_A$  = annual average carbon stock increase during the reference period, in ton CO<sub>2</sub>e year<sup>-1</sup>.
- $\Delta C_{B_{t,ANFF}}$  = carbon stock change in year t, from non forest lands converted into forest during the reference period, under the stock enhancement activity (A), in ton C.
- $\Delta C_{B_{t,AFF}}$  = annual carbon stock change in forest areas that remain as forest, considering total area, in ton C year<sup>-1</sup>.
- p = years of the reference period.

# Parameters to be monitored

a) Deforestation activity

Parameter:	$\Delta A_{TO\_OTHERSi,t}$ = Areas of different Forest Types (i) converted to another category of land use during the 2018 – 2019 period.				
Description:	Chile has eleven different Native Forest Types in the PRE area.				
Data unit:	Total hectares (ha) of the 2018-2019 period.				
Value monitored during this	Forest Type Area				
Monitoring / Reporting Period:	Alerce 48				
	Araucaria 107				
	Ciprés de la Cordillera 191				
	Ciprés de las Guaitecas	6			
	Coihue - Raulí - Tepa	1,461			
	Coihue de Magallanes	104			
	Esclerófilo	1,848			
	Lenga	1,404			
	Roble - Hualo	134			
	Roble - Raulí - Coihue	5,702			
	Siempreverde	5,667			
	Without Forest Type	1,583			
	Total (ha)	18,255			
Source of data and description	Matrixes of change in land use taken fro	om Land-use change maps. Regional,			
of measurement/calculation	characterized by stunted, mature, your	ng mature, mixed and young forests			
methods and procedures	converted into areas with no veget				
applied:	waterbodies, areas where succulents, v	•			
	and glaciers, grasslands and farmland have formed.				
QA/QC procedures applied:	SOP_02_LULUCF Maps Elaboration				
	QAQC_02_Review and rectification of LULUCF maps ERPA				
	SOP_03_ Standardization and quality control protocol for land use change				
	maps				
	QAQC_03_Standardization and Quali	ty control for land use change			
	maps_ERPA				
Uncertainty for this parameter:	The uncertainty of land-use change m	aps is estimated by comparing the			
	results of the land-use change catego	ory on the map and the reference			
	observations corresponding to a sampl	e of visually interpreted points. The			
	errors related to land-use change are ca	Iculated following the Good Practice			
	Guidelines for estimating the precision o	f change of use described in Olofsson			
	et al. (2013) and the estimator of the	total area using the separate ratio			
	estimator (formulas from sec. 6.10 of N	V.G. Cochran, Sampling Techniques,			
	3rd Edition, 1977)				
	Error = Maule 111.3%, Ñuble 72.3%, Bio	bío 109.6%, Araucanía 191.6%, Los			
	Ríos 96.6%, Los Lagos 89.1%				
Any comment:					
1					

b) Degradation – native forest that remains as such

Parameter:		tion of forests t	that remain as forests monitored		
	during the 2018 - 2019 pe				
Description:	The area was described by degradation of native forest that remains as such,				
	in areas not affected by b	rowning (NBA).			
Data unit:	Total hectares (ha) of the	2018-2019 perio	od.		
Value monitored during this	_	Region	Area		
Monitoring / Reporting Period:		Maule	66,869		
		Ñuble	22,583		
		Biobío	34,262		
		La Araucanía	47,666		
		Los Ríos	29,142		
	_	Los Lagos	90,428		
		Total	290,950		
Source of data and description of			al Forest Inventory (IFN) plots,		
measurement/calculation	combined with spectra	l information	from the Landsat series. This		
methods and procedures	information integrates the	e variables of the	state of the forests on the number		
applied:	of trees per hectare, basa	al area and volun	nes recorded by the monitoring of		
	IFN plots, with the spectral data from Landsat to estimate carbon stocks in a				
	spatially explicit way.				
	The estimate of the variation in carbon content on forests that remain as				
	such for FREL/FRL and monitoring report for Degradation, Restoration of				
	Forest remaining forests and Forestry Conservation activities are estimated				
	=	· ·	Continuous Inventory of Forest		
			e sensing techniques on LANDSAT		
	satellite images.				
QA/QC procedures applied:	SOP_05: Method for estin	nating carbon var	riations in forest remaining forests.		
	QAQC_05_Forest Carbon	Flux estimation a	assessment_ERPA		
	SOP_06_Field Operation Manual				
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.				
	Error: 32.8%				
Any comment:					

c) Degradation - Substitution activity

c) Degradation - Substitution						
Parameter:	=	$A_{DegNFF}$ = Surface of degradation areas resulting from the conversion of forests into plantations during the 2018 – 2019 period.				
Description:	The total of areas by forest type that was degraded to plantation were registered					
Data unit:	Total hectares (ha) of the 2018 – 2019 period.					
Value monitored during this	Forest Type Area					
Monitoring / Reporting Period:	Alerce 0					
	Araucaria	1				
	Ciprés de la Cordillera	43				
	Ciprés de las Guaitecas	0				
	Coihue - Raulí - Tepa	130				
	Coihue de Magallanes	0				
	Esclerófilo	1,254				
	Lenga	57				
	Roble - Hualo	168				
	Roble - Raulí - Coihue	5,656				
	Siempreverde	1,164				
	Without Forest Type	5,903				
	Total (ha)	14,377				
Source of data and description of measurement/calculation methods and procedures applied:	To estimate degradation of native forests converted to plantations, the equation used to estimate deforestation is applied, since it is assumed that, to establish a plantation, all the carbon content present in the preceding native forest must be reduced to zero.					
QA/QC procedures applied:	SOP_02_LULUCF Maps Elaboration					
	QAQC_02_Review and rectification of LULUCF maps ERPA					
	SOP_03 Standardization and quality control protocol for land use change maps  QAQC_03_ Standardization and Quality control for land use change maps_ERPA					
Uncertainty for this parameter:	The uncertainty of land-use change maps is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013) and the estimator of the total area using the separate ratio estimator (formulas from sec. 6.10 of W.G. Cochran, Sampling Techniques, 3rd Edition, 1977).  Error = Maule 191.8%, Ñuble 139.9%, Biobío 134.9%, Araucanía 113.4%, Los Ríos 138.6%, Los Lagos 100.0%.					
Any comment:						

d) Degradation – Forest fire activity

Parameter:	A = Area burned	A = Area burned between 2018 and 2019 in the ERP Regions.				
Description:	The surface of burned areas was recorded to estimate the degradation of the native forest.					
Data unit:	Total hectares (h	na) of the 2018-20	19 period.			
Value monitored during this Monitoring / Reporting Period:	2018's Region/year area 2019's area					
,,		Maule	599	1,759		
		Biobío	148	235		
		Araucanía	502	3,005		
	Los Lagos 95 638					
		Los Ríos	61	222		
		Ñuble	66	404		
	Total (ha) 1,472 6,262					
Source of data and description	The Forest Fire F	Protection Departr	ment and it	s Digital Informa	ation System for	
of measurement/calculation	Operations Con	trol ( <u>SIDCO</u> ), prov	rides annua	lized statistical	information on	
methods and procedures	the occurrence	of forest fires for	the entire	country, which	in recent years	
applied:	has been improved by adding the spatial location of fires.					
QA/QC procedures applied:	SOP_07_ForestFire_Polygons					
Uncertainty for this parameter:	Area burned uncertainty estimated by INGEI (2020)					
	Error: 15%					
Any comment:						

e) Enhancement activity – No forest to native forest

Parameter:	$\Delta A_{TOOTHERSi, t}$ = Non-forest land use area convergeriod	ted to forest during the credit			
Description:	The areas that correspond to non-forest lands were quantified in hectares to later estimate the carbon capture balances of these land changes use. In this data forest plantations with exotic species are included as non-forest land.				
Data unit:	Total hectares (ha) of the 2018-2019 period.				
Value monitored during this	Forest Type	Area			
Monitoring / Reporting Period:	Alerce	0			
	Araucaria	178			
	Ciprés de la Cordillera 42				
	Ciprés de las Guaitecas 0				
	Coihue - Raulí - Tepa 171				
	Coihue de Magallanes	0			
	Esclerófilo 1,171				
	Lenga 1,947				
	Roble - Hualo 5				
	Roble - Raulí - Coihue	6,921			
	Siempreverde	2,252			

	Without Forest Type	3	
	Total (ha)	12,690	
Source of data and description of measurement/calculation methods and procedures applied:			
QA/QC procedures applied:	SOP_02_LULUCF Maps Elaboration SOP_03 Standardization and quality control maps QAQC_02_Review and rectification of LULUCF QAQC_03_ Standardization and Quality comaps_ERPA	maps ERPA	
Uncertainty for this parameter:	The uncertainty of land-use change maps is results of the land-use change category on observations corresponding to a sample of vierrors related to land-use change are calculate Guidelines for estimating the precision of Olofsson et al. (2013) and the estimator of the ratio estimator (formulas from sec. 6.10 Techniques, 3rd Edition, 1977).  Error = Maule 80%, Ñuble 50.5%, Biobío 136.58.	the map and the reference isually interpreted points. The ed following the Good Practice change of use described in e total area using the separate of W.G. Cochran, Sampling	
Any comment:			

f) Enhancement activity – forest remains forest in non-conservation areas

Parameter:	Drest remains forest in non-conservation r A <sub>Enher</sub> = Areas of non-conservation r	native forest that remains forest during			
raiameter.	the 2018– 2019 period for the six Region of the ERP				
Description:	The number of hectares of forest that remains as forest during the period				
	2018 - 2019 was estimated, in non-c	onservation areas considering that they			
	are not within an area affected by br	owning (NBA).			
Data unit:	Total hectares (ha) of the 2018-2019	period.			
Value monitored during this	Region	Area			
Monitoring / Reporting Period:	Maule	44,426			
	Ñuble	16,549			
	Biobío	32,686			
	La Araucan	ıía 43,622			
	Los Ríos	29,025			
	Los Lagos	96,157			
	Total	262,465			
Source of data and description	The data comes from INFOR's N	ational Forest Inventory (IFN) plots,			
of measurement/calculation	combined with spectral informat	tion from the Landsat series. This			
methods and procedures	information integrates the variables of the state of the forests on the number				
applied:	of trees per hectare and basal area recorded by the monitoring of IFN plots,				
	with the spectral data from Landsat	to estimate carbon stocks in a spatially			
	explicit way.				
QA/QC procedures applied:	SOP_05_Forest Carbon Flux estimation assessment				
	SOP_06_Field Operation Manual				
Uncertainty for this parameter:	Degradation mapping accuracy estim	nated by INFOR.			
	Error: 32.8%				
Any comment:					

# g) Conservation activity

Parameter:	$\Delta A_{TO\_OTHERSi,t}$ = areas of conservation of native forest that remains as such during the 2018 – 20109 period in the six Region of the ERP.			
Description:	Forest areas not affected by browning (NBA) within conservation areas that between 2018 and 2019 remain as forest.			
Data unit:	Total hectares (ha) of the 2018-2019 period.			
Value monitored during this	Region Area			
Monitoring / Reporting Period:		Maule	2,495	
		Ñuble	6,327	
		Biobío	10,148	
	La Araucanía 20,657			
		Los Ríos	27,247	
		Los Lagos	83,597	
		Total	150,471	

Source of data and description of measurement/calculation methods and procedures applied:	The data comes from INFOR's National Forest Inventory (IFN) plots, combined with spectral information from the Landsat series. This information integrates the variables of the state of the forests on the number of trees per hectare and basal area recorded by the monitoring of IFN plots, with the spectral data from Landsat to estimate carbon stocks in a spatially explicit way.
QA/QC procedures applied:	SOP_05_Forest Carbon Flux estimation assessment SOP_06_Field Operation Manual
Uncertainty for this parameter:	Degradation mapping accuracy estimated by INFOR.  Error: 32.8%
Any comment:	

# 9.2 Organizational structure for measurement, monitoring and reporting

# Organizational structure, responsibilities and competencies, linking these to the diagram shown in the next section

The National Forest Monitoring System (NFMS) of Chile has been established for monitoring forests in the country and operates on existing systems supported by various supporting institutions which underpin and maintain it. It is characterized by being supported by existing systems, processes and supplying data that must be completely transparent and consistent over time, which produces a functional link among its multiple building elements.

The NFMS in Chile is coordinated by CONAF, institution serving as REDD+ Focal Point to the UNFCCC (CMNUCC in Spanish) in accordance with Decision 10/CP.19. CONAF operating under the MINAGRI who gives the REDD+ Focal Point to the Climate Change and Ecosystem Services Department (DCCSE) of the Forest and Climate Change Management (GBCC, acronym in Spanish). As the REDD+ focal point, DCCSE has the responsibility of being the organization in charge of coordinating the generation and reporting of elements linked to REDD+, which includes the responsibility of coordinating the NFMS, reporting and generation for FREL/FRL and REDD+ result reports.

