



## **Forest Carbon Partnership Facility**

# **Strategic Environmental and Social Assessment in REDD Readiness and its Relationship to the World Bank Safeguard Policies**

Global Dialogues on Preparation of  
FCPF Readiness Proposals  
(GDLN-based training)



## WHAT IS A SESA?

*“A range of analytical and participatory approaches that aim to integrate environmental and social considerations into policies, plans and programs (PPPs) and evaluate the inter linkages with economic and institutional considerations”*



## WHY CONDUCT A SESA?

SESA allows for incorporation of environmental and social considerations into the REDD Readiness process at two levels:

- *The Strategic:* assessment of legal, policy, regulatory, institutional and capacity gaps to address key environmental, social and governance issues associated with the underlying causes of deforestation.
- *The Environmental and Social Management Framework:* assessment of environmental and social risks of specific actions/projects within the REDD strategy to reduce deforestation and forest degradation – the link of SESA with the Bank's safeguard policies.

# SESA WITHIN FCPF BUSINESS PHASE

## Phase 1: RPP Formulation

Assess

DETERMINE  
APPLICABLE  
POLICIES

### 1. Government - WB jointly

- Based on national laws, policies and practices
- Gap filling where needed to meet World Bank safeguards standards

ANALYSIS OF ENVIRONMENTAL AND SOCIAL CONTEXT

### 4. Government, with WB assistance

- Based on principles, analysis, consultations
- Frameworks consistent with WB safeguards form the basis for future location-specific plans

ENVIRONMENTAL AND SOCIAL  
MANAGEMENT FRAMEWORKS

### 5. Government, with WB assistance

- Policies and regulatory frameworks as needed
- Institutional strengthening, coordination
- Continued citizen engagement

REFORMS AND INSTITU-  
TIONAL STRENGTHENING

## Readiness

## Implementation

### 2. Government, with WB assistance

- Environmental and social opportunities and risks
- Stakeholder analysis, consultation with peoples and communities
- Policies and procedures

### 3. Government, with WB assistance

- Based on impact and stakeholder analysis
- Prior and meaningful information, appropriate forums, disclosure, feedback and transparency





# SESA: STRATEGIC COMPONENT

- Political economy analysis
- Identify key environmental and social issues relevant for REDD
  - Participatory approaches
  - Analytical approaches
  - Prioritization
- Assess existing capacities and systems to manage these issues
  - What are the policy, legal, regulatory and institutional frameworks?
  - What is the capacity gap?
- Assess the potential effects of proposed programs on these key issues, capacities and systems
  - Positive: opportunities
  - Negative: threats
- Formulate policy, institutional, legal, regulatory adjustment and capacity building measures

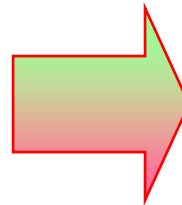
A horizontal banner image showing a dense, green forest with sunlight filtering through the leaves.

# SESA: ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORKS

- Assess the possibility that actions/projects within the REDD strategy will have adverse impacts.
- By the formulation of the R-PP, determine which of the World Bank's safeguard policies are likely to apply. By the delivery of the R-Package, confirm this initial determination.
- Formulate environmental and social management frameworks for addressing potential adverse impacts and enhancing benefits.

# WORLD BANK SAFEGUARD POLICIES

Environmental Assessment OP 4.01				
Natural Habitats OP 4.04	Forests OP 4.36	Pest Management OP 4.09	Dam Safety OP 4.37	Physical Cultural Resources OP 4.11



“Emphasis will be placed on ensuring benefits, land use rights and tenure, and the mitigation of potential environmental and social impacts”  
(Guyana R-Proposal, p. 21)

Involuntary Resettlement OP 4.12	Indigenous Peoples OP 4.10
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International Waterways OP 7.50	Disputed Areas OP 7.60
Legal Safeguards	



# STEPS TO GOOD STAKEHOLDER PARTICIPATION

1. Define relevant groups and actors through stakeholder analysis
2. Provide prior, meaningful information and disclose relevant documentation
3. Transparency in process
4. Organize appropriate events and fora depending on stakeholder characteristics



5. Document the process and recommendations
6. Document decisions taken
7. Provide timely feedback on decisions taken
8. Provide independent mechanisms of redress and appeal where appropriate



A horizontal banner image showing a dense, lush green forest with various types of trees and foliage.

## OUTCOMES OF SESA PROCESS

- (i) Policy/legal adjustments for REDD strategy;
- (ii) Institutional strengthening;
- (iii) Mechanisms for ongoing citizen engagement;
- (iv) Environmental and social management frameworks for future investments (consistent with WB safeguards policies and other international good practice standards).



# THANK YOU

## Useful resources with tools and TORS for SESA:

**Annex 2 of the Guidance Note on *Incorporating Environmental and Social Considerations into the Process of Getting Ready for REDD***

<http://go.worldbank.org/DKET888M71>

<http://go.worldbank.org/IR9SLBWTQ0>