

**NAME OF PROJECT: Pan-African Forest-Dependent Indigenous Peoples FCPF Capacity Building Program on REDD+ Project (P155373)**

**PROGRESS REPORT FOR THE PERIOD: JUNE, 2016 – AUGUST, 2016**

**DATE OF SUBMISSION: 28<sup>th</sup> November 2016**

---

1) INTRODUCTION

- The project has commenced with the requisite documents having been finalized and approved for use in project implementation with clearly defined requirements for all partners.
- The project was approved on the 14<sup>th</sup> March 2016 and with the effectiveness date being 30<sup>th</sup> March 2016 and the closing date of 30<sup>th</sup> June 2018.
- A World Bank mission was undertaken on the 20<sup>th</sup>-22<sup>nd</sup> June 2016 with the objective of launching the project by providing procurement, financial management and disbursement training to the organization's fiduciary staff, discuss in detail the project work plan and budget, develop terms of reference (ToR) for consultancies, kick-start the call for proposals for sub-projects and provide any other needed operational support and guidance to the team, including on M&E and safeguards as directed in the project paper.
- The project has three components with budgetary allocations namely; National Capacity Building and Awareness Activities (USD 529,382), Regional Exchange and Sharing Lessons Learned (USD 147,255) and Management, Monitoring and Evaluation and Reporting (USD 97,946) as Components 1, 2 and 3 respectively. Component 1 will support national level activities and implementation will be done by selected national organizations and networks in the 11 eligible countries that will be contracted through a Sub-grantee Agreement between them and MPIDO. Their selection will be based on the approved Selection Criteria annexed. Component 2 will support regional activities that will involve all the participating countries of the FCPF and will focus on generating knowledge and experience across the region and sharing the same within the region including supporting South-South Exchanges and also at global level. Component 3 will support Management costs for MPIDO as well as monitoring and evaluation of implementation process as well as reporting functions.

- 2) PROGRESS MADE UNDER COMPONENT 1 A Technical Advisory Committee (TAC) was recruited on 19<sup>th</sup> August 2016 and appointed with clear terms of reference including leading the review process for sub-grantees, support feedback, grievance and redress for the project as well provide review of knowledge products generated by the project. The composition of the TAC reflects the language diversity in the region as well as gender considerations with 3 from Francophone speaking countries and 3 from Anglophone speaking countries with each language block including at least 1 lady member. The challenge associated with the composition of the TAC was on the availability of persons highly recommended and who were willing to be part of the team due to other commitments as well as the fact that the support requested was not remunerable as most had expected but was to provide for an honorarium only and logistical costs. Attached is the list of the TAC members.

- A database of contacts of Indigenous peoples leaders, organizations and networks in the 18 participating countries of the FCPF was established by the Project Secretariat with details on organizational affiliation, email addresses and titles for information sharing and as a reference point for updates and for guidance. The database has been the primary point for information sharing for the project including providing updates on the progress of the project and has had significant impact on the depth of information flow to and from the Indigenous peoples organizations and networks and the project.
- A Joint Call for Proposals was designed and approved for use on 15<sup>th</sup> July 2016 in requesting for applications for grants alongside a Selection criteria defining parameters of national level activities aligned to the goals of the program and REDD+ elements. The Joint Call for Proposals was sent out on the 18<sup>th</sup> July 2016 through email to a number of email list serves, regional databases and national networks and organizations with a request for further sharing within the region and eligible countries. The Joint Call for Proposals was received by all networks shared with an initial deadline date of 22<sup>nd</sup> July 2016, this was then extended to 30<sup>th</sup> July 2016 to allow for more time as requested by organizations that received the Call late. At the close of the 30<sup>th</sup> July 2016 a total number of 140 applications from all the eligible countries were received including one from an ineligible country.
- The Technical Advisory Committee (TAC) engaged in the review of the received applications for 7 days at a central location that included joint meetings with PACJA and meetings with the project Secretariat after a screening of the applications by the Secretariat from the 15<sup>th</sup> to the 20<sup>th</sup> August 2016 to check on non-technical aspects of the applications.
- The reaching out to all the national REDD+ Focal points and coordinators of the 18 participating countries in the African region was done on 23<sup>rd</sup> August 2016 to provide them with a brief of the project, update on the application process and for due diligence of the reviewed applicants while ensuring the concurrence of projects to be supported with national REDD+ actions. The update was well received by all the National Coordinators and this provided the project with the introduction and linkage with the national Coordinators whose support in national level implementation is critical.
- The Secretariat formally informed the recommended project proponents on their consideration on 19<sup>th</sup> September 2016 and outlined the process going forward including need to revise some of their proposed activities to align them with the national REDD+ actions and the program goals.
- The TAC prepared a Selection Report with lessons generated during the review process while laying out the challenges experienced during the review process and any grievances received and addressed. The report provided recommendations of applications that the Secretariat can consider for funding as sub-grantees by MPIDO.
- The Secretariat designed a template to highlight elements in the selection criteria that the refocusing of actions would be reflected upon by the potential sub-grantees. The template is a standard document that would help in tracking the fulfillment of the requirements of the selection criteria including reflection of national REDD+ actions of the country and clear recognition of Indigenous communities that the potential sub-grantee will be working with. It

will provide a summary of what has been reflected in the application and what needs to be redone as well as space for recommendations.