Inside CONAF, there are other units with the main responsibility of generating activity data. Among them, Climate Change and Ecosystem Monitoring Department (DMECC, acronym in Spanish) of the Environmental Evaluation and Oversight Management (GEF, acronym in Spanish), has a primary role for the generation of base information for the NFMS. DMECC is responsible for implementing the mandate established in Article 4<sup>th</sup> of Law No. 20,283 of 2008 on the Restoration of Native Forest and Forest Promotion, which establishes that CONAF "Will maintain a Forest Cadastre, which is to identify and establish, at least cartographically, the forest types that exist in each region of Chile, their status and those areas that have ecosystems with presence of native forests of special interest for conservation or preservation according to the criteria established in the regulation of this law. The Forest Cadastre must be updated at least every ten years and its information will be public in nature".

The Forest Cadastre, called "Cadastre of Native Forests and Vegetation Resources", is the main source of information for the development of Land – Use Change Maps, also developed by DMECC for the continuous monitoring of vegetation cover in Chile. This input feeds directly into the data of REDD+ activities and the methodology for developing use change biennial maps, to be able to comply with national reporting.

As the next figure shows, CONAF also includes the participation of the Forest Fire Protection Management (GEPRIF, acronym in Spanish) through the provision of information by means of the Operation Control Digital System (SIDCO, acronym in Spanish) and GEF using the Forestry Administration and Control System (SAFF, acronym in Spanish).

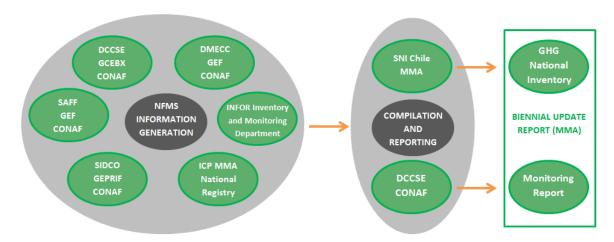


Figure 8 Organizational Structure of Chile.

Within GEPRIF, The Protection against Forest Fires Department and its Operation Control Digital Information System (SIDCO), provides annualized statistical information on the occurrence of forest fires in the entire country, with said information improving in the last few years by adding the spatial location of fires. On the other hand, SAFF provides information about the implementation of forestry management plans implemented with support by CONAF in Chilean forests. Finally, also within CONAF, The Protected Wilderness Areas Management is responsible for providing information on conservation areas in the National System of Wilderness Protection Areas or SNASPE.

Together with the CONAF units, activity data for the NFMS is provided by the Forestry Institute INFOR and the Ministry of the Environment. INFOR is established as a private law corporation, part of MINAGRI, with such institution providing public funding for the design, technological development, implementation, and execution of the National Forestry Inventory. In particular, the Forestry Ecosystems Monitoring and Inventory Department of INFOR is in charge of permanently supplying data collected both on-site and remotely, such as information that makes it possible to observe the evolution of forestry ecosystems regarding their integrity through monitoring under the pressure – state – response (PSR) scheme. From this information, information is generated about the emission factors of native forests, and the methodology to estimate forest degradation is applied.

The Ministry of the Environment is the State organ in charge of working with information provision on private conservation initiatives, for their incorporation to the accounting of areas subject to conservation. The <a href="National Registry of Protected Areas">National Registry of Protected Areas</a> was established within the MMA, which operates as an information platform where the 9 categories are considered protected areas (Marine Park, Pristine Regions Reserve, National Park, Natural Monument, Forest Reserve, National Reserve, Marine Reserve and Multiple Use Coastal Marine Areas), aside from Private Protected Areas and Community / Private Conservation initiatives, encompassing the entire national territory.

Information about emission factors for forest monitoring comes from the National Forestry Inventory or IFN, administered by INFOR which is also used by the Chilean National Greenhouse Gases Inventory System (<u>SNIChile</u>), administered by the Climate Change Office, which arises as a response to the need to inform the citizens about GHG emissions and removals in the country.

Emission factors are monitored by INFOR through inventory plots and reported annually through IFN national reports. Emission factors are applied to the NFMS by forest type and/or region, depending on the REDD+ activity evaluated.

The NFMS is responsible for generating information for the Monitoring, Reporting and Verification (MRV) System for the most part, but also the Safeguard Information System (SIS) and the Co benefits System. Also, the most direct NFMS association is established with the MRV system through the presentation, generation, and verification of FREL / FRL and technical annexes of REDD+ results.

NFMS reporting, as previously mentioned, is a direct responsibility of DCCSE as a REDD+ focal point. Based on the agreement of Decision 11 / CP.19 of 2013 in Warsaw, DCCSE takes responsibility for calculating forest related emissions and removals based on the newest guidelines and orientations of the Intergovernmental Panel on Climate Change (IPCC), supported, and driven by the CoP, forest related GEI emissions and removals reporting and verification, ensuring that data and information are transparent and coherent over time. REDD+ reporting is coherent and consistent with SNIChile.

While as of now progress has been made on the development of formal agreements that are binding within the NFMS framework, CONAF and INFOR develop joint activities in the framework of cooperative, voluntary work. In addition, MINAGRI institutionalized an inter - ministry structure that allows for the adequate organization of actions in the areas of climate change mitigation and adaptation, which was made official on the 4th of December 2017 through Exceptional Decree No. 360 of MINAGRI, that "Creates the Intra - ministry Technical Committee on Climate Change (CTICC)". CTICC is chaired by the National Director of the Office of Agriculture Studies and Policies (ODEPA, acronym in Spanish), which integrates, besides CONAF and INFOR, the Undersecretary of Agriculture, Agricultural and Livestock Service (SAG, acronym in Spanish), Institute of Agricultural Development (INDAP), National Irrigation Center (CNR, acronym in Spanish), Institute of Agricultural Development (INIA, acronym in Spanish), National Resources Information Center (CIREN, acronym in Spanish), and the Foundation for Communications, Training and Culture of Agriculture (FUCOA, acronym in Spanish), among others. The objectives of the CTICC include, according to Article 2 literal iv. "Favor and foster the generation of information and support systems for ministerial decisions that are adopted...", to support monitoring and evaluation of climate change policies and the promotion of instruments to generate structural and organization improvements on matters of climate change, which allows for the provision of explicit support for institutional organization and structuring, for purposes such as the Measuring and Monitoring System (SMM, acronym in Spanish) of the ENCCRV.

#### The selection and management of GHG related data and information

The information and data selected to be incorporated to the NFMS, have been defined by Chile in the ERPD on which the subnational FREL/FRL was established. Selected information and data remain for this monitoring report, being managed by DCCSE for the monitoring and reporting of captures and emissions.

# Land use and land use changes analysis

Land use data selected for the FREL/FRL were those coming from existing Native Forest Cadastres in regions of the Accounting Area. The information provided by the Cadastre is regularly updated by the Forest Ecosystems Monitoring Department of CONAF, describing 9 Land uses and 20 Sub — uses, along with other breakdowns by altitude, cover and structure.

Nevertheless, as indicated in Annex 4, the level of reference was corrected by incorporating spatially explicit use change data estimated based on reference maps for evaluation instances 2001 and 2013. Such maps are developed through the implementation of a semi – automated methodology for change detection that operates on Landsat images analyzed in Google Earth Engine by applying the land use definitions defined by Chile in the Cadastre of Native Forests.

# **Forest National Inventory**

The data selected for the estimation of emissions and captures from activities that occur in forest remaining forests are those indicated in the ERPD and refer to data coming from plots in the Continuous Inventory of Forest Ecosystems or National Forest Inventory by INFOR, combined with spectral information from the Landsat series. This information integrates forest state variables on the number of trees per hectare and basal area registered in the IFN plot monitoring, with Landsat spectral data to estimate carbon stocks in a spatially explicit manner.

The IFN is designed under a two stage statistical design concept, in clusters of three concentric circular plots of an area equivalent to  $500\text{m}^2$ , distributed in a 5x7 km systematic grid. The inventory is based on the generation of a first measurement cycle for permanent sampling plots covering 9.38 million hectares of native forest between the Coquimbo and Magallanes regions, completed in the 2001-2010 period, together with a second yearly basis measurement cycle under the partial replacement system with support from growth projections.

The inventory collects information on trees with Diameter at Breast Height (DBH) equal to or greater than 25 cm in the  $500 \text{ m}^2$  plot, trees with DBH equal to or greater than 8 cm in  $122 \text{ m}^2$  plots and trees with DBH equal to or greater than 4 cm in  $12.6 \text{ m}^2$  plots. At the individual level, the species, DBH, bark thickness, treetop diameter and health status. More detailed information on the total height, treetop start height, stump height, etc. is obtained for a subsample on each plot.

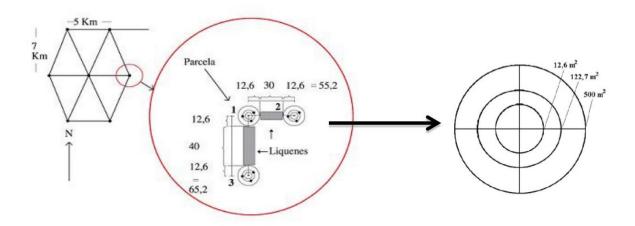


Figure 23 Continuous Inventory plot design.

Sub plots 1 m² wide are established at the plot level with the purpose of measuring all existing vegetation along with regeneration, woody debris, dead trees, etc. General descriptions are developed, reflecting observations for each cluster in each of the 3 established plots on the degree of anthropic intervention, the presence of civil works, degradation, and evolutionary state.

The estimate of the variation in carbon content on forests that remain as such for FREL/FRL and monitoring report for Degradation, Restoration of Forest remaining forests and Forestry Conservation activities is estimated based on information coming from the Continuous Inventory of Forest Ecosystems and the application of remote sensing techniques on LANDSAT satellite images. The LANDSAT earth observation program has obtained images of the terrestrial cover since 1972, through LANDSAT-1, until now, through LANDSAT – 8, being a very interesting tool for the study of temporary phenomena, as proven in many publications.

Images from the various LANDSAT missions are freely and publicly available on different platforms. For the case of NFMS, access is through Google Earth Engine (GEE), from which multi – pixel mosaics are developed.

# Satellite Information

The generation of activity data in land use change activities and change detection is developed based on spectral information from the Landsat series which characteristics, resolution, revisit, and other aspects are known and can be found in the literature.

To obtain satellite images that are representative of the beginning and end of each period, NFMS has been corrected through work with multi – pixel mosaics that require a time range for the search for cloud free images and the selection of the pixels that comprise such images. The multi – pixel mosaic is an image comprised of pixels from various images, extracted from the definition of a time range or window. The selection of each pixel seeks to define the best available information for a given area, with the priority being pixels free of clouds and shadows of such clouds.

Given the large number of clouds in the south of Chile, this time window will correspond to a range of  $\pm$  3 months for the starting date of the period and the end date of the analysis period respectively, as it corresponds to the dry season period in Chile. As an example, for the 2018 – 2019 where the starting date is January 1, 2018, the time range

or window will cover between October 1, 2017, to March 31, 2018. For the end of the period until December 31, 2019, the time range for multi – pixel mosaic estimation will address from October 1, 2019, until March 31, 2020.

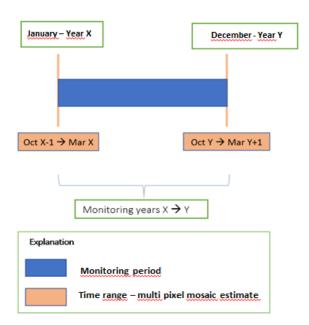


Figure 24 Example diagram for multi pixel mosaic time ranges in a monitoring period.

For pixel selection, a code is applied in GEE where median NDVI values are selected for pixels corrected to land surface. NDVI medians are selected with the purpose of not incorporating phenological states of vegetation with high photosynthetic activity or vigor, but rather selecting values that do not alter the outcome of the method application.

# Information administration and Management

The management of the information that comprises the NFMS is led by the Ecosystem Services and Climate Change Department (DCCSE) of CONAF, by means of a cloud-based infrastructure. For the development of FREL/FRL, the associated information was managed through spreadsheets stored in desktop computers, backed up in external storage devices.

Currently, data management is done through cloud storage using Gmail and OneDrive platforms. A series of folders have been organized in order to favor versioned and organized information storage, to which access is granted through various permissions to the people who participate in the estimation process. The folders are divided into base information, documents, tools, reports, and work for each of the NFMS reporting elements.

The base information provided by the DMEF and INFOR, along with the auxiliary information which feeds the monitoring, are stored in different folders that can be accessed by the working teams that generate such data. Each folder may contain different versions of the data, which is documented through files that account for the changes between versions. The versioning description is the joint responsibility of the DCCSE team and the information generating team.

The data that comprise the NFMS and allow for the generation of reports are public in nature and made available to the community through links to downloadable files in the reports published by Chile. CONAF is responsible for the information as the REDD+ focal point that generates estimates and develops the reports, therefore being the owner of their intellectual property.

CONAF has developed an information management platform associated to ENCCRV, which has incorporated a series of tools that facilitate the monitoring and follow up of activities implemented within the REDD+ framework. The

emission estimation system, which seeks to semi automate such processes, is one of the IT modules being developed. The DCCSE team has made progress migrating from the use of basic Excel tools to estimate computer programming by using PostgreSQL, GDAL and ODBC. The end goal is to have a Django based online platform which allows for the automatic operation of estimates, promoting the transparency, consistency, replicability, and reporting of information.

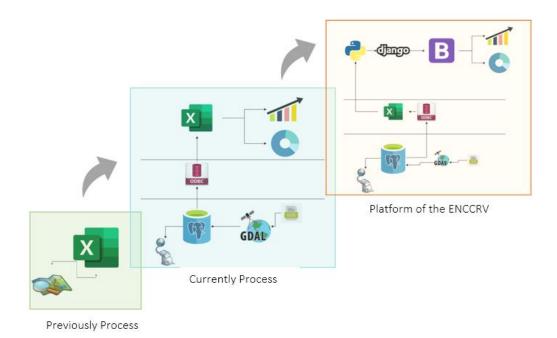


Figure 25 Evolution of NFMS support systems.

#### Processes for collecting, processing, consolidating, and reporting GHG data and information

The main processes for collecting, processing, consolidating, and reporting GHG emissions in NFMS are described below.

#### - Activity data generation processes

Activity data generation is also divided into land use change activities, obtained from use change maps, and activities on forest remaining forests, these being associated to the development of carbon flow and stock maps for forest degradation.

These two elements are generated through two base official inputs, respectively: Land use type surfaces and land use change surfaces from maps of changes in land use based on the Native Forest cadastre and Carbon content and variation in forest carbon content from the Forest Inventory. Both processes begin with the development of satellite mosaics.

## b) Land Use and Land use change map development

The development process for use and change maps has been a joint development by DCCSE and DMECC, with the purpose of defining forest related land use changes for determining REDD+ activities and sub activities. DMECC is responsible for the implementation of this process, as the unit responsible for monitoring land use changes, and the frequency of its implementation is directly related to NFMS reporting processes.

For the 2018 – 2019 monitoring period, the process considers the development of one Use Map per region for 2018 and one for 2019 based on satellite mosaics and the MIICA change detection method. Land use rasterized coverage is generated in this stage by applying the Multi – Index Integrated Change Analysis (MIICA) (Jin et al., 2013) on previously obtained multi pixel mosaics for T0 (2001) and T1 (2013). To achieve this objective, it was necessary to

establish change thresholds that ensure the spectral values register empirical changes. Change categories to be used are those mentioned in the CONAF "Methodological protocol for the development of land use and land use change maps in Native Forests as of 2016".

The change detection method is based on the combination of 2 spectral indexes (dNBR, dNDVI), which use integration rules to deliver land use change coverage, indicating the magnitude and direction of such changes (gains and losses).

The application of the MIICA methodology used multi pixel mosaics 5 and 7 for the reference period and Landsat 8 for the monitoring period and was applied through a series of codes in programming language (Javascript, R) complemented by Google Earth Engine cloud processing, SIG programs and R software.

For the specific case of Chile, it was necessary to adapt the MIICA code mainly focusing on determining empirical threshold to determine coverage changes (gains and losses) in IPCC sub uses containing vegetation of interest, that is, Native Forest, Arborescent Scrub, Scrub and Pastures. Aside from this consideration, only the dNDVI and dNBR spectral indexes will be used in accordance with the Methodological Protocol (CONAF 2016).

In order to generate statistics to establish spectral thresholds between change zones and their relationship with the indexes being evaluated, change control polygons (Ground Truth) proportional to the surface of each region are taken, then evaluated and stratified by the IPCC sub used mentioned in the previous section. These polygons are visually verified with support from the high-resolution images time series provided by Google Earth.

The resulting pixels will be transformed into points, with the purpose of using each of them to extract the values of dNDVI and dNBR indexes. In this manner, the distribution, variability and spectral convergence of data in places where verified changes occur will be established through descriptive statistics, determining spectral gain and loss thresholds.

For the generation of cut – off thresholds, a field called "TC" (change type, acronym in Spanish) is assigned to points coming from ground truth polygons, with the detected changes identified in this field, that is, if it corresponds to a spectral gain or loss. Having identified values by change type, and having such values represented in the histogram, the graph will be adjusted by standard deviation, shortening the ends of the histogram so they end up having a volume close to 75% of the data (See next figure) finally adjusting the values representing a change range for a specific macro – region.

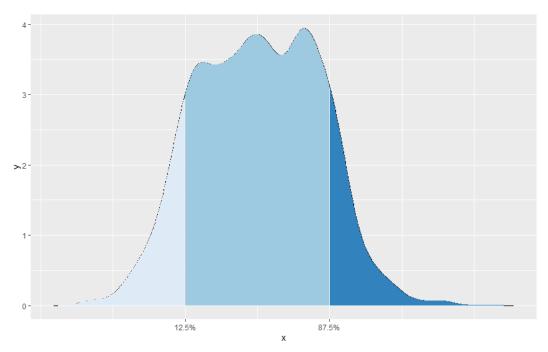


Figure 26 dNBR spectral gains histogram.

The following permanent change or land use categories are established and represented in the land use and land use change maps, according to the minimum NFMS necessary requirements:

- 07. Permanent Native Forest by forest type: Corresponds to the surface reported as Native Forest in the "Map of land use and land use changes to" which remains in the same t1 category.
- 08. Other permanent uses: Corresponds to the surface reported as land uses in the "Map of land use and land use changes to" which remains in the same t1 category.
- 09. Deforestation: Corresponds to the Native Forest surface transformed into other land uses different from forest uses between t0 and t1 maps, specifying the final land use.
- 10. Degradation: Corresponds to the Native Forest surface transformed into Forest Plantation between t0 and t1 maps, specifying the final land use.
- 11. Afforestation/Reforestation: Corresponds to the surface of other land uses different from forest uses transformed into Native Forest between t0 and t1 maps, specifying the initial land use.
- 12. Restoration: Corresponds to the forest plantation surface transformed into Native Forest between t0 and t1 maps.

The methodological process can be summarized in the stages described below, each with its different intermediate products and sub processes obtained after applying the land use change detection methodology. The general stages are as follows:

- Gain loss analysis in native forests: The main objective of this stage is to obtain native forest gain loss raster coverages through the application of the MIICA multi index method (adapted) among the mosaics obtained in the previous process.
  - An algorithm for obtaining gains and losses in Native Forests applying NDVI, NBR and dNBR indexes is developed and applied from the results of the threshold assessment.
- Land use allocation: The land use allocation to the loss vector coverage generated in the previous stage
  occurs in this stage of the process, in order to attribute use changes (Native Forest use change direction).
   Once the process has been conducted, an intersection that results in a use and use change coverage will

also be conducted, with such coverage being used in the validation process for the categorization supervised in the previous stage.

Land use change map generation: The technical report of the methodological protocol for the development
of Native Forest land use and land use change maps can be reviewed for a detailed description of the entire
process.

The following information can be obtained for the periods being analyzed from land use change maps, with spatial references allowing for spatial localization

- Forest remaining forest area, referring to the forest surface that remains as such between the initial Cadastre and its various updates.
- Deforestation area, referring to the permanent transformation of forest areas into other land uses.
- Substitution or native forest into forest plantation transformation area.
- Restoration or forest plantation into native forest transformation area.
- Forest surface enhancement area, referring for the surface of land uses other than forests, transformed into native forest
- Other land uses.

Each process implemented for a specific period generates a land use change map per region which is integrated into existing land use change map layers. Such layers are called "Trazabilidad", as they allow for the time monitoring of use changes for all polygons inside the layer.

The land use change map development process ends with the validation of the lan use and land use change maps, with the goal of validating the results obtained from higher resolution satellite images. This validation, both for the Land Use Maps and land use change maps is implemented by comparing the results achieved in maps to reference observations obtained through open-source platforms available at Open Foris: SEPAL, Open Foris Collect and its Google Earth interface, Collect Earth.

The Open Foris service consists in an open-source system which allows the visualization and interpretation of satellite images, developed by SERVIR (NASA joint program together with technical regional organizations from all over the world and the FAO) as a tool to be used in projects requiring land coverage and/or use baseline data. Its system for earth observation, access to data, processing and analysis for earth monitoring (SEPAL) allows to quickly and efficiently access and process satellite data through a set of applications for such purposes among which there are the online interface for the statistical software RStudio, used for the spatial selection of validation points in the pre – sampling stage and a Stratified Surface Estimator that allows sample size selection and validation point spatialization in the sampling process. On the other hand, Collect promotes coherence in the location, interpretation and labelling of reference data plots (ground truth) for their use in the organization, monitoring and in this case, validation of ground coverage and/or changes in use through the development of surveys that are then applied through their Google Earth interface Collect Earth, allowing to use satellite images to evaluate the actual degree of success or failure of adjusted registries and MIIICA modeling in Land Use Change Maps, replacing on – site visits and their associated costs.

The validation process starts with the implementation of a pre – sampling to estimate the accuracy of each IPCC land sub use. Since the distribution of the population the sample is taken from is not normal, it is necessary to conduct a pre sampling with a sample size n=30, allowing to approximate the distribution to a normal one. In this way, 30 points will be randomly distributed per IPCC sub land use in every region, which will result in a total of 330 pre sampling points per region.

The polygons from the use map which are overlapped are first selected from the previously obtained pre sampling points, then entered into Google Earth in .kml format so reference data (ground truth) can be collected with support from Collect Earth surveys. Both data collection and user accuracy estimation are conducted in the same way as the sampling, through confusion matrixes.

Reference data are selected based on the information obtained in the pre sampling, through a random sampling design stratified for each IPCC land sub use in each region. This sampling type also allows to report the accuracy and surface evaluated for each category in the results, aside from adjusting a sample size for each that ensures global reliability in the evaluated areas (Olofsson et al., 2014).

Surface per IPCC land sub use information in vectorial format is necessary for the sampling design, reducing map input and processing times in the server. User accuracy parameters for each land sub use are obtained from the information obtained in the pre sampling stage, which are then entered into the platform as expected user accuracy values, considering a 0.01 standard accuracy error (Olofsson et al., 2014). The surface estimator will use these inputs to calculate the total sample size, which will then be segregated among the different classes using the following equation (Cochran, 1977):

$$n = \frac{(\sum W_i S_i)^2}{\left[S(\hat{O})\right] + (\frac{1}{N})\sum W_i S_i^2} \approx \left(\frac{\sum W_i S_i}{S(\hat{O})}\right)^2$$

Where:

*n* : sample size

N: number of units in regions of interest (ROI)  $S(\hat{O})$ : standard error in the desired estimated accuracy

 $W_i$ : mapped percentage of a class i area

 $S_i$ : i strata standard deviation

$$S_i : \sqrt{U_i(1-U_i)}$$

In this way, once the total sample size is obtained, files are spatialized to be used in Google Earth. Also, the polygons in the land use map to be validated are selected and overlapped with sampling points, to then be entered into Google Earth in .kml format so reference data (ground truth) can be collected with support from Google Earth surveys.

# Activities and sub activities related to forest remaining forests

The process for estimating activities and sub activities that occur in forest remaining forests has been developed by INFOR, with the purpose of quantifying degradation and restoration in forests that remain as such. It is based on the use of National Forest Inventory plot information combined with the multi pixel mosaics developed by CONAF.

The process is based on the methodology detailed in Bahamondez et al. (2009), which considers the number of trees per hectare and basal area monitored by the inventory as input variables. It refers to spatially explicit information which location is known, by applying an interpolation process for carbon flux and stock in the analyzed periods.

Forest Inventory plots are placed in a density plot or stock chart, based on the number of trees and basal area per hectare. The density plot considers various lines or thresholds which determine, for various forest types, their status at the time of measuring. This information identifies the state of plots, distinguishing between degraded and non-degraded plots (Bahamondez, 2009)

In the case of the methodology applied in the NFMS, the B line or threshold will allow the degradation in forest remaining forests and restoration in degraded forests to be identified. Line B represent the limit at which trees can develop large treetops and completely occupy the site capacity without excessive competition (Gingrinch, 1967). The limit for this threshold was established through field work by experts and is specific for each forest type (INFOR,

2012). Line B is considered the natural resilience threshold of a forest. Plots located below the B line or thresholds are not recommended for productive work.