- The selection criteria was developed with a joint evaluation criteria that was applied by the two regional recipient organizations (PACJA AND MPIDO). The selection criteria outlined key criteria items in line with the project goals as well as reflection of national REDD+ plans especially at national level.
- The organization management provided guidance to engage and explore a collaborative approach with the other regional recipient organization to enhance better coordination at the region. The fact that the two organizations are based in the same country and city was significant as meetings and discussions can be arranged and done with least time constraints and costs. A proposal to split the number of proposal to be supported by each organization was floated and thought to be a unique way of ensuring maximum reach and provision of sufficient funding to countries as this will reduce duplication of funding in countries that the two organizations could receive applications. Due to the funding envelopes of the two organizations, the split was for MPIDO to support 7 countries while PACJA will support 4 countries with a rider on allocation of countries with Indigenous peoples as recognized by the World Bank policy by way of triggering to be allocated to MPIDO. A main challenge to this proposal was the possible exclusion of either forest-dependent Indigenous peoples organizations in countries where PACJA will support funding and Civil society organizations in countries where MPIDO will support funding, however this challenge was proposed to be addressed by the recipient organization considering applicants from organizations not strictly within their constituencies on merit in countries that are in their column. Following this understanding, MPIDO received applications from organizations that are not strictly working with Indigenous Forest-dependent communities and from countries that do not have self-identified Indigenous peoples with applications from Madagascar and Liberia under consideration.

### 3) PROGRESS MADE UNDER COMPONENT 2

- A decision to host the Inception Workshop for the project in Ghana on the 24<sup>th</sup> and 25<sup>th</sup> September 2016 preceding the 22<sup>nd</sup> Participants Committee meeting of the FCPF to benefit from the participation of FCPF staff, national REDD+ Coordinators and Focal Points, FCPF Observers and other relevant agencies like the UN-REDD+ staff was made jointly with PACJA as a strategic option to save costs of travel for persons who would be attending the PC.
- The selection of participants to the Inception Workshop was done with the potential Sub-grantees being with gender considerations considered to attend to provide a hands on training on key requirements for the implementation of the sub-projects. Also considered were the national REDD+ Coordinators and Focal points from countries eligible to support in the reflection of the national REDD+ plans and actions in the actions proposed in the projects while FCPF Observers would help advance recommendations from the workshop in future Participants Committee meetings as well as share in the progress of the project in the same meetings. Other participants include members of the Technical Advisory Committee who would report on the review process and selection of sub-grantees as well as their role in grievance redress. The UN-REDD+ Program staff would provide synergy options and collaborative opportunities for the Program and the CBR+ program.

#### 4) PROGRESS MADE UNDER COMPONENT 3

- A project Secretariat was composed with Terms of Reference prepared by MPIDO and approved by the World Bank, including MPIDO staff as Project Coordinator and Finance Officer, and hired consultants for Procurement and for Knowledge and Communication, who were recruited on 1<sup>st</sup> June 2016. The Secretariat has been working well and holds fortnightly meetings to consider progress and make decision on issues under review. An email group to virtually provide update and share progress as well as for coordination purposes has been established. A procurement plan was finalized for the project and approved by the Bank for use in procuring the different categories of items required in the implementation of the project on 4<sup>th</sup> August 2016.
- A World Bank launch mission trip was carried out by the bank on the 20<sup>th</sup> to 22<sup>nd</sup> July 2016 led by the FCPF Task Team leader and Consultant to bring congruence and provide clarity to issues, prepare project templates and terms of reference, discuss issues of safeguards and gender, M&E and reporting and additional technical and operational subjects. This was coupled by a training on procurement, financial management and disbursement aspects of the project according to World Bank procedures, at the World Bank Country Office done jointly with PACJA, the other regional recipient organization in the region. Participants from MPIDO included Joseph ole Simel (ED), Daniel ole Sapit (Project Coordinator), Anne Samante (Finance Manager), Elijah Toirai (Communication Consultant) and Saitoti Matipei (Procurement Officer). The Client Connection was activated on the 27<sup>th</sup> June 2016.
- The adherence to the approved work plan has been challenging with the delay in implementing some of the activities due to the time taken by the selected sub-grantees to provide feedback on the requirements of the due diligence process. The budget is being adhered to with no overspends or savings reported.
- There are no procurement challenges or financial management concerns at the moment that would be reported however trainings on the use of the Client Connection and reporting formats might be required especially to key staff in charge of Procurement and Knowledge Management.