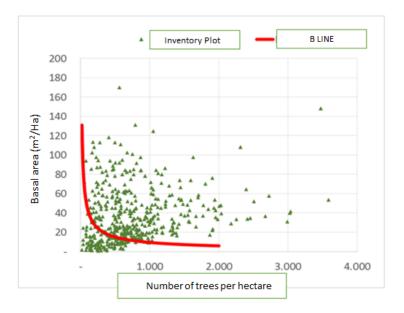


Figure 27 Density Plot and B line. Based on data generated by field measurements from the National Forestry Inventory (INFOR) used in the NFMS.

The density plot is a tool which allows the description of the state of a forest in a static moment. Nevertheless, activities and sub activities to be included in emission estimates are processes developed over time. The graph records data gathered from field work which may generate estimates, but do not contain spatially explicit information encompassing the entire area of study, as they refer to specific points in inventory plots.

The movement in the population graph caused by variations in the basal area and number of trees per hectare between two measurements is analyzed to determine which plots are subjected to degradation or restoration processes.

- Plots that move towards the starting axis of the graph, going above or staying below line B, are considered degradation plots.
- Plots that move away from the starting axis of the graph, going above or staying below line B, are considered degraded forest restoration plots.
- Plots that move above line B are not considered in emissions estimates regardless of their direction, as variations are considered as natural effects and plots are within the natural resilience threshold.

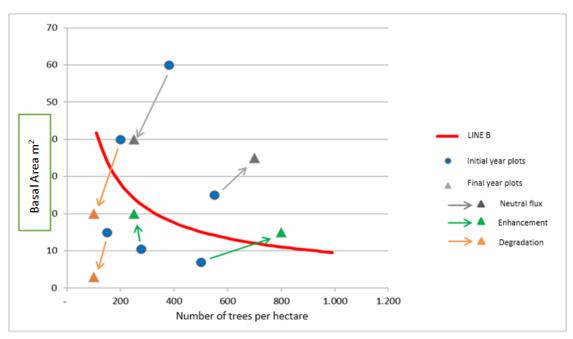


Figure 28 Example of carbon fluxes representing enhancements: (Green arrow) degradation (red arrow) and natural flux (grey arrow).

Change of position in Stock Chart	Flux of CO <sub>2</sub>	Corresponding activity
Above B in t0 and below B in t1	Emission	Degradation
Below B in t0 and below B in t1 (stock loss)	Emission	Degradation
Below B in t0 and below B in t1 (stock gain)	Absorption	Stock Enhancement
Below B in t0 and above B in t1	Absorption	Stock Enhancement
Above B in t0 and above B in t1	N/A	Not accounted

**Table 29** Possible density plot changes between two periods of time.

REDD+ activities such changes are accounted in Forest types with density diagrams available and incorporated to the degradation estimation methodology are the following: Roble – Raulí – Coihue (RoRaCo in Spanish), Siempreverde, Canelo, Lenga – Hualo (RoHua), Coihue – Raulí – Tepa (CoRaTe), Coihue de Magallanes, Spinal subtype Esclerófilo and Esclerófilo.

The application of this process starts with the integration of multi pixel mosaic satellite data into inventory plot state variables for the development of carbon content thematic maps, using the k – nearest neighbor method (k-NN) in the ER Program regions for the reference and monitoring periods. The multi pixel mosaic is then subject to a re sampling process and taken to a 1-hectare resolution, for the subsequent application of k-NN.

The content and flux estimation process are implemented on the polygons defined by the use change maps as forest remaining forest area by forest type. The forest remaining forest layer corresponding to change use maps for the period is updated for each analysis, reference or monitoring period.

K-NN is a non – parametric method that allows the interpolation of thematic surfaces of interest, in this case Volume/hectare, Basal area/hectare and Number of trees/hectares. This method considers Euclidean distance dpi,p in the space of auxiliary or explanatory variables (Landsat bands 1 to 5) as the distance between pixel p and pixel pi which contains the ground truth. Then, a certain k number of elements with ground truth showing a minimum dpi, p distance in the space of explanatory variables must be considered in such a way that:

$$d_{p_1,p},...,d_{p_k,p},(d_{p_1,p} \leq ... \leq d_{p_k,p}), k \approx 5-10$$

Using these Euclidean distances, and their rearrangement in closer k neighbors, it is possible to calculate a k set of wi weightings with i=1,k: in such a way that the linear combination of such weightings provides an estimate of the stand state vector in non-observed positions (pixels where resampling = 1 hectare). Weightings are calculated as such:

$$w_{i,p} = \begin{cases} \frac{1}{d_{p_{i},p}^{2}} \left[ \sum_{j=1,k} \sqrt{d_{p_{j},p}^{2}} \right]^{-1} & ,si & i \in \{i_{1}(p),.....i_{k}(p)\} \\ 0 & ,si & i \notin \{i_{1}(p),.....i_{k}(p)\} \end{cases}$$

Where ii(p) indicates the ground truth plots that are closer in Euclidean distances within the multidimensional space of auxiliary variables. Considering these expressions, the estimated value of the pixels not observed on site is calculated as the following linear combination:

$$y_{est} = \sum_{i=1,k} w_{i,p} y_i$$

As mentioned before the quality of the method is approximated by the value of the Mean Squared Error (RMSE) in as per the following expression:

$$\sigma_{y_{est}} = \sqrt{\frac{\sum_{i=1,k} (y_{est} - y)^2}{k}}$$

With bias according to:

$$e_{y_{est}} = \frac{\sum_{i=1,k} (y_{est} - y)}{k}$$

Standard deviation approximation is calculated as:

$$S = \sqrt{\sigma_{yest}^2 - e_{yest}^2}$$

As mentioned before, in order to execute k-NN it is necessary to have a pattern file that associates target variables (That is, Volume/hectare, Basal area/hectare and Number of trees/hectare) to their auxiliary variables (Bands 1 to 5), this file being unique for each mosaic year, and the usual format of this file is displayed in the table below:

Volume m3/ha	Basal area m2/ha	Number of trees/hectar es	b1_land	b2_land	b3_land	b4_land	b5_land
211	35	1,199	105	246	148	2,189	710
185	24	1,344	185	348	219	2,558	922
45			145	300	203	1,978	772
			306	543	346	2,793	1,133
			133	325	194	3,275	1,015
			239	509	341	3,531	1,521
			83	244	133	2,663	744
				240	133	1,346	443
				316	214	2,780	869

Table 30. k-NN pattern file example.

In addition, the execution of k-NN requires an auxiliary variable file representing all the pixels to be interpolated, as exemplified in the following table.

b1_land	b2_land	b3_land	b4_land	b5_land
105	246	148	2,189	710
185	348	219	2,558	922
145	300	203	1,978	772
306	543	346	2,793	1,133
133	325	194	3,275	1,015
239	509	341	3,531	1,521
83	244	133	2,663	744

Table 31 Auxiliary variable example file.

The result of this procedure is a thematic map corresponding to a GeoTiff file for each Stand state variable, that is Volume/hectare, Basal area/hectare and Number of trees/hectares.

Once the thematic surfaces have been obtained, it is necessary to estimate the carbon flux associated to forest remaining forests for the regions in the Accounting Area, so the position of forest stand state variables must be analyzed in the context of density management diagrams (DMD) available to date. The DMD of forest types of Roble – Raulí – Coihue, Coihue – Raulí, Tepa, Siempreverde Canelo subtype, Lenga, Roble – Hualo, Esclerófilo espinal subtype, Coihue de Magallanes and Esclerófilo are used for the regions in the ER Program.

DMDs allow to identify these, through lines C and B, as threshold values determining carbon movement within the DMD and therefore determine carbon movements in the analyzed period. According to this, forest remaining forests are classified in accordance with the alternatives described in table 29 coming from the observation of stock charts and threshold values by forest type.

- Lenga forest type

DMD for Lenga forest type corresponds to:

Line B  $G = 248.07 * N^{-0.368}$ 

Line C  $G = 352 * N^{-0.485}$ 

With: G: basal area  $(m^2 \cdot ha^{-1})$ N: density  $(N \cdot ha^{-1})$ 

- Siempreverde forest type, Canelo subtype

DMD for Siempreverde Canelo subtype corresponds to:

Line B  $G = 417.43 * N^{-0.51}$  Line C  $G = 646.75 * N^{-0.618}$ 

With: G: basal area  $(m^2 \cdot ha^{-1})$ N: density  $(N \cdot ha^{-1})$ 

- Roble-Raulí-Coihue forest type

DMD for Roble-Raulí-Coihue corresponds to:

Line B  $G = 974.6 * N^{-0.67}$ Line C  $G = 866.31 * N^{-0.731}$ With: G: basal area (m²·ha-¹)

N: density (N·ha<sup>-1</sup>)

- Coihue-Raulí-Tepa forest type

DMD for Coihue-Raulí-Tepa corresponds to:

Line B  $G = 268.93 * N^{-0.424}$ Line C  $G = 514.27 * N^{-0.61}$ 

> With: G: basal area  $(m^2 \cdot ha^{-1})$ N: density  $(N \cdot ha^{-1})$

- Roble-Hualo forest type

DMD for Roble-Hualo corresponds to:

Line B  $G = 376.41 * N^{-0.525}$ Line C  $G = 1,029.30 * N^{-0,779}$ 

With: G: basal area  $(m^2 \cdot ha^{-1})$ N: density  $(N \cdot ha^{-1})$ 

- Esclerófilo forest type with Espinal subtype

DMD for Esclerófilo forest type with Espinal subtype

Line B  $G = 30.507 * N^{-0.343}$  Line C  $G = 54.894 * N^{-0.508}$  With: G: basal area (m²-ha

With: G: basal area  $(m^2 \cdot ha^{-1})$ N: density  $(N \cdot ha^{-1})$ 

- Coihue de Magallanes forest type

DMD for Coihue de Magallanes forest type

Line B  $G = 1,446.8 * N^{-0.656}$ Line C  $G = 4,506.1 * N^{-0,900}$ 

With: G: basal area  $(m^2 \cdot ha^{-1})$ N: density  $(N \cdot ha^{-1})$ 

- Esclerófilo forest type

DMD for Esclerófilo forest type.

Line B  $G = 210.21 * N^{-0.405}$ 

Line C 
$$G = 250.46 * N^{-0.463}$$
 With: G: basal area (m²-ha-¹) N: density (N·ha-¹)

Carbon flux is determined by observing if the values associated to each pixel remain in the same position or are relocated in the density diagram regarding threshold lines for the analysis period. The amount of carbon associated to the flux comes from the expansion of Volume/hectare to equivalent CO<sub>2</sub>, applying biomass expansion factors.

As an outcome of the process, carbon stock and flux maps are obtained with 1-hectare output resolution pixels that contain the following information in their attribute table:

- Chart: refers to the movement of the pixel in the density diagram
- CO<sub>2</sub> period 1: initial year stock content
- CO<sub>2</sub> period 2: final year stock content
- Cam CO<sub>2</sub>: changes in CO<sub>2</sub> or carbon flux
- Ca\_ras\_erp: conservation area characterization
- TF: forest type
- Region: from El Maule to Los Lagos.

The following figure represents an example of a stock map, 2001 – 2010 period for the regions in the Accounting Area.

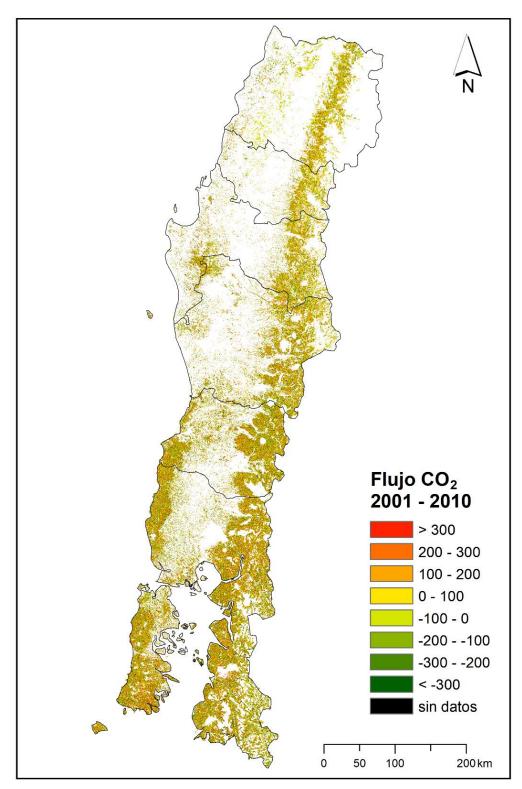


Figure 29 CO2 flux map between 2001 and 2010 in the temperate forests of Chile.

#### GHG data and information consolidation and integration

The previously described inputs are integrated into geospatial relational databases associated to spreadsheets, which pick up and systematize information about activity data and emission factors for the inputs generated following a structure in accordance with the necessities of REDD+ activities.

DCCSE is responsible for the consolidation of information, integration, emissions and captures estimation for REDD activities, with such consolidation being implemented by the MRV Monitoring, Reporting and Verification team. The use of semi-automated software tools has the main goal of minimizing human errors, increasing result consistency and transparency through the association between these spreadsheets and a PostgreSQL database. This process also adds improvements concerning result check and update times.

The protocol for estimate integration and execution, aside from the spreadsheets summarizing estimate results divided by REDD+ activities integrating results for the updated monitoring period and FREL/FRL can be found as an annex to this document.

The data integration process operates on the PostgreSQL database server, to which the main and auxiliary NFMS information, land use change maps, carbon content map and estimate parameters are loaded. Change map vectorial coverages are used in .gdb formats, while rasters are integrated from map associated .dbf files.

Information entry to the database for estimate execution is supported by a series of geographic and topologic validation rules, data attributes and content, in order to avoid subsequent errors during estimations or errors and inconsistencies in the results. Data is repaired, corrected, and prepared for integration during these validations. Among all the processes being run, one of the most important tones is the estimation of surfaces per REDD+ activities, equivalent to activity data. As an example, the following table displays database tables for running estimations of activity surfaces:

Activity	Sub activity	Table name
Enhancement	Forest surface enhancement	increase_cut
		loss_increase
	Forest remaining forest enhancement	g_mov_pre_2001_2010
		g_mov_pre_2018_2019
Deforestation		deforestation_cut
Degradation	Substitution	substitution_cut
	Fires	Fire_stat
	Degradation in forest remaining forest	g_mov_pre_2001_2010
		g_mov_pre_2018_2019
Conservation		g_mov_pre_2001_2010
		g_mov_pre_2018_2019

**Table 32** Databases for running activity surface estimations.

Each of these tables contains an attribute called sup\_ha, which is fed by the field of the same name sub\_ha from land use change map tables according to a set of conditions. For example, the SQL query for deforestation activities is as follows:

 $\label{lem:update} \begin{tabular}{ll} $UPDATE$ deforestation\_cut SET (sup\_ha)= (SELECT sum(mh\_maule\_0113161719\_v011\_p01\_20210519\_mrv.shape\_area/10000) \\ AS area\_ha FROM mh\_maule\_0113161719\_v011\_p01\_20210519\_mrv. WHERE id\_uso\_01='04' AND id\_sub\_01='02' AND id\_tifo\_01='01' AND id\_est\_01='01' AND id\_use\_13='01') WHERE id\_region=7 AND id\_tipo\_defor=1 AND id\_period=1; \\ \end{tabular}$ 

This query can be translated as "Update field sup\_ha of the deforestation\_cut table with the value of the sum of the shape\_area column divided by 10,000 of the mh\_maule\_0113161719\_v011\_p01\_20210519\_mrv table where as of 2001 land use was forest, the sub use was native forest, forest type was Larch, structure was adult, and that use changed to urban and industrial areas in 2013. Add the sum of the entire surface with the conditions described to the deforestation cut table where region is Maule and id 1 (First tuple) and the period 2001 – 2013.

The process is similar for the other activity/sub activity tables, that is, the value of the surface field for the activity/sub activity tables corresponding to each tuple is updated through a set of SQL conditions on the MCUT tables.

Finally, the data uploaded to postgreSQL interacts with Excel in order to run the estimation process. This connection takes place through the ODBC Open Database Connectivity connector. The data is then entered into the excel spreadsheets where result estimation is run.

#### Systems and processes that ensure the accuracy of the data and information

The NFMS has a series of processes established to ensure the accuracy of information, which also contribute to improving the accuracy, transparency integrity, consistency and comparability of estimations conducted. Some of these processes have been documented, but others are still in the process of elaboration.

#### Land use change maps quality control

The quality control process for use change maps has the main objective of identifying discrepancies and inconsistencies in the results obtained. Considering a semi-automated methodology where some stages are executed through programming code is applied, it is necessary to perform checks on the end product.

Quality control has been developed by DCCSE to be applied to the products delivered by the DMECC about change maps and is mainly focused on detailed map database and attribute reviews, along with layer geometry. Once discrepancies have been identified, they are submitted to the team responsible for their resolution, and then it must be verified if such discrepancies still remain. It is also necessary to document all methodological steps applied, in such a way that a record of continuous improvement is established.

#### Methodological protocol for the development of land use maps and land use change maps in Native Forests

The objective of this protocol is to describe all procedures, inputs, data sets and methodological steps needed to generate thematic cartography and statistical reports at the technical level on land use extension, distribution and coverage changes from the digital processing of satellite images, to allow for their replication and reconstruction. Some of its specific objectives are:

- Establish a methodology for the evaluation of land use and land use changes in Native Forests with biennial frequency.
- Generate use and change (directionality) coverages for Native Forest land use in the Maule, Biobío, Araucanía, Los Ríos and Los Lagos regions.

#### Protocol for the development of carbon stock, flux and degradation maps in forest remaining forest

The methodology for estimating forest degradation, applied by INFOR, is based on the integration of satellite information provided by CONAF and the use of information from national forest inventory plots. The use of satellite images must guarantee necessary adjustments for the application of the degradation algorithm. This process is semi-automated by using multi pixel mosaics downloaded from Google Earth Engine. The protocol establishes the requirements of spatial information, dasometric information and data processing needed for calculations.

The application of this process results in thematic maps that combine the information of parcels which information is known, then spatially intersected with state variable and spectral information data.

#### <u>Uncertainty Estimation System for Change Maps</u>

The main ways to estimate the accuracy of land use and land use change maps correspond to the comparison between the result of the sorting conducted on reference maps and observations corresponding to a sample. The factors that influence such estimation are sampling design and the size of the map accuracy and precision assessment sample. Errors related to changes in land uses and sub – uses according to Cadastre characterization are calculated by following the Guides on Good Practices for the estimation of use change accuracy described in Olofsson et al (2013). In order to use this approach, use change classes were validated using the FAO tool Collect Earth, through which the categories of a total 1,868 polygons representing a total surface of 1,832,483 hectares were validated.

Chile has a semi-automated software tool that allows to compare use change map results to high resolution images through Collect Earth. Some of its functions and use steps are:

- 4. Generate a pre-sampling by change class.
- 5. From the results of the previously generated pre-sampling, the tool generates one sampling per class that meets the guidelines described in the Map Accuracy Reports (Maximum global error) and as in pre-sampling, this tool can be used in the Collect Earth tool where a group of expert interpreters who assess the initial and final uses of the period according to IPCC classes modified for Chile.
- 6. The software uses the sampling results to calculate uncertainty at the general and use change/region class level, along with details of emission and commission errors for each map.

#### Geographic data validation for calculating results

Results estimation is implemented from geographic data of carbon and use change maps. In order to validate such data, a process with the aim of ensuring the data meets basic conditions for spatial analysis by means of topological conditions has been developed.

Data geometry is validated in others, as it is fundamental for spatial analysis since errors detected by validation codes must be fixed before integration and calculation.

Aside from this and to reduce processing time for millions of records, an index structure for improving database performance has been developed. These indexes are applied to non-spatial values from the land use change map.

### Applying a checklist to activity data

NFMS has a base process for ensuring information quality and consistency, which consists in applying checklists to the results of activity data obtained from the final integration and calculation tools. The process is applied by DCCSE, with the aim of having informed surfaces be completely consistent according to each REDD+ activity and region informed.

The verification seeks to ensure consistency between the surface reported for each activity and the official surface of each region, through two revisions:

- Total sum of REDD+ activity surfaces per region
- Consistency between the surfaces reported between two periods

The process is applied during the integration of final calculation results and in case of finding inconsistencies, integration must be stopped, and input data reviewed.

# Design and maintenance of the Forest Monitoring System

The NFMS has been designed and structured on the institutions related to Chilean forestry resources and the processes conducted by each institution, responsible for use change maps, degradation maps and forest inventory plots, and the GHG National Inventory of Chile.

Its design has a gradual approach, starting with a reference level at subnational scale and therefore its construction has been on a step-by-step basis, with the goal of advancing towards the national scale monitoring of forestry regions.

Regarding NFMS maintenance, the main activities by each institution that allow to continue the development of necessary inputs and methodologies for guaranteeing reporting on forest status in a climate change context considering continuous improvement elements are described below:

-CONAF: as part of its mandate, CONAF is responsible for implementing native forest registries through the DMEF. Also, based on international commitments on climate change, it has assumed the responsibility to develop biennial land use change national maps that contribute to ensure reporting on climate change matters and results — based payment projects. On the other hand, CONAF has made progress in the development of software tools for the development of MRV estimates and reports.

-INFOR, due to being responsible for the National Forestry Inventory, is responsible for continuing the measurements supported by the Chilean GHG reports, both from REDD+ and the GHG National Inventory. On the other hand, as a member of the Agriculture, Forestry and Other Land Use (AFOLU) like CONAF, INFOR remains close to the ERPA associated INGEI and REDD+ climate change commitments of Chile and maintains the commitment of executing the necessary processes for estimating degradation of the two next monitoring milestones, in accordance with the agreement.

# Systems and processes that support the Forest Monitoring System, including Standard Operating Procedures and QA/QC procedures

The processes that support the FNMS are described in the next SOPS:

#### - SOP01 Satellite mosaic elaboration (includes satellite image selection)

This document is a guideline to select and download multipixel satellite mosaics to monitoring land use and land use change and to evaluate forest degradation in NFMS. The document indicates the searching windows of images and pixels with the minimum required quality to be included in the mosaic elaboration.

## - SOP02 LULUCF Maps elaboration

The SOP 2 indicates the technical procedure to detect gain and losses in forest land, for the land use change monitoring, through the Multi Index Integrated Change Detection MIICA method application.

## - SOP03 Standardization and quality control protocol for use change maps

Standards or guidelines are established and used as compliance rules to standardize formats regarding historical and use change map, which contain information and traceability by region of land use, sub – use, structure, forest type, forest subtype, change and type of change for each evaluated period.

Also, a series of methodological steps consisting in a quality control for identifying discrepancies between the various versions of the historical and use change maps is described.

#### - SOP04 for evaluating uncertainty on land use and land use change maps

Correspond to standard operating procedures in writing containing detailed protocols to be followed in order to correctly attribute land uses, training procedures for interpreters/evaluators and develop a re-photointerpretation process for a series of sample units to guarantee that standard operating procedures are correctly implemented and identify areas of improvements through the use of a Platform of Uncertainty.

Visual Interpretation Classification Manual:

Written manual created as a practical, step by step tutorial meant for interpreters/evaluators that participate in uncertainty estimation processes.

#### SOP05 Forest Remaining Forest Carbon Flux estimation

Develops the methodological protocol for estimating carbon fluxes in forest lands that remain as such, by integrating satellite mosaics with data series from forest inventory plots. These data are combined to determine the degradation or increase of carbon stock in permanent forest.

#### - SOP06 Field Operation Manual

This manual details the procedures and methods to be used in the field data collection for the inventory of the resources comprised in the native forest ecosystems of the country. It includes the chapters that deal with the data and information referring to the field brigades and the conglomerates, the plots and the trees, including the variables that characterize the development environment from an ecosystem perspective. As such, it aims to rescue data and information from the different components of forest ecosystems

## Role of communities in the forest monitoring system

The role of communities in the NFMS is connected to a series of activities previously introduced in the 2016 report, which has been extended until now in such regard. In this context, the communities have been actively participating in the system regarding complaints, first, on fire related issues, where territorial committees led by the Forest Fire Protection Management (GEPRIF) and its Forest Fires Prevention Department have been established. On this point, the previous report mentioned that the use of fire as a tool in agriculture and forestry activities is regulated by Decree No. 276 of the Ministry of Agriculture, enacted in 1980. This decree regulates and establishes rules on technical and administrative procedures for the use of fire, mainly for disposing of agricultural or forestry residues. When this decree was issued, the usual consensus was that close to 45% of forest fires in Chile were due to the use of fire for disposing of agricultural and forest residues without adequate planning or control measures. Nevertheless, the current situation reveals that only 6% of fires are generated due to agricultural and/or forest burning.