#### 5) KEY CHALLENGES FOR WORLD BANK ATTENTION, AND PROPOSED WAYS TO RESOLVE THEM

- The call for applications realized overwhelming response with a total of 140 applications from 13 countries received from the joint application against the funding available of 11 projects for at least each of the participating countries. The response indicates both the capacity needs of Forest-dependent indigenous peoples and civil society organizations in the region as well as the resource gaps. A recurring proposal is to explore increasing the amounts allocated to the Capacity Building Program and engaging in collaborative work with similar funds and mechanisms.

#### 6) DISBURSEMENT RATE: Balance: USD 300,000 Balance as at 31<sup>st</sup> August 2016. 0 Disbursement rate against deposit

- RELEVANT DECISIONS MADE BY ORGANIZATION'S MANAGEMENT

- A decision to work with PACJA jointly in the selection process as well as further the collaboration between the two regional recipient organizations was made by the Board of the organization.
- The management provided guidance in undertaking resolutions of raised issues concerning applications that were not received by the Secretariat by the closing date but were later confirmed as having been sent but due to technical hitches with corresponding servers, the application from a national network in Uganda was not received. The resolution to consider the application for review was jointly done with the TAC after the Secretariat informed the TAC about the grievance that was sent by the network. The advice and resolution was to forward the application to a TAC member to review it against the selection criteria and forward recommendation to MPIDO. This resolved the issue and the network was glad about the consideration.
- Management provided guidance in extending the time frame for countries whose potential sub-grantees had not been finalized by the agreed time frames to later dates as would be applicable to every country situation. These countries included Sudan, Madagascar and Liberia whose sub-grantees are now under review and consideration.

#### 7) KEY ACTIONS TAKEN BY THE TAC

- Approved a joint selection criteria that was the basis of the review of applications for the two Regional Recipient organizations in the region.
- Provided a time frame of activities for the review, submission and reporting of sub-grantees to the database of organizations and networks.

#### 8) REPORT ON GRIEVANCES/FEEDBACK TO THE PROJECT

- The Complaints and Grievance policy for the project is reflected in the Operational Manual and the project document. The policy outlines the need of a dedicated portal for receiving complaints and all stakeholders are encouraged to provide feedback that can be in the form of a grievance for disaffection with any deliverable expected from MPIDO. Resolutions are to be effected promptly through a tier system starting with the Secretariat attempting to resolve received grievances and then escalating to the Executive Director and the Board if need be who if unable to will refer the issue to the TAC for resolution. The resolution and the process should be documented and parties agreeable with the resolution.
- MPIDO received two grievances during this reporting period. One was on an application that was confirmed to have been sent but due to global spamming and phishing settings it was not successfully delivered on our end. Upon receipt of the grievance and confirmation of the technical issue, the Secretariat and the Executive Director forwarded the same to the TAC who resolved to consider the application and review it against the selection criteria despite being in the possession of the Secretariat after the lapse of the closing date for the call for proposal. It is important to note that the date that the application was sent unsuccessfully was within the time frame for the call for proposal. The second grievance was in regards to another application that was successfully sent within time but from an organization with a near identical name to another application already sent. The second application was adjudged to be from the same organization and disregarded while being sorted out by the Knowledge and Communication

officer. Upon receipt of a grievance by the organization that sent that particular application, it was investigated by the Secretariat and a finding was made that indeed it was from a separate organization. The issue was shared with the TAC who agreed with the finding and resolved to consider a review of the organization against the selection criteria. The resolution was agreeable with the complaining organization from the Republic of Congo.

## PROJECT RESULTS TO-DATE

<b>Project Development Objective (PDO): Strengthen: (i) the knowledge of targeted forest-dependent indigenous peoples of REDD+ Readiness at the national level and (ii) knowledge exchange at the regional level.</b>							
Results Indicators	Core	Unit of Measure	Baseline	Cumulative Target Values		Frequency	PROGRESS MADE TO DATE [NUMBER AND EXPLANATORY TEXT]
				YR1 <sup>1</sup>	YR2 <sup>2</sup>		
1. National knowledge exchange products disseminated in appropriate languages		Number	0	55	110	Annually	0 All national products to be developed by respective Sub-grantees
2. Regional knowledge exchange products disseminated in appropriate languages and accessible formats		Number	0	2	5	Annually	0 MPIDO is evaluating existing Knowledge products to recommend some for re-production in appropriate language and accessible format.
3. Meetings held between IP representatives, and between IP representatives and national REDD+ decision making entities		Number	0	20	55	Annually	0 None documented by the Sub-grantees in this period
4. Direct project beneficiaries		Number Percent	0	5500 45	5500	Annually	0 Number of beneficiaries:

1 YR1 is considered the first 12 months of the project from effectiveness.

2 YR2 is considered the months from the end of the first year until June 30, 2018 (expected to be about 15 months)

Of which female			0	45			Of which female (%):0
5. Examples of the participation of direct project beneficiaries in national REDD+ processes and structures		Description	0	0	0	Annually	0 None implemented in this period

9) OTHER INFORMATION:

Annexes

- 1. Technical Review Criteria
- 2. List of TAC Members
- 3. Template for Refocusing Actions by the Sub-grantees
- 4. Template to National Focal Points requesting for feedback

## 1. Technical Review Criteria

### Technical Review Criteria

The following technical criteria WERE used to ensure that project-funded sub-grants are positioned to achieve the highest impact and contribute to the targets set out in the project design.

#### (a) Soundness of approach:

- (i) Responds to the highest capacity needs and priorities and to the most critical gaps in a country-specific context, relevant for enhancing the ability to engage with REDD+ by the Indigenous Peoples;
  - (ii) Uses the best, current, evidence-based and appropriate practices and approaches for the implementation of the interventions;
  - (iii) Where appropriate and relevant in a country's context, demonstrates a strategic focus on vulnerable and highly affected populations;
  - (iv) Proposes relevant capacity building initiatives for strengthening community systems through interventions that complement adequately and that improve effectiveness, efficiency and sustainability of climate change programs.
- (g) Feasibility:

- (i) Has the necessary implementation capacity, including human resources and infrastructure, or has identified adequate mitigation efforts such as through the provision of technical assistance;
- (ii) Has sufficient access to and engagement with the populations being served, and adequate resources to carry out the activities successfully;
- (iii) Understands and responds to local social, legal and economic constraints that could prevent these activities from being conducted; and
- (iv) Ensures that structural barriers to accessing services, including those related to human rights and gender, are adequately understood and addressed to achieve the set targets.

#### (b) Potential for sustainable outcomes:

- (i) Is consistent with broader national climate change and REDD+ strategies and is complementary to other related national or international efforts; and
- (ii) Allows for an orderly and rapid transition of capacity and activities to stable in-country counterparts (e.g., organizations, communities, government) and shifts financial support from external to domestic resources.

#### (c) Value for money:

- (i) Delivers a technically sound and strategically focused response in a cost-efficient manner.

## 2. List of TAC members

### **Technical Advisory Committee Members for the Forest-dependent Indigenous Peoples REDD+ Capacity Building Project**

Name	Gender	Country	Region	Language
Anke Weiseit	Female	Uganda/German	Eastern	English
*Dr. Elifuraha Laltaika	Male	Tanzania	Eastern	English
Norotiana Mananjean	Female	Madagascar	Southern	French/English
Keikabile Mogodu	Male	Botswana	Southern	English
Saoudata alet Aboubacrine	Female	Burkina Faso	Western	French
Bambanze Vital	Male	Burundi	Central	French
Joseph Itongwa	Male	Democratic Republic of Congo	Central	French

\*Dr. Elifuaha Laltaika serves as the Technical Expert

## 3. Template for refocusing of actions

### **Capacity Building Program for Forest-dependent Indigenous Peoples on REDD+ Project**

#### **MPIDO**

#### **Secretariat Summary Rationale on the Selected Applications**

#### **Country:**

Name of Organization
Gender and Youth Engagement
Indication of Redd+ Engagement at National level
Forest-dependent Indigenous Peoples Recognition
Proposed Actions relevance to the Program goals of enhancing capacity to engage with REDD+ at national level.
Scope of Project

Budget compliance
-------------------

TAC Summarized Comments
-------------------------

Focal Points comments
-----------------------

<b>Decision</b>
-----------------

4. Template for Feedback by the National REDD+ Coordinators

**Forest-Dependent Indigenous Peoples REDD+ Capacity Building Project**

**Feedback Form**

Name of Organization
Are you aware of the organization?
Have you interacted with the organization and what is their scope of operations (local or national)?
Do you think they have the capacity to implement a national program?
Are they part of the national REDD+ Process?
Would you recommend the organization to implement this project?
Any other comments on the organization?

We appreciate you taking time to provide the above feedback.

Regards,

MPIDO