It is worth mentioning, regarding the application of Decree No.276, that it is conducted under the following procedures in case a member of the community needs to use fire for a specific agricultural, silviculture or forest activity as detailed below:

- 1) Go to CONAF or the police and give advance notice of the date and place where fire will be used, describing planning and control measures established to prevent or fight against any possible fires that may happen.
- 2) Proceed in accordance with a burning schedule pre-established by CONAF and broken down by counties, with a fire use timeline under suitable conditions for keeping fires under control.
- 3) Adopt guidelines on the adequate use of fire as a tool for developing agricultural and forest lands, including topics such as controlled burning techniques and environmental considerations for keeping fire under control.

CONAF´s online platform, the Burn Assistance System (SAQ, acronym in Spanish) certainly facilitates procedures and processes when members of the community need to use fire, where the user conducting the controlled burning can obtain a voucher for his burn notification without having to visit a CONAF office in person. In this regard, CONAF has provided capacity building and trained communities so their users can use the system effectively and independently through the national territory.

On the other hand, and on this same point about controlled burning notifications, members of the community can also actively participate in complaint procedures that lead to fire use oversight, a situation made possible by the close cooperation between CONAF and the national police (Carabineros de Chile). In this context, initiatives such as these encourage the communities to actively participate in protecting their lands by establishing forest oversight committees, which for example have been developed in the VII, VIII and IX regions.

At the same time, illegal logging is another aspect related to the role of communities in NFMS. For this purpose, CONAF also has a mechanism for receiving citizen complaints either via postal mail or e-mail when there is information of any acts where a violation of the Forest Law of Chile has taken place. For this, CONAF is responsible for verifying the truthfulness of the information being provided through the complaint, following a site inspection process as final verification and also setting in motion the various legal actions that are required to be filed against the alleged offender. Afterwards, CONAF sends a document to the complainant's address to notify him/her about

the outcome of the complaint and the law enforcement conducted by CONAF, informing the complainant if there was a breach of the existing forest legislation and any legal measures adopted by CONAF if required.

# 9.3 Relation and consistency with the National Forest Monitoring System

The approach for the measuring, monitoring, and reporting established for emission and capture accounting is completely consistent with the procedures established by Chile for the National Forest Monitoring System, which has been developed and implemented in accordance with the technical requirements established by the UNFCCC and the Carbon Fund. It has also been subject to a technical evaluation by the Green Climate Fund panel of experts during 2019, for the results – based payment phase. The NFMS is part of the ENCCRV SMM, which besides forest monitoring is also designed to monitor neutral land degradation elements, safeguards and co – benefits.

The SMM of the ENCCRV has the information collection processes and systems that act as a basis for the NFMS. These correspond to land use change maps based on a Native Forest Cadastre for land use change activity data, the National Forest Inventory for the estimation of forest degradation, the National Wood Fuel and Carbon Inventory and the Forestry Administration and Control System (SAFF) and the Territorial Information System (SIT). These systems as a whole allow the gathering, visualization, query and maintenance of information related to land use in Chile. The measuring, reporting and verification approach for the ER Program is formed by the integration and interoperability of the previously mentioned existing systems as explained in previous chapters, therefore the relationship between them is direct and consistency assured. Also, the implemented technical corrections that were detailed at the start of Annex 4 are cross – cutting for the processes that comprise the SMM and NFMS, being useful for their implementation in existing systems as part of their improvements.

# 12 UNCERTAINTIES OF THE CALCULATION OF EMISSION REDUCTIONS

The following table identifies and discusses a list of the main sources of uncertainty and an analysis of its contribution to the uncertainty of Reference Emission Level. The table also includes the procedures implemented to address these sources of uncertainty as part of the Monitoring Cycle. The main sources of uncertainty of Forest Reference Emission Level are:

- i. Land-use / land-use change maps derived from the classification of multi-pixel mosaics,
- ii. Carbon flux mapping in permanent forest derived from carbon flux models and carbon density mapping,
- iii. Total biomass estimate, and Annual periodic Increment estimate obtained with the National Forest Inventory.

# 12.1 Identification and assessment of sources of uncertainty

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty		Addressed through QA/QC?	Residual uncertainty estimated?
Activity Data						
Measurement	√ S i	Si	Land-use and land-use change areas: The Activity Data for deforestation, substitution, restitution, area increase of native forest, and permanent forest lands come from Land-use changes maps.  A Protocol have been prepared to facilitate the replication of the mapping steps from the digital processing of satellite images. All procedures required to prepare land-use and land-use change maps are described in the Methodological Protocol for the land-use and land-use change mapping in Native Forest (SOP 02). This protocol includes inputs, data sets, and the methodological steps necessary to generate thematic cartography and statistical reports at the national level on the extension, distribution, and land-use change.  The land-use change detection maps are prepared with the Multi-Index Integrated Change Analysis methodology (MIICA - Jin et al., 2013), both for the reference and reporting periods. The application of the MIICA methodology uses the Landsat 7 multipixel mosaics for the reference period and Landsat 8 for the monitoring period. Land-use change control polygons (ground truth) are taken proportionally to each of the regions' surface to establish the spectral thresholds of the zones of change and the evaluated index for each IPCC land-use category. Next, the polygons are verified visually on the time series of high-resolution images provided by the Google Earth software. Finally, land-use allocation of the loss vector layer is done applying a supervised classification method to the multi-pixel mosaic. Additionally, temporal tracking of land-use changes is done by integrating the polygons of areas of change resulting from each monitoring period into the existing layers of change maps. The topological conditions, data geometry, databases, and map attributes are reviewed in detail. Then, any discrepancies or inconsistencies are sent to the responsible team for resolution (SOP 03). After the solution, the inconsistency is double checked.	Low	SOP_01_Satellite mosaic elaboration and QAQC_01_Mosaics elaboration metadata_ERPA  https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP_01_MR1.zipSOP_02_LULUCF Maps  Elaboration and QAQC_02_Review and rectification of LULUCF maps ERPA https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP_02_MR1.zipQAQC_03_Standardization and Quality control for land use change maps_ERPA	NO

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
			The result of the activity data measurement is reviewed to ensure consistency, according to the sum of REDD+ activities areas and the surface region. This process is applied during the integration of final calculation results, and in case of finding inconsistencies, the integration must stop, and the input data must be verified. Finally, Uncertainties associated with AD are due to the production process of land use maps. The uncertainties of the AD for deforestation, substitution, restitution, area increase of native forest, and permanent forest activities are associated with the errors of the satellite image processing during the preparation of land-use change maps.  The uncertainty of land-use change maps[1] is estimated by comparing the results of the land-use change category on the map and the reference observations corresponding to a sample of visually interpreted points. Factors influencing the estimation of uncertainty are the sampling design and the sample size used to assess the precision and accuracy of the maps. The errors related to land-use change are calculated following the Good Practice Guidelines for estimating the precision of change of use described in Olofsson et al. (2013). This approach is applied with the FAO Collect Earth tool. With this tool, the land-use change categories from 8,717 polygons, representing a total area of 241,120 ha, for the monitoring period, were assessed.  The sample size of the accuracy assessment of the land-use change maps is calculated considering the user's precision parameters for each land use or category of change. These parameters are obtained from a pre-sampling. The sample size is calculated assuming a standard error of the precision of 0.01 using the equation of Cochran (1977). The evaluation points are selected with a stratified random sampling design for each IPCC sub-use in each region.		https://plataforma.enccrv .cl/static/erpa/mr1/sop/S OP_03_MR1.zip	
Measurement	Si	Si	Permanent forest degradation and carbon enhancement: The Activity Data for degradation and carbon enhancement in permanent forest lands comes from satellite imaging and NFI biomass information integration.  A Protocol has been prepared to facilitate replicating the mapping process from the digital processing of satellite images and NFI biomass information (SOP 05). All procedures are described in the Protocol to prepare carbon flux, stock, and degradation mapping in the permanent forest. This protocol includes methods description, spatial and dasometric information, and satellite data processing required to estimate activity data for degradation and carbon enhancement.  The sources of error for estimating carbon degradation and enhancement activity data on permanent forest lands are:	High	Yes, for a and b sources:  SOP_05: Forest Carbon Flux estimation assessment and QAQC_05_Forest Carbon Flux estimation assessment_ERPA  https://plataforma.enccrv .cl/static/erpa/mr1/sop/S OP 05 MR1.zip	NO

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
			Uncertainty associated with the forest density charts used to determine the direction of carbon flux (neutral, loss or gain) for each pixel. This uncertainty has been estimated in 32.8%.		SOP_06: SOP06 Field Operation Manual	
			Uncertainty associated with integrating the multi-pixel mosaic satellite data with the dasometric variables. This uncertainty has been estimated by calculating the Standard Error of Estimation of the volume function k-nn. This uncertainty has been estimated in 57%.		https://plataforma.enccr v.cl/static/erpa/mr1/sop/ SOP_06_MR1.zip	
			Land-use and land-use change areas: Multi-pixel mosaics are prepared with a		Yes:	
Representativeness	Si	No	temporal range with cloud-free pixels to obtain representative satellite images of the beginning and end of each period. The multi-pixel mosaic is an image composed of pixels of different images extracted from the definition of a range or time window. The selection of each pixel seeks to define the best information available in a specific area, prioritizing above all that they are pixels free of cloud and cloud shadows. Given the high cloud cover present in southern Chile, a ± 3 months window is used for the start and end date of the analyzed period. For example, considering the period 2018 - 2019, the start date is January 1, 2018, the range or time window will correspond from October 1, 2017, to March 31, 2018; the multipixel mosaic time window for the end of period (December 31, 2019) is from October 1, 2019, to March 31, 2020.  Land-use and land-use change areas: This source of uncertainty is not applicable. It is not required to use a sampling technique to estimate ADs for carbon	Low	SOP_01_Satellite mosaic elaboration and QAQC_01_Mosaics elaboration metadata_ERPA  https://plataforma.enccrv.cl/static/erpa/mr1/sop/SOP_01_MR1.zip	NO
Sampling	NA	NA	deforestation, substitution, restitution, area increase of native forest, and permanent forest lands. The AD estimation is made with a total pixel count of the carbon content map for each land-use change category.	NA	NA	NA
. 3	NA	NA	Permanent forest degradation and carbon enhancement: This source of uncertainty is not applicable. It is not required to use a sampling technique to estimate ADs for carbon degradation and enhancement. The AD estimation is made with a total pixel count of the carbon content map for each flow category (biomass gain or loss).	NA	NA	NA
Extrapolation	This source of uncertainty is not applicable. Extrapolation is not applied to estimate		NA	NA	NA	
Approach 3	NA	NA	This source of uncertainty is not applicable. Activity data were estimated conducting tracking of lands or IPCC Approach 3 for reference and monitoring periods.	NA	NA	NA
Emission Factor						
	Si	Si	Aerial Biomass  The measurement uncertainty for aerial biomass estimate depends on the land-use	Low	Yes, for a:	Yes

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
DBH measurement H measurement Plot delineation Wood density estimation Biomass allometric model			Aerial biomass of native and mixed forest: SOP_06 Field Operations Manual was implemented during fieldwork for the estimation of AGB in the National Forest Inventory. For some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the following information: DBH measurement error (0.2%). Calculation based on Continuous Forest Inventory data of INFOR.  Volume estimation error (0.07%). Calculation based on Continuous Forest Inventory data of INFOR.  Biomass Expansion Factor (BEF) error (18.0%). BEF comes from information collected in the country from the study of Gayoso et al. (2002) and used in INGEI (2020). This value is for native species and has a national spatial level. Error calculation is based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chile (UACh).  Wood Density (5.6%). Calculated using basic density data collected from native species growing in Chile. A bibliographic review of basic densities of the forest species in Chile was carried out and there were no modifications for the value exposed form Gayoso et al. (2002) and INGEI (2020).  Finally, these uncertainties are combined following IPCC approach 1 (propagation of error), resulting in total uncertainty of 18.85%.  Aerial biomass of non-forest uses: Monte Carlo analysis uses error estimation	(High / Low)	SOP_06: Field Operation Manual  https://plataforma.enccrv .cl/static/erpa/mr1/sop/S OP_06_MR1.zip	
Sampling	No	Si	published in INGEI (2020) and Gayoso (2006) and Expert judgment estimates (IPCC, 2006). The error of carbon density for wetlands, water bodies, and other non-vegetations uses was assumed cero due to a lack of data.  Annual Periodic Increment (IPA Spanish acronym): SOP_06 Field Operations Manual was implemented during fieldwork to estimate IPA in the National Forest Inventory, for some forest types were possible to adjust a Probability Distribution Function (PDF). For the forest types with a limited number of sampling plots, uncertainty propagation with Monte Carlo analysis uses the calculation of the measurement uncertainty for IPA based on the 95% CI of the removal rate by forest type, calculated with Continuous Forest Inventory data of INFOR.  The Continuous Inventory of Forestry Ecosystems, henceforth referred to as the Continuous Inventory, managed by INFOR, and has been operational since 2000. The Continuous Inventory was designed under a statistical bi-stage design in three circular sample plot clusters in an area equivalent to 500m2 distributed in a systematic area of 5x7km. The sampling units have been systematically distributed	Low	Yes:  QA / QC applied in SOP06  https://plataforma.enccrv.cl/static/erpa/mr1/sop/S	Yes

Sources of uncertainty	Systematic	Random	Analysis of contribution to overall uncertainty	Contribution to overall uncertainty (High / Low)	Addressed through QA/QC?	Residual uncertainty estimated?
Other parameters (e.g. Carbon Fraction, root-to- shoot ratios)	Si	Si	Root-to-shoot ratio (R factor-40% error): R factor comes from information collected in the country (Gayoso et al., 2002; INGEI, 2020). This value is within the range indicated in the 2006 IPCC Guidelines for temperate forests (between 0.20 and 0.46, according to Table 4.4; Chapter 4; Volume 4) and within of the values available worldwide, which provide R factors that range between 0.09 and 0.33. This value is for native species and has a national spatial level. Error calculation based on statistical data from the Biomass Inventory and Carbon Accountancy of the Universidad Austral de Chile (UACh).  Finally, aerial biomass and R factor uncertainties are combined following IPCC approach 1 (propagation of error), resulting in total uncertainty of 44.2%.	Low	NA	Yes
Representativeness	NA	NA	This source of uncertainty is not applicable. Chile generates estimates of carbon densities per forest type, and non-forest land uses. Different forest types and structures classify the native forest. Each forest type has its biomass value depending on data availability. Also, non-forest lands include the following uses: Urban and Industrial Areas, agricultural land, grassland, scrub, arborescent scrub, shrub planting, succulent scrub, succulent formations, plantations, wetlands, areas deprived of vegetation, eternal snows and glaciers, waterbodies and unrecognized areas.	NA	NA	NA
Integration						
Model	Si	No	Calculation tools have been prepared to estimate Emission Reductions, including the FREL and Monitoring Period for REDD activity. In these tools, you can review the formulas used to estimate ERs. The country prepared these tools to ensure the same calculation methods are applied for all monitoring events and avoid errors during the processing and data preparation.		NA	No
Integration	Si	No	The Emission factors were calculated for each region and forest type according to AGB sampling plots' location to assure the comparability between transition classes of the Activity Data and those of the Emission Factors. This source of uncertainty is considered in the sampling error of the AGB inventory.	Low	Yes:  SOP_06_Field Operation Manual  https://plataforma.enccrv .cl/static/erpa/mr1/sop/S OP_06_MR1.zip	No

# 12.2 Quantification of uncertainty in Reference Level Setting

# Parameters and assumptions used in the Monte Carlo method

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Activity Data				
Non-Forest land - Forest Maule Reference Period (ha/yr)	7,012.55	27.98 %	Normal	Activity Data uncertainty used in Monte Carlo
Non-Forest land - Forest Ñuble Reference Period (ha/yr)	1,144.04	24.05 %	Normal	Analysis was calculated using the confidence limits
Non-Forest land - Forest Biobio Reference Period (ha/yr)	2,156.59	36.83 %	Normal	of the sampling-based land- use change estimation
Non-Forest land - Forest Araucanía Reference Period(ha/yr))	2,948.47	57.52 %	Normal	areas for the reference and monitoring periods.
Non-Forest land - Forest Los Ríos Reference Period (ha/yr)	1,545.03	34.85 %	Normal	
Non-Forest land - Forest Los Lagos Reference Period (ha/yr)	1,004.49	36.91 %	Normal	
Forest land – Non-Forest Maule Reference Period (ha/yr)	828	78.00 %	Normal	
Forest land – Non-Forest Ñuble Reference Period (ha/yr)	453	48.81 %	Normal	
Forest land – Non-Forest Biobio Reference Period (ha/yr)	1,296	58.61 %	Normal	
Forest land – Non-Forest Araucanía Reference Period(ha/yr))	1,537	50.22 %	Normal	
Forest land – Non-Forest Los Ríos Reference Period (ha/yr)	1,529	36.26 %	Normal	
Forest land – Non-Forest Los Lagos Reference Period (ha/yr)	3,530	25.25 %	Normal	
Aum No Conserv Bajo C en 2001, entre [B-C] en 2010 RP (ha/yr)	54,672	32.80 %	Normal	Degradation mapping accuracy estimated by INFOR.
Aum No Conserv Entre [B-C] en 2001 y sobre B en 2010 RP (ha/yr)	271,368	32.80 %	Normal	, INI OIL
Aum No Conserv Bajo C en 2001 y sobre B en 2010 RP (ha/yr)	167,695	32.80 %	Normal	
Aum No Conserv Bajo C en 2001 y bajo C en 2010 RP (ha/yr)	59,742	32.80 %	Normal	
Aum No Conserv Entre [B-C] en 2001, entre [B-C] en 2010 RP (ha/yr)	38,912	32.80 %	Normal	
Deg No Conserv Sobre B en 2001 y bajo C en 2010 RP (ha/yr)	121,830	32.80 %	Normal	
Deg No Conserv Entre [B-C] en 2001 y bajo C en 2010 RP (ha/yr)	45,625	32.80 %	Normal	
Deg No Conserv Sobre B en 2001, Entre [B-C] en 2010 RP (ha/yr)	224,752	32.80 %	Normal	
Deg No Conserv Bajo C en 2001 y bajo C en 2010 RP (ha/yr)	53,030	32.80 %	Normal	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Deg No Conserv Entre [B-C] en 2001, entre [B-C]			Normal	
en 2010 RP (ha/yr)	37,029	32.80 %		
Aum Consony Pain Con 2001, ontro [P. C] on			Normal	-
Aum Conserv Bajo C en 2001, entre [B-C] en 2010 RP (ha/yr)	10,541	32.80 %	Normal	
2010 KF (11a/ y1 )	20,0 . 2	02.00 / 0		
Aum Conserv Entre [B-C] en 2001 y sobre B en 2010 RP (ha/yr)	53,982	32.80 %	Normal	
Aum Conserv Bajo C en 2001 y sobre B en 2010 RP (ha/yr)	28,729	32.80 %	Normal	
Aum Conserv Bajo C en 2001 y bajo C en 2010 RP (ha/yr)	10,265	32.80 %	Normal	
Aum Conserv Entre [B-C] en 2001, entre [B-C] en 2010 RP (ha/yr)	8,272	32.80 %	Normal	
Deg Conserv Sobre B en 2001 y bajo C en 2010 MP (ha/yr)	26,389	32.80 %	Normal	
Deg Conserv Entre [B-C] en 2001 y bajo C en 2010 MP (ha/yr)	9,675	32.80 %	Normal	
Deg Conserv Sobre B en 2001, Entre [B-C] en 2010 MP (ha/yr)	51,707	32.80 %	Normal	
Deg Conserv Bajo C en 2001 y bajo C en 2010 MP (ha/yr)	9,548	32.80 %	Normal	
Deg Conserv Entre [B-C] en 2001, entre [B-C] en 2010 MP (ha/yr)	7,888	32.80 %	Normal	
Forest land - Forest Plantation Maule RP (ha/yr)	2,004	59.02 %	Normal	Activity Data uncertainty
Forest land - Forest Plantation Ñuble RP (ha/yr)	778	37.64 %	Normal	used in Monte Carlo
Forest land - Forest Plantation Bío-Bío RP (ha/yr)	1,590	62.23 %	Normal	Analysis was calculated
Forest land - Forest Plantation La Araucanía RP	2,772	64.36 %	Normal	using the confidence limits of the sampling-based land-
(ha/yr)  Forest land - Forest Plantation Los Ríos RP (ha/yr)	944	77.14 %	Normal	use change estimation areas for the reference and
Forest land - Forest Plantation Los Lagos RP (ha/yr)	664	76.22 %	Normal	monitoring periods.
Forest fires area Maule RP (ha/yr)	583.4	15%	Normal	INGEI
Forest fires area Ñuble RP (ha/yr)	139.2	15%	Normal	
Forest fires area Biobio RP (ha/yr)	1,028.5	15%	Normal	_
Forest fires area Araucanía RP (ha/yr)	2,058.1	15%	Normal	-
Forest fires area Los Ríos RP (ha/yr)	150.4	15%	Normal	-
Forest fires area Los Lagos RP (ha/yr)	781.9	15%	Normal	
Carbon content of Non-Forest Lands  AGB Áreas Urbanas e Industriales	2.00	95%	Normal	(INGEI, 2020)
AGD ALEAS OLDAIIAS E IIIUUSUIAIES	2.00	33/0	Normal	(114021, 2020)
AGB Terrenos Agrícolas	10.00	75%	Normal	(INGEI, 2020)
AGB Praderas y Matorrales Praderas	4.73	27.7%	Normal	(Gayoso, 2006)
AGB Praderas y Matorrales Matorral-Pradera	9.04	34.6%	Normal	(34)030, 2000)

Development in already in the model	Parameter	Error sources quantified in	Probability distribution	Assumptions
Parameter included in the model	values	the model	function	Assumptions
AGB Praderas y Matorrales Matorral	21.78	22.4%	Normal	
Arborescente				
Carbon content of Native Forest				
BGB Terrenos Agrícolas	2.00	53.2%	Normal	Uncertainty for BGB of Non-
BGB Praderas y Matorrales Praderas	8.13	53.2%	Normal	Forest lands is based on
BGB Praderas y Matorrales Matorral-Pradera	14.99	53.2%	Normal	propagation error estimate
BGB Praderas y Matorrales Matorral Arborescente	35.25	53.2%	Normal	following IPCC approach 1 of Matorral-Arborescente AGB error (22.42%) and Root shoot ratio -R Factor error (48.27%) estimated by Gayoso et al. (2002), resulting in total uncertainty of 53.2%.
AGB Alerce Adulto (t dry biomass/ha)	339.109	18.85%	Normal	For the forest types with a
AGB Alerce Renoval (t dry biomass/ha)	203.590	18.85%	Normal	limited number of sampling
AGB Ciprés de las Guaitecas Adulto (t dry	221.848	18.85%	Normal	plots, uncertainty
biomass/ha)				propagation with Monte
AGB Araucaria Adulto (t dry biomass/ha)	β: 222.628; k: 1.886	PDF	Gama 2; P:0.998; n: 16	Carlo analysis uses the following information: i. DBH measurement error
AGB Araucaria Renoval (t dry biomass/ha)	219.131	18.85%	Normal	(0.2%), calculation based on
AGB Ciprés de la Cordillera Adulto (t dry biomass/ha)	97.116	18.85%	Normal	Continuous Forest Inventory data of INFOR; ii.
AGB Ciprés de la Cordillera Renoval (t dry biomass/ha)	124.019	18.85%	Normal	Volume estimation error (0.07%), calculation based
AGB Lenga Adulto (t dry biomass/ha)	μ: 207.038; s: 84.017	PDF	Logistic; P:0.958; n:10	on Continuous Forest Inventory data of INFOR, iii. Biomass Expansion Factor
AGB Lenga Renoval (t dry biomass/ha)	α: 0.431; β:0.439	PDF	Beta4; P:0.776; n:8	(BEF) error (18.0%), BEF comes from information
AGB Coihue de Magallanes Adulto (t dry biomass/ha)	129.148	18.85%	Normal	collected in the country from the study of Gayoso et al. (2002) and used in INGEI
AGB Roble - Hualo Adulto (t dry biomass/ha)	β: 17.695; k: 5.884	PDF	Gamma (2); P:0.808; n: 17	(2020). This value is for native species and has a national spatial level. Error
AGB Roble - Raulí - Coihue Adulto (t dry biomass/ha)	λ: 0.006	PDF	Exponential; P:0.850; n: 65;	calculation is based on statistical data from the Biomass Inventory and Carbon Accountancy of the
AGB Roble - Raulí - Coihue Renoval (t dry biomass/ha)	λ: 0.006	PDF	Exponential; P:0.709; n: 71	Universidad Austral de Chile (UACh); and iv. Wood Density (5.6%) calculated
AGB Coihue - Raulí - Tepa Adulto (t dry biomass/ha)	β: 1.162; γ:414.153	PDF	Weibull (2); P: 0.831; n: 57	using basic density data collected from native species growing in Chile.
AGB Coihue - Raulí - Tepa Renoval (t dry biomass/ha)	β: 117.880; k: 1.720	PDF	Gamma (2); P:0.989; n: 12	Finally, these uncertainties are combined following IPCC approach 1
AGB Esclerófilo Adulto (t dry biomass/ha)	β: 0.721; γ:12.840	PDF	Weibull (2); P: 0.858; n: 33	(propagation of error),

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
AGB Siempreverde Adulto (t dry biomass/ha)	μ: 5.765; <i>σ</i> : 0.646	PDF	Log-normal; P: 0.194; n: 49	resulting in total uncertainty of 18.85%
AGB Siempreverde Renoval (t dry biomass/ha)	β: 1.584; γ:139.543	PDF	Weibull (2); P: 0.673; n: 25	

Parameter included in the model	Parameter	Error sources quantified in	Probability distribution	Assumptions	
	values	the model	function	7	
AGB Maule Mixed Forest Reference Period (t dry biomass/ha)	58.85	18.85%	Normal		
AGB Biobio Mixed Forest Reference Period (t dry biomass/ha)	210.75	18.85%	Normal		
AGB Araucanía Mixed Forest Reference Period (t dry biomass/ha)	246.71	18.85%	Normal		
AGB Los Ríos Mixed Forest Reference Period (t dry biomass/ha)	194.05	18.85%	Normal		
AGB Los Lagos Mixed Forest Reference Period (t dry biomass/ha)	221.64	18.85%	Normal		
AGB Ñuble Mixed Forest Reference Period (t dry biomass/ha)	58.85	18.85%	Normal		
BGB Maule Mixed Forest Reference Period (t dry biomass/ha)	70.78	44.2%	Normal	Uncertainty for Below Ground Biomass BGB is	
BGB Biobio Mixed Forest Reference Period (t dry biomass/ha)	55.67	44.2%	Normal	based on propagation error estimate following IPCC	
BGB Araucanía Mixed Forest Reference Period (t dry biomass/ha)	63.59	44.2%	Normal	approach 1 of Above Ground Biomass-AGB error	
BGB Los Ríos Mixed Forest Reference Period (t dry biomass/ha)	47.21	44.2%	Normal	(18.85%) and Root shoot ratio -R Factor error (40.0%)	
BGB Los Lagos Mixed Forest Reference Period (t dry biomass/ha)	12.47	44.2%	Normal	estimated by Gayoso et al. (2002), resulting in total	
BGB Ñuble Mixed Forest Reference Period (t dry biomass/ha)	37.80	44.2%	Normal	uncertainty of 44.2%.	
Dead matter Maule Mixed Forest RP (t dry biomass/ha)	72.30	28.4%	Normal	Error estimated from permanent plots of the	
Dead matter Biobio Mixed Forest RP (t dry biomass/ha)	52.91	28.4%	Normal	INFOR Continuous Forest Inventory	
Dead matter Araucanía Mixed Forest RP (t dry biomass/ha)	61.16	28.4%	Normal		
Dead matter Los Ríos Mixed Forest RP (t dry biomass/ha)	47.61	28.4%	Normal		
Dead matter Los Lagos Mixed Forest RP (t dry biomass/ha)	18.92	28.4%	Normal		
Dead matter Ñuble Mixed Forest RP (t dry biomass/ha)	45.49	28.4%	Normal		
Annual Periodic Increase of Native Forest					
PAI Maule Mixed Forest Reference Period (t dry biomass/ha)	4.20	28.7%	Normal	Average of PAI error for all forest types given lack of	
PAI Biobio Mixed Forest Reference Period (t dry biomass/ha)	4.14	28.7%	Normal	data.	
PAI Araucanía Mixed Forest Reference Period (t dry biomass/ha)	4.06	28.7%	Normal		
PAI Los Ríos Mixed Forest Reference Period (t dry biomass/ha)	2.21	28.7%	Normal		
PAI Los Lagos Mixed Forest Reference Period (t dry biomass/ha)	3.70	28.7%	Normal		
PAI Ñuble Mixed Forest Reference Period (t dry biomass/ha)	4.03	28.7%	Normal		
PAI Alerce Adulto (m3/ha/yr)	0.5	58.47%	Normal	The higher uncertainty of	
PAI Ciprés de las Guaitecas Adulto (m3/ha/yr)	3.9	58.47%	Normal	the errors estimated for PAI is assumed due to a lack of data.	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
PAI Araucaria Adulto (m3/ha/yr)	μ: 4.882; σ: 2.516	PDF	Normal; P:0.923; n: 16	
PAI Ciprés de la Cordillera Adulto (m3/ha/yr) PAI Ciprés de la Cordillera Renoval (m3/ha/yr)	5.0 2.7	9.97%	Normal Normal	Error estimated from permanent plots of the INFOR Continuous Forest Inventory
PAI Lenga Adulto (m3/ha/yr)	k: 5; γ:0.921	PDF	Erlang; P:0.986; n:10	
PAI Lenga Renoval (m3/ha/yr)	μ: 2.995; β:2.054	PDF	Fisher- Tippett (2); P:0.907; n:8	
PAI Coihue de Magallanes Adulto (m3/ha/yr) PAI Coihue de Magallanes Renoval (m3/ha/yr)	2.6 3.7	7.68%	Normal Normal	Error estimated from permanent plots of the INFOR Continuous Forest Inventory
PAI Roble - Hualo Adulto (m3/ha/yr)	μ: 1.534; <i>σ</i> : 0.507	PDF	Log Normal; P:0.873; n: 17	
PAI Roble - Hualo Renoval (m3/ha/yr)	3.5	54.47%	Normal	The higher uncertainty of the errors estimated for IPA is assumed due to a lack of data.
PAI Roble - Raulí - Coihue Adulto (m3/ha/yr)	μ: 1.335; σ: 1.106	PDF	Log Normal; P:0.257; n: 65;	
PAI Roble - Raulí - Coihue Renoval (m3/ha/yr)	β: 1.777; γ:4.664	PDF	Weibull (2); P:0.760; n: 71	
PAI Coihue - Raulí - Tepa Adulto (m3/ha/yr)	β: 1.403; γ:6.264	PDF	Weibull (2); P: 0.789; n: 57	
PAI Coihue - Raulí - Tepa Renoval (m3/ha/yr)	μ: 4.364; s: 1.558	PDF	Logistic; P:0.825; n:	
PAI Esclerófilo Adulto (m3/ha/yr)	β: 0.667; γ:0/875	PDF	Weibull (2); P: 0.512; n: 33	
PAI Esclerófilo Renoval (m3/ha/yr)	1.6	21.31%	Normal	Error estimated from permanent plots of the INFOR Continuous Forest Inventory
PAI Siempreverde Adulto (m3/ha/yr)	α: 13.411; β:29.589	PDF	Beta4; P: 0.940; n: 49	
PAI Siempreverde Renoval (m3/ha/yr)	μ: 4.664; s:0/893	PDF	Logistic; P: 0.994; n: 25	

Parameter included in the model	Parameter values	Error sources quantified in the model	Probability distribution function	Assumptions
Degradation and Enhancement in permanent fore	est			
Carbon stock change in permanent forest	Values depending on density diagram change and forest type	57%	Normal	Error estimation based on the standard error of the knn algorithm volume estimation.
Carbon content of forest lands (forest fires)				
AGB Maule (t dry biomass/ha/yr)	80.35	18.85%	Normal	This uncertainty is
AGB Biobio (t dry biomass/ha/yr)	149.88	18.85%	Normal	estimated following IPCC
AGB Araucanía (t dry biomass/ha/yr)	252.33	18.85%	Normal	approach 1 (propagation of
AGB Los Ríos (t dry biomass/ha/yr)	310.35	18.85%	Normal	error), resulting in total
AGB Los Lagos (t dry biomass/ha/yr)	230.41	18.85%	Normal	uncertainty of 18.85%.
AGB Ñuble (t dry biomass/ha/yr)	149.88	18.85%	Normal	
BGB Maule (t dry biomass/ha/yr)	23.05	44.2%	Normal	Uncertainty for Below
BGB Biobio (t dry biomass/ha/yr)	43.00	44.2%	Normal	Ground Biomass BGB is
BGB Araucanía (t dry biomass/ha/yr)	72.39	44.2%	Normal	based on propagation error
BGB Los Ríos (t dry biomass/ha/yr)	89.04	44.2%	Normal	estimate following IPCC
BGB Los Lagos (t dry biomass/ha/yr)	66.10	44.2%	Normal	approach 1 of Above
BGB Ñuble (t dry biomass/ha/yr)	43.00	44.2%	Normal	Ground Biomass-AGB error (18.85%) and Root shoot ratio -R Factor error (40.0%) estimated by Gayoso et al. (2002), resulting in total uncertainty of 44.2%.
Dead matter Maule (t dry biomass/ha)	52.60	28.4%	Normal	Error estimated from
Dead matter Biobio (t dry biomass/ha)	122.10	28.4%	Normal	permanent plots of the
Dead matter Araucanía (t dry biomass/ha)	165.50	28.4%	Normal	INFOR Continuous Forest
Dead matter Los Ríos (t dry biomass/ha)	146.90	28.4%	Normal	Inventory
Dead matter Los Lagos (t dry biomass/ha)	157.00	28.4%	Normal	
Dead matter Ñuble (t dry biomass/ha)	122.10	28.4%	Normal	
Other Factors				
Combustion factor	0.45	36.0%	Normal	IPCC, 2006
Emission Factor CH4	4.7	29.0%	Normal	
Emission Factor N2O	0.26	43.8%	Normal	

# Quantification of the uncertainty of the estimate of the Reference level $^{46}$

		Deforestation	Forest degradation	Enhancement of carbon stocks	Conservation
Α	Median	4,985,269	12,087,643	-10,809,741	56,168
В	Upper bound 90% CI (Percentile 0.95)	7,130,706	15,386,105	-7,866,347	1,162,736
С	Lower bound 90% CI (Percentile 0.05)	3,287,298	9,398,309	-13,752,891	-1,068,201
D	Half Width Confidence Interval at 90% (B – C / 2)	1,921,704	2,993,898	2,943,272	1,115,469
E	Relative margin (D / A)	38.5%	24.8%	27.2%	1985.9%
F	Uncertainty discount	8%	4%	4%	15%

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<sup>&</sup>lt;sup>46</sup> https://plataforma.enccrv.cl/static/erpa/mr1/analisis-incertidumbre/Incertidumbre-Global-MR1.zip

### Sensitivity analysis and identification of areas of improvement of MRV system

The sensitivity analysis was carried out for the Emissions Reductions (ERs) calculations, which includes the reference level and the monitoring periods. The following table and figure show the results for the sensitivity analysis of ERs uncertainty. ERs estimate in forest remaining forest (conserved and non-conserved) contributes the 54.2% of total ERs uncertainty. The main contribution is coming from ERs' uncertainty in the non-conserved permanent forest (42.5%).

The sources of error for estimating forest degradation and carbon enhancement on permanent forest lands are i. Uncertainty associated with the forest density charts used to determine the direction of carbon flux (neutral, loss or gain) for each pixel (32.8%), and ii. Uncertainty associated with integrating the multi-pixel mosaic satellite data with the dasometric variables. This uncertainty has been estimated by calculating the Standard Error of Estimation of the volume function k-nn (57%).

Table 33 shows the results of sensitivity analysis for Emission Reductions uncertainty in non-conserved permanent forest. Both activity data and emission factors contributed equally to the uncertainty (50/50). The same uncertainty for AD and EF was used for all regions, therefore the difference in the uncertainty contribution between regions responds to the magnitude of ERs.

Further analysis of the Methodology used to estimate emissions and removals in permanent forest are required to determine the improvement actions on the MRV system to reduce Emission Reduction uncertainty.

REDD Activity	Component	Uncertainty Contribution
Carbon enhancement	Removals in forest remaining as forest	20.1%
Carbon ennancement	Removals in lands converted to forest	0.4%
	20.4%	
Conservation	Removals in forest remaining forest	6.2%
Conservation	Emissions in forest remaining forest	5.6%
	11.8%	
	0.3%	
	Emissions in forest remaining forest	22.4%
Degradation	Emissions from forests converted to plantations.	1.8%
	Forest fires	0.1%
	24.3%	
	56.8%	

**Table 33** Results for the sensitivity analysis of ERs' global uncertainty.

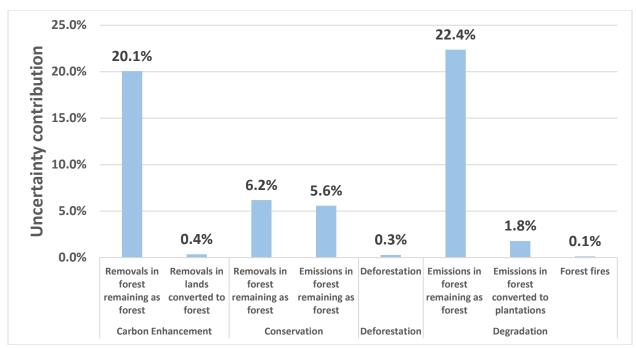


Figure 30 Results for the sensitivity analysis of ERs' global uncertainty